#### Compiler and optimization level recognition using graph neural networks

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# Signatures

A vulnerability is a weakness which can be exploited to perform unauthorized actions within a computer system.

Name	Description
CVE-2019-9924	rbash in Bash before 4.4-beta2 did not prevent the shell user from modifying BASH_CMDS, thus allowing the user to execute any command with the permissions of the shell.
CVE-2019-9146	Jamf Self Service 10.9.0 allows man-in-the-middle attackers to obtain a root shell by leveraging the "publish Bash shell scripts" feature to insert "/Applications/Utilities/Terminal app/Contents/MacOS/Terminal" into the TCP data stream.
<u>CVE-2019-1596</u>	A vulnerability in the Bash shell implementation for Cisco NX-OS Software could allow an authenticated, local attacker to escalate their privilege level to root. The attacker must authenticate with valid user credentials. The vulnerability is due to incorrect permissions of a system executable. An attacker could exploit this vulnerability by authenticating to the device and entering a crafted command at the Bash prompt. A successful exploit could allow the attacker to escalate their privilege level to root. Nexus 3000 Series Switches are affected in versions prior to 7.0(3)I7(4). Nexus 3500 Platform Switches are affected in versions prior to 7.0(3)F3(5). Nexus 9000 Series Switches in Standalone NX-OS Mode are affected in versions prior to 7.0(3)I7(4). Nexus 9500 R-Series Line Cards and Fabric Modules are affected in versions prior to 7.0(3)F3(5).
CVE-2019-1593	A vulnerability in the Bash shell implementation for Cisco NX-OS Software could allow an authenticated, local attacker to escalate their privilege level by executing commands authorized to other user roles. The attacker must authenticate with valid user credentials. The vulnerability is due to the incorrect implementation of a Bash shell command that allows role-based access control (RBAC) to be bypassed. An attacker could exploit this vulnerability by authenticating to the device and entering a crafted command at the Bash prompt. A successful exploit could allow the attacker to escalate their privilege level by executing commands that should be restricted to other roles. For example, a dev-ops user could escalate their privilege level to admin with a successful exploit of this vulnerability.
CVE-2018-7739	antsle antman before 0.9.1a allows remote attackers to bypass authentication via invalid characters in the username and password parameters, as demonstrated by a username=>&password=%0a string to the /login URI. This allows obtaining root permissions within the web management console, because the login process uses Java's ProcessBuilder class and a bash script called antsle-auth with insufficient input validation.

### Signatures

#### Library functions identification resorts on signatures.

+ - + · 00 40 68 P1			
.text:004069F1	neg	ecx	
.text:004069F3	push	edx	
.text:004069F4	lea	edx,	[esp+2Ch+var_C]
.text:004069F8	sbb	ecx,	ecx
.text:004069FA	push	0	
.text:004069FC	and	ecx,	edx
.text:00406A02	neg	edi	
.text:00406A04	push	ecx	
.text:00406A05	lea	ecx,	[esp+34h+var_10]
.text:00406A09	sbb	edi,	edi
.text:00406A0B	and	edi,	ecx
_text:00406A11	nea	esi	
toxt:00406712	abb	0.01	i
.UEX1.00400A13	ada	esi,	est
.text:00406A15	push	edi	
.text:00406A16	and	esi,	edx

Qiu, J. et al. "Library functions identification in binary code by using graph isomorphism testings." 2015 IEEE 22nd International Conference on Software Analysis, Evolution, and Reengineering (SANER) (2015): 261-270.

## Signatures

Compiling environment and compiler options modify the shape of signatures.



#### Goal

Identifying the toolchain provenance, i.e. the compiler family (e.g. Visual Studio), the compiler version (e.g. 10.0, 12.0) and its optimization options (e.g. -01, -02), that have been used to produce a stripped binary code.

#### **Related works**



		Optimization Level $\mathcal{O}$	
Compiler Family $\mathcal{C}$	Version $\mathcal{V}$	Low	High
	3.4.x	-00,-01	-02,-03
GNU Compiler	4.2.x	-00,-01	-O2,-O3
Collection $(GCC)$	4.3.x	-00,-01	-O2,-O3
	4.4.x	-00,-01	-O2,-O3
Intel Compilers	10.x	-O0	-O2,-O3
(ICC)	11.x	-O0	-O2,-O3
Microsoft Visual	$\rm VS~2003$	$/\mathrm{Od}$	/O2
$C \mapsto (MSVC)$	$\rm VS~2005$	$/\mathrm{Od}$	/O2
O + + (MDVO)	$\rm VS~2008$	$/\mathrm{Od}$	/O2

Chen at al. "Himalia: Recovering compiler optimization levels from binaries by deep learning", Intelligent Systems and Applications, 2019 Rosenblum et al. "Recovering the Toolchain Provenance of Binary Code", International Symposium on Software Testing and Analysis, 2011

#### Related works

- Focus on function output.
- Small amount of data for each function.
- Thousands of functions in one binary file.
- A rather limited number of binary file considered.
- Each source code is compiled with every possible toolchain configuration.

#### Contribution

- A Graph Neural Network based framework to determine the toolchain provenance of a whole stripped binary.
- An evaluation of this process on a broad dataset composed from 36,272 source code compiled with 92 toolchain configurations.

#### Site Neural Network

We only keep a skeletal part of the CFG.

▶ We extract 100 small graphs from it.



#### Site Neural Network



#### Dataset

The dataset is made from 36,272 C/C++ source files solving 91 problems from Codeforces.

- Compiler family: Visual Studio, MinGW, Clang and GCC.
- Optimization level: 00, 01, 02, 03 and 0s.
- Compiler version: from 5 to 6 for each family.

#### Research questions

What is the capacity of our framewok to predict:

- Compiler family?
- Optimization level?
- Compiler version?

#### Compiler family

Compiler	Precision	Recall	F1 Score	Support
Clang	1	0.9933	0.9967	600
GCC	0.9967	1	0.9983	600
MinGW	0.9983	0.9917	0.9950	600
VS	0.9828	0.9975	0.9901	400
Macro AVG	0.9944	0.9956	0.9950	2200

Very good accuracy on this task.

## **Optimization level**

Option	Precision	Recall	F1 Score	Support
-O0	0.7917	0.8261	0.8085	460
-01	0.8289	0.7478	0.7863	460
-O2/-O3	0.7869	0.8329	0.8092	820
-Os	0.6316	0.6	0.6154	460
Macro AVG	0.7598	0.7517	0.7549	2200

Good accuracy on this task.

#### **Compiler version**

Family	Precision	Recall	F1-Score	Support
Clang	0.2019	0.1883	0.1856	600
GCC	0.5731	0.5567	0.5496	600
MinGW	0.9832	0.9767	0.9799	600
VS	0.9162	0.9275	0.9206	400
GCC-MinGW-VS	0.8242	0.8202	0.8167	1600
MinGW-VS	0.9497	0.9521	0.9502	1000
Macro AVG	0.6686	0.6623	0.6589	2200

Very good accuracy on this task for families such as MinGW and Visual Studio but not Clang and GCC.

#### Comparison



Massarelli et al. "Investigating graph embedding neural networks with unsupervised features extraction for binary analysis.", 2019.

How do we compare to [Massarelli et al., 2019] in terms of accuracy and performance?

#### Comparison

We change the output level of Massarelli et al. framework and SNN.





MA-B outputs binary level prediction SNN-F outputs function level prediction

#### Comparison

Framework / Task	Compiler	Optimization	Version
MA-B	0.91	0.42	0.32
SNN	$0.92 \ [\pm 0.03]$	$0.58 \ [\pm 0.03]$	$0.45 \ [\pm 0.02]$
MA	0.90	0.36	0.36
SNN-F	$0.87 \ [\pm 0.07]$	$0.60 \ [\pm 0.05]$	$0.42 \ [\pm 0.02]$

- We outperform Massarelli et al. framework at both the function and the binary level.
- Compared to Massarelli et al. framework, SNN is 68 x times faster during learning and 1300 x time faster during preprocessing.

#### Conclusion

- Classification at the whole binary level works.
- Our simple framework is efficient.

Direction for future works:

- Richer semantic features.
- Natural language processing techniques.
- Comparisons between frameworks.

#### Conclusion

#### Thank you for your attention!