#### Face processing for visual- and audio-visual speech

Zhiqi Kang<sup>1</sup>, Mostafa Sadeghi<sup>2</sup>, Xavier Alameda-Pineda<sup>1</sup>, Radu Horaud<sup>1</sup>, Jacob Donley<sup>3</sup> and Anurag Kumar<sup>3</sup> Inria <sup>1</sup>Grenoble, <sup>2</sup>Nancy, France, and <sup>3</sup>Meta, Redmond, USA

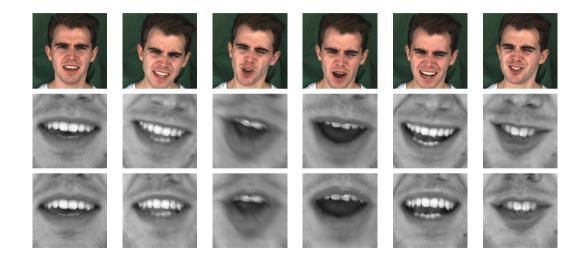
August 23, 2022

1/17

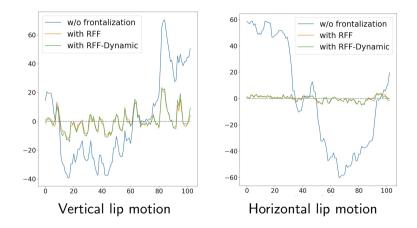
## Why visual speech?

- Visual perception plays a crucial role in speech communication, e.g. human-to-human and human-to-robot:
  - **()** Lip, jaw, and tongue movements non rigid are controlled by speech production.
  - Head movements rigid play linguistic functions (they mark the structure of the ongoing discourse).
  - **③** Visual information is not affected by acoustic noise or by competing audio source.
- But:
  - Non-rigid facial movements cannot be easily separated from rigid head movements, and
  - Visual information comes with its own caveats, e.g. occluding objects, large variabilities in pronunciation, low resolution, non-verbal lip movements, tongue movements are not observable, etc.

# The impact of rigid head motions onto lip movements (i)



## The impact of rigid head motions onto lip movements (ii)



## Today's state of affairs

- Until recently, the vast majority of methods combine noisy speech with clean lip motions, for such tasks as audio-visual speech recognition and speech enhancement;
- Discriminative deep learning techniques have been recently trained with in-the-wild data collections, demonstrating some degree of robustness with respect to visual "noise", e.g. small head movements, low resolution images, self occlusions, etc.
- Nevertheless:
  - deep lip reading remains a very difficult task, currently limited to small vocabulary isolated word recognition.
  - the vast majority of audio-visual speech processing techniques are discriminatively trained very large collections of videos are necessary with associated ground truth.

There is a gap between visual- and audio speech recognition

- State of the art lip reading achieves isolated word recognition (IWR) with a small vocabulary: 500-1000 words.
- There are approximatively 170,000 English words in current use, out of 1,000,000.
- Large vocabulary continuous speech recognition (LVCSR) which is the state of the art in commercially available ASR systems is out of reach with lip reading.
- Instead we address audio-visual processing, and in particular audio-visual speech enhancement (AVSE)

Challenge: How to separate rigid head movements and non-rigid facial movements?

- Face deformation model 3DMM (3D morphable model),
- Rigid motion model scale, 3D rotation and translation 1+3+3 parameters,
- Robust statistical inference of the model parameters,
- Dynamic face frontalization.

## Expression-preserving face frontalization



**X**<sub>1</sub>... **X**<sub>N</sub>: 3D facial landmarks





Frontal landmark model:

- Neutral face (means): Y<sub>1</sub>...Y<sub>N</sub>
- Non-rigid variabilities (covariances)

Deformable face model:

 $oldsymbol{V}_n = oldsymbol{U}_n oldsymbol{s} + \overline{oldsymbol{M}}_n$ 

#### Deformable face model

Frontal landmarks are predicted by:

$$\boldsymbol{Y}_n = \boldsymbol{\mathsf{U}}_n \boldsymbol{s} + \overline{\boldsymbol{M}}_n + \boldsymbol{F}_n, \quad \forall n \in \{1 \dots N\}$$

with:

 $\mathbf{U}_n$ : reconstruction matrix (learned),

 $\overline{M}_n$ : neutral face (learned),

s: low-dimensional face embedding (shape parameters),

 $\boldsymbol{F}_n$ : reconstruction error.

#### Rigid motion model

Frontal landmarks are predicted by:

$$\boldsymbol{Y}_n = \rho \mathbf{R} \boldsymbol{X}_n + \boldsymbol{T} + \boldsymbol{D}_n, \quad \forall n \in \{1 \dots N\}$$

with:

- $\rho$ : global scale
- R: 3D rotation matrix,
- T: 3D translation vector,
- $D_n$ : error vector (non-rigid motion, noise, outliers).

Robust estimator: generalized Student-t distribution

$$E_{n} = \underbrace{\rho \mathbf{R} \mathbf{X}_{n} + \mathbf{T}}_{\text{rigid}} - \underbrace{(\mathbf{U}_{n} \mathbf{s} + \overline{\mathbf{M}}_{n})}_{\text{deformable}}$$

$$\mathcal{L}(\boldsymbol{\theta} | \mathbf{X}) = -\sum_{n=1}^{N} \log p(\mathbf{E}_{n}; \boldsymbol{\theta})$$

$$p(\mathbf{E}_{n}; \boldsymbol{\theta}) = \int_{0}^{\infty} \mathcal{N}(\mathbf{E}_{n}; 0, \omega_{n}^{-1} \boldsymbol{\Sigma}) \mathcal{G}(\omega_{n}; \mu, 1) d\omega_{n}$$

$$\boldsymbol{\theta} = (\rho, \mathbf{R}, \mathbf{T}, \mathbf{s}, \boldsymbol{\Sigma}, \mu)$$
(1)

Direct minimization of (1) is intractable...

11/17

#### Inference

Initialization

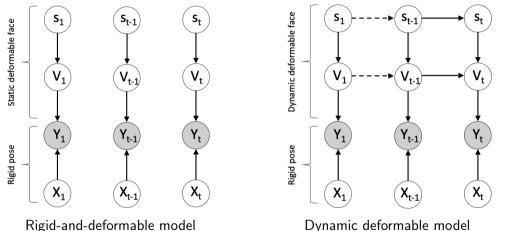
Expectation:

 ${\, \bullet \, }$  Evaluate the weight posteriors and the weight means  $\overline{w}_{1:N}$ 

Maximization:

- Estimate the rigid parameters  $\rho, \mathbf{R}, \boldsymbol{T}$
- ${\ensuremath{\,\circ\,}}$  Estimate the non-rigid parameters s
- Estimate the pdf parameters  $\mathbf{\Sigma}, \mu$

#### Graphical models



(doubly latent model  $\rightarrow$  Kalman filter equivalence)

13/17

### Examples from the Oulu dataset



(a) Faces recorded with the  $30^{\circ}$  camera



(b) Faces recorded with the  $0^{\circ}$  camera









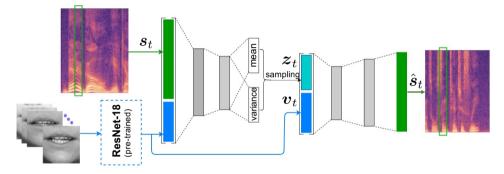




(c) Proposed (self-occluded regions are displayed in white)



## Audio-visual speech enhancement pipeline



For more details please consult [Sadeghi et al 2020, 2021], [Kang 2022].



## Speech enhancement results

Measure	STOI [0, 1] ↑					PESQ [-0.5, 4.5] ↑					SI-SDR (dB) ↑				
SNR (dB)	-10	-5	0	5	10	-10	-5	0	5	10	-10	-5	0	5	10
Noisy audio input	0.40	0.53	0.66	0.78	0.86	0.90	1.24	1.67	2.05	2.42	-15.92	-10.62	-5.44	-0.40	4.60
A-VAE Leglaive et al. MLSP'18	0.41	0.56	0.70	0.79	0.85	0.93	1.51	2.02	2.43	2.73	-7.01	-0.29	5.08	9.41	12.74
AV-CVAE Sadeghi et al. TASLP'20	0.42	0.57	0.69	0.79	0.84	1.02	1.56	2.06	2.42	2.73	-6.96	-0.04	5.01	9.06	12.25
Res-AV-CVAE-DFF	0.43	0.60	0.73	0.79	0.85	1.13	1.71	2.20	2.48	2.77	-6.35	0.28	5.87	9.42	12.77

Table: Average STOI, PESQ, SI-SDR values.

Examples & paper download:

ICASSP'22:

https://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=9746401
IJCV submission: https:

//team.inria.fr/robotlearn/research/facefrontalization-benchmark/

#### Conclusions

- We proposed robust face frontalization (RFF) and its dynamic extension (DFF).
- Both RFF and DFF rely on 68 facial landmarks:
  - Sufficient to show that face frontalization improves audio-visual speech performance,
  - Insufficient to really boost the performance of audio-visual speech.
- Future work directions:
  - It is planned to use dense facial features to increase the impact of the dynamic model.
  - *Conversational speech* (CHIME-6 Challenge) may benefit from visual processing: Where is the speaker in the room? Who speaks to whom? Who speaks when? etc.