## Decision making via End-to-End Lossy Distributed Wireless Cooperative Networks

- A Distributed Hypothesis Testing based Formulation - Full Tutorial: MMMM DD, YYYY @ University of ZZZZ

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#### **Today** Tree of Tad's SISU: Wireless Communications Retired from JAIST @2021 **Correlated Lossless/Lossy Distributed Multi-terminal Source Coding** MAC **Rate Region and Outage Analyses** f-DMT **CEO Problem** Japan and Academia Finland) Constrained MU-MIMO Precoder Design Lossy Forwarding Techniques **Distributed Turbo Coding** Compress Sensing for Channel Estimation Near Capacity Achieving BICM-ID with Extended Mapping **FG** Geolocation and Tracking **Chained Turbo Equalization IDMA** Finland and **Germany EXIT Analysis** Subspace based Turbo Channel Estimation **FD Soft Cancellation-MMSE Turbo Equalization** Decorrelating MUD for **CDMA** 2002 T/NTT DoCoMo **Smart Antennas and MIMO FFH CDMA** Industry Theoretical Performance Analysis of Coded DPSK Soft Decision Decoding of Block Codes **ARQ Analysis for** 1G System 1980 Control.

Joined NTT 43 years ago





#### **Contents of Full Tutorial:**

- O. Revisit to Fundamental Theorems in Network Information Theory
- End-to-End Lossless Relaying: Slepian Wolf Theorem with Source-Channel Separation
- 1.1 EXIT Analysis for Source Bit-Flipped MIMO Transmission with Turbo Equalization
- 1.2 Slepian-Wolf Formulation for Lossless Two-Way Relay Networks
- 2. End-to-End Lossy Distributed Multi-terminal Networks: Rate Distortion Analysis
- 2.1 Wyner-Ziv Formulation for End-to-End Lossy Two-Way Relay Network
- 2.2 Berger-Tung Formulation for Two Source One Helper Network
- 2.3 End-to-End Lossless and Lossy Multiple Access Channels
- 2.4 Two Stage Wyner-Ziv Network: Distortion Transfer Analysis
- 3. Wyner-Ziv Formulation for Decision Making Process
- 3.1 Revisit of Helper-aided Lossy Networks
- 3.2 Distributed Hypothesis Testing (DHT)
- 3.3 Semantic Communications
- 3.4 Learning Process in Machine Learning

## Lossy Multi-terminal Cooperative Networks, Queueing, and Decision Making:

**►Erlang, Shannon, and Neyman-Pearson Meet in 6G Networks**March 27, 2023 @ Uoulu CWC Research Seminar by Remote

Tad Matsumoto\*, \*\*, \*\*\*

n what fields are they famous as land-make builders? IEEE Life Fellow





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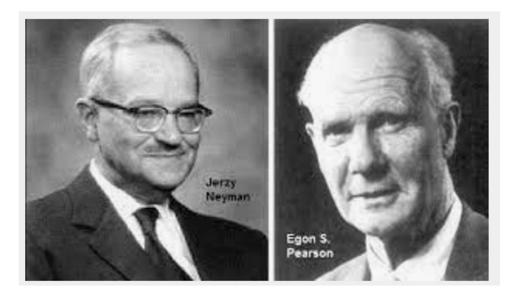
**Shannon:** Information Theory







**Erlang:** Queueing Theory



Neyman-Pearson:
Hypothesis Testing







### Why "Neyman Pearson" Involved?



Unclear but still Accident Avoidable Make left turn or right turn?



Clearer but maybe Too Late to Avoid Accident Decision: Make left turn!

Making correct decision is most important than lossless reconstruction of the observation for risk avoidance!

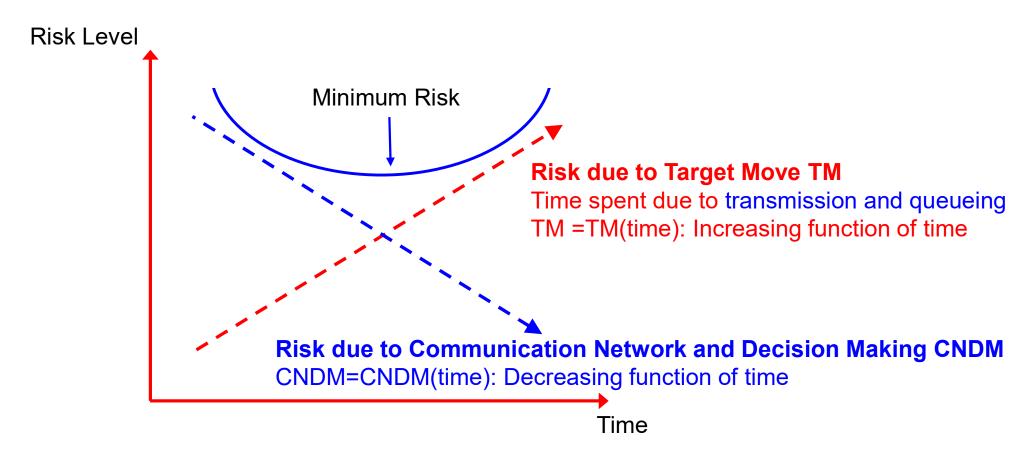






### Is Lossless reconstruction Needed?

Risk =Risk(TM(time), CNDM(time))



Motivation behind the March 23 Seminar: Formulate Risk under the Three Landmark Builders' Framework!







Network

Theory

Abbas El Gamal Young-Han Kim

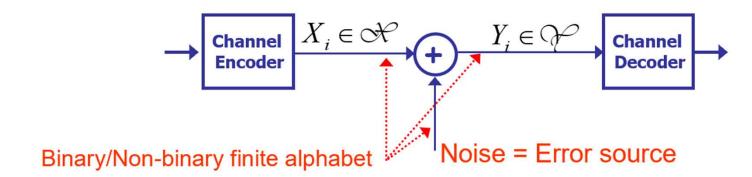
Information

## Chapter 0. Revisit of Fundamental Theorems in Network Information Theory

1. Channel Capacity: Point-to-Point Lossless Channel's Maximum Capability

**Theorem 3.1 (Channel Coding Theorem).** The capacity of the discrete memoryless channel p(y|x) is given by the information capacity formula

$$C = \max_{p(x)} I(X; Y).$$



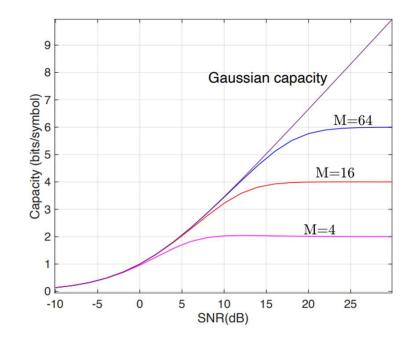
$$C = \max I(X;Y) \ge I(X;Y) \ge 0$$

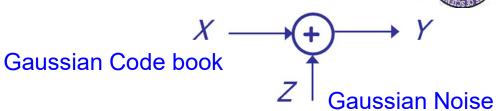
$$C \le \log |\mathcal{H}|$$
 because  $C = \max I(X;Y) \le \max H(X) = \log |\mathcal{H}|$ 

$$C \le \log |\mathcal{V}|$$
 because  $C = \max I(X;Y) \le \max H(Y) = \log |\mathcal{V}|$ 









$$C = \max_{p(x): EX^2 \le P} I(X;Y) = \log(1 + SNR)$$

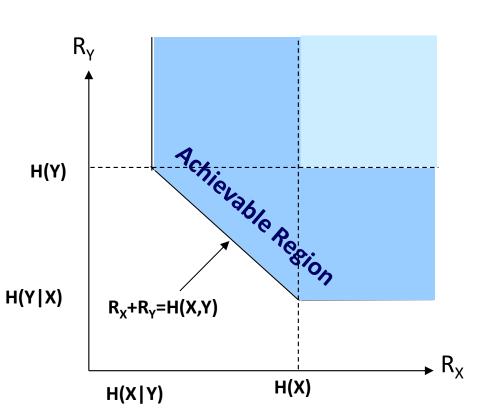
## 2. Rate Region: Distributed Multi-Source Lossless Coding

■ Slepian-Wolf Theorem:

$$R_{X} \ge H(X|Y)$$

$$R_{Y} \ge H(Y|X)$$

$$R_{X}+R_{Y} \ge H(X,Y)$$









Network Information

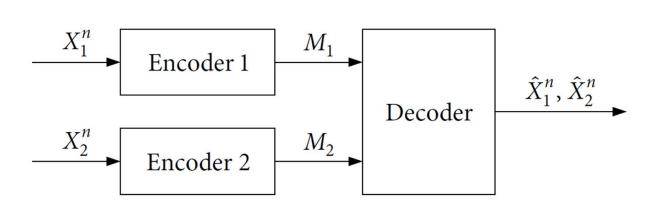
Theory

Abbas El Gamal Young-Han Kim

#### **Two Sources:**

**Theorem 10.1 (Slepian–Wolf Theorem).** The optimal rate region  $\mathcal{R}^*$  for distributed lossless source coding of a 2-DMS  $(X_1, X_2)$  is the set of rate pairs  $(R_1, R_2)$  such that

$$R_1 \ge H(X_1 | X_2),$$
  $R_2 \ge H(X_2 | X_1),$   $R_1 + R_2 \ge H(X_1, X_2).$ 









Network

Information Theory

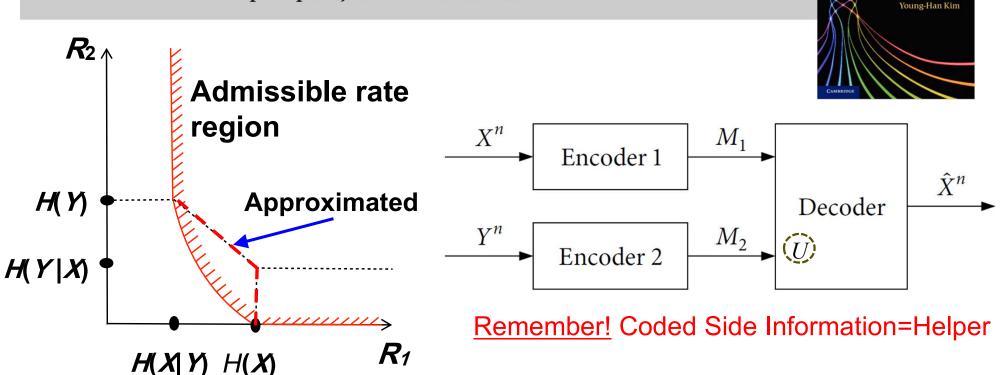
#### **One Source One Helper:**

**Theorem 10.2.** Let (X, Y) be a 2-DMS. The optimal rate region  $\mathcal{R}^*$  for lossless source coding of X with a helper observing Y is the set of rate pairs  $(R_1, R_2)$  such that

$$R_1 \ge H(X|U),$$

$$R_2 \ge I(Y; U)$$

for some conditional pmf p(u|y), where  $|\mathcal{U}| \leq |\mathcal{Y}| + 1$ .









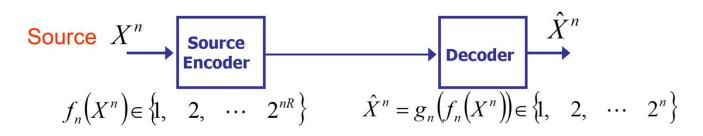


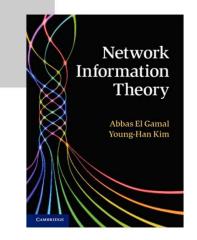
#### 3. Lossy Source Coding:

**Theorem 3.5 (Lossy Source Coding Theorem).** The rate-distortion function for a DMS X and a distortion measure  $d(x, \hat{x})$  is

$$R(D) = \min_{p(\hat{x}|x): E(d(X,\hat{X})) \le D} I(X; \hat{X})$$

for  $D \ge D_{\min} = \min_{\hat{x}(x)} \mathsf{E}[d(X, \hat{x}(X))].$ 





**Example 3.4 (Bernoulli source with Hamming distortion).** The rate-distortion function for a Bern(p) source X,  $p \in [0, 1/2]$ , and Hamming distortion measure is

$$R(D) = \begin{cases} H(p) - H(D) & \text{for } 0 \le D < p, \\ 0 & \text{for } D \ge p. \end{cases}$$

$$I(X; \hat{X}) = H(X) - H(X|\hat{X})$$

$$= H(p) - H(X \oplus \hat{X}|\hat{X})$$

$$\geq H(p) - H(X \oplus \hat{X})$$

$$\stackrel{(a)}{\geq} H(p) - H(D),$$
with  $P\{X \neq \hat{X}\} \leq D$ 





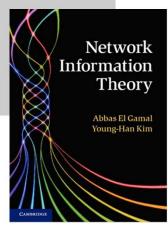


#### **4. Source-Channel Separation:**

#### A Connecting point between Source Coding and Channel Coding

**Theorem 3.7 (Source–Channel Separation Theorem).** Given a DMS U and a distortion measure  $d(u, \hat{u})$  with rate–distortion function R(D) and a DMC p(y|x) with capacity C, the following statements hold:

- If rR(D) < C, then (r, D) is achievable.
- If (r, D) is achievable, then  $rR(D) \leq C$ .



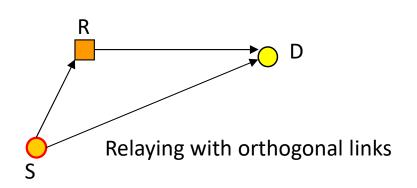
**Remark 3.14.** As a special case of joint source–channel coding, consider the problem of sending U over a DMC <u>losslessly</u>, i.e.,  $\lim_{k\to\infty} \mathsf{P}\{\hat{U}^k \neq U^k\} = 0$ . The separation theorem holds with the requirement that  $rH(U) \leq C$ .

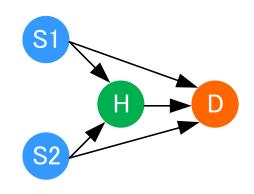
#### Note:

#### **Source-Channel Separation applies to:**

- Orthogonal transmission with multiple Point-to-Point links,
- Both Lossless and Lossy, so far as each link is orthogonal,
- Helper link,
- (Experts say it holds with majority of the cases....)







Multiple Access Relaying with orthogonal links

#### 5. Rate Region:

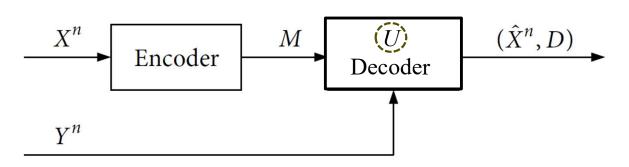
#### **Lossy Source Coding with Side information/a Helper**

#### With Side information:

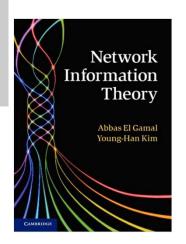
**Theorem 11.3 (Wyner–Ziv Theorem).** Let (X, Y) be a 2-DMS and  $d(x, \hat{x})$  be a distortion measure. The rate–distortion function for X with side information Y available noncausally at the decoder is

$$R_{\text{SI-D}}(D) = \min \left( I(X; U) - I(Y; U) \right) = \min I(X; U|Y) \quad \text{for } D \ge D_{\min},$$

where the minimum is over all conditional pmfs p(u|x) with  $|\mathcal{U}| \le |\mathcal{X}| + 1$  and functions  $\hat{x}(u, y)$  such that  $\mathsf{E}[d(X, \hat{X})] \le D$ , and  $D_{\min} = \min_{\hat{x}(y)} \mathsf{E}[d(X, \hat{x}(Y))]$ .







Notice:  $U \rightarrow X \rightarrow Y$  forms a Markov Chain

$$I(X;U) - I(Y;U) = I(XY;U) - I(Y;U|X) - I(Y;U)$$

$$= I(XY;U) - I(Y;U) = I(X;U|Y).$$

#### With a Helper:

**Theorem 11.3 (Wyner–Ziv Theorem).** Let (X, Y) be a 2-DMS and  $d(x, \hat{x})$  be a distortion measure. The rate–distortion function for X with side information Y available noncausally at the decoder is

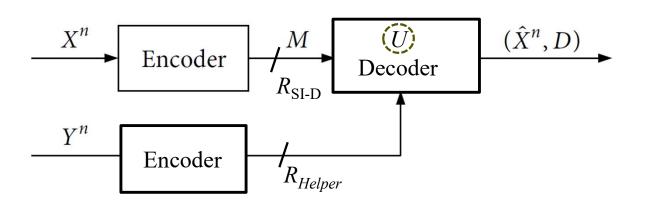
$$R_{\text{SI-D}}(D) = \min (I(X; U) - I(Y; U)) = \min I(X; U|Y) \quad \text{for } D \ge D_{\min},$$

$$R_{Helper} \ge I(Y; U)$$

where the minimum is over all conditional pmfs p(u|x) with  $|\mathcal{U}| \le |\mathcal{X}| + 1$  and functions  $\hat{x}(u, y)$  such that  $\mathsf{E}[d(X, \hat{X})] \le D$ , and  $D_{\min} = \min_{\hat{x}(y)} \mathsf{E}[d(X, \hat{x}(Y))]$ .



Tad's Book?



Coded Side Information=Helper

#### 6. Rate Region:

#### **Distributed Multipoint-to-Multipoint Lossy Coding**

#### **Without Helper:**

**Theorem 12.1 (Berger–Tung Inner Bound).** Let  $(X_1, X_2)$  be a 2-DMS and  $d_1(x_1, \hat{x}_1)$  and  $d_2(x_2, \hat{x}_2)$  be two distortion measures. A rate pair  $(R_1, R_2)$  is achievable with distortion pair  $(D_1, D_2)$  for distributed lossy source coding if

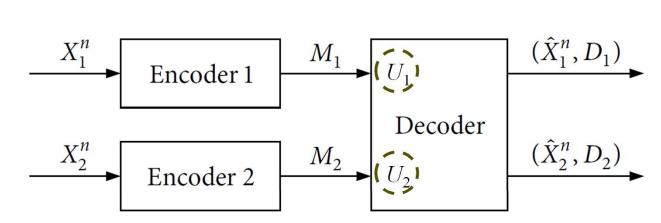
$$R_1 > I(X_1; U_1 | U_2, Q),$$
 
$$R_2 > I(X_2; U_2 | U_1, Q),$$
 
$$R_1 + R_2 > I(X_1, X_2; U_1, U_2 | Q)$$

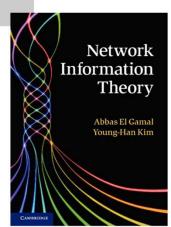
for some conditional pmf  $p(q)p(u_1|x_1, q)p(u_2|x_2, q)$  with  $|\mathcal{U}_j| \le |\mathcal{X}_j| + 4$ , j = 1, 2, and functions  $\hat{x}_1(u_1, u_2, q)$  and  $\hat{x}_2(u_1, u_2, q)$  such that  $\mathsf{E}(d_j(X_j, \hat{X}_j)) \le D_j$ , j = 1, 2.











Notice:  $U_1 \rightarrow X_1 \rightarrow X_2 \rightarrow U_2$ 

#### With a Helper:

**Theorem 12.1 (Berger–Tung Inner Bound).** Let  $(X_1, X_2)$  be a 2-DMS and  $d_1(x_1, \hat{x}_1)$  and  $d_2(x_2, \hat{x}_2)$  be two distortion measures. A rate pair  $(R_1, R_2)$  is achievable with distortion pair  $(D_1, D_2)$  for distributed lossy source coding if

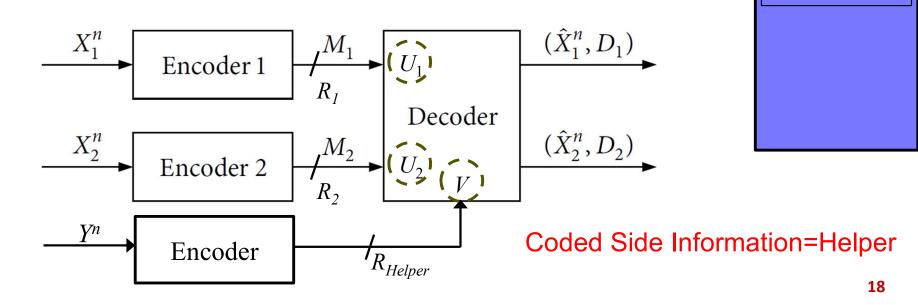
$$R_1 > I(X_1; U_1 | U_2, V, Q),$$
  
 $R_2 > I(X_2; U_2 | U_1 V, Q),$   
 $R_1 + R_2 > I(X_1, X_2; U_1, U_2 | V, Q),$   
 $R_{Helper} > I(Y; V)$ 

for some conditional pmf  $p(q)p(u_1|x_1,q)p(u_2|x_2,q)$  with  $|\mathcal{U}_j| \le |\mathcal{X}_j| + 4$ , j = 1, 2, and functions  $\hat{x}_j$  ( $u_j$ ,  $u_j$ ,  $u_j$ ) and  $\hat{x}_j$  ( $u_j$ ,  $u_j$ ,  $u_j$ ) and  $\hat{x}_j$  ( $u_j$ ,  $u_j$ ,  $u_j$ ) and  $u_j$ 

functions  $\hat{x}_1(u_1, u_2, q)$  and  $\hat{x}_2(u_1, u_2, q)$  such that  $E(d_j(X_j, \hat{X}_j)) \leq D_j$ , j = 1, 2.



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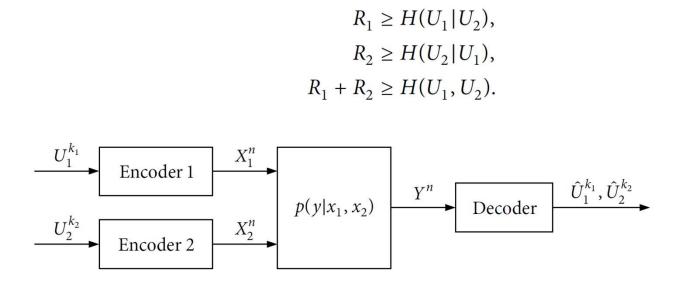
#### 7. Multiple Access Channels (MAC)

#### **Correlated Sources Transmission over MAC:**

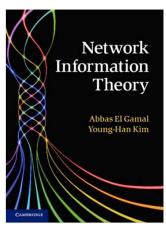
First consider the following sufficient condition for separate source and channel coding. We know that the capacity region  $\mathscr C$  of the DM-MAC is the set of rate pairs  $(R_1,R_2)$  such that

$$R_1 \le I(X_1; Y | X_2, Q),$$
  
 $R_2 \le I(X_2; Y | X_1, Q),$   
 $R_1 + R_2 \le I(X_1, X_2; Y | Q)$ 

for some pmf  $p(q)p(x_1|q)p(x_2|q)$ . We also know from the Slepian–Wolf theorem that the optimal rate region  $\mathcal{R}^*$  for distributed lossless source coding is the set of rate pairs  $(R_1, R_2)$  such that



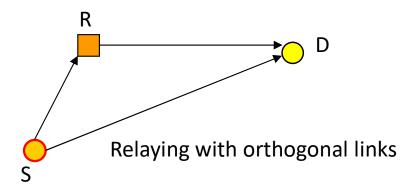


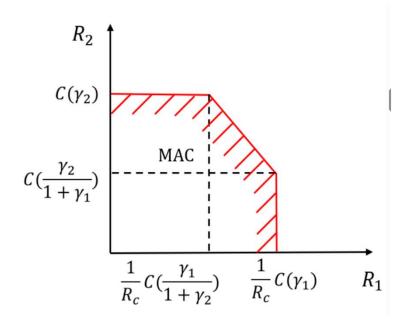


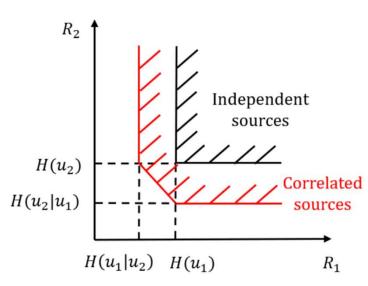
 $\mathscr{R}^*$ 

#### There are two regions in this set up: SW and MAC regions.



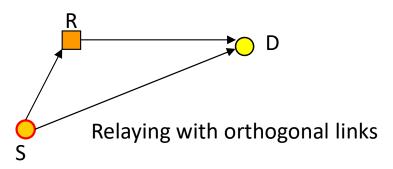






#### **Revisit to Source-Channel Separation:**

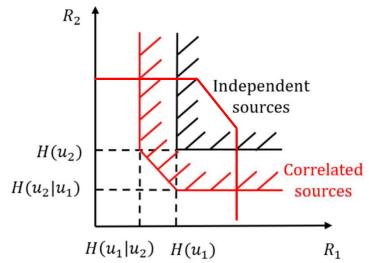
- Orthogonal transmission with multiple Point-to-Point links,
- Both Lossless and Lossy, so far as orthogonal,
- Helper link,





Region intersection: The rate-pair plot belongs to the both MAC and SW regions.

→ Source-channel separation holds!



#### Separation holds in:

- MAC transmission when the rates-plot is in intersection (Sufficient condition, NOT optimal. Separation vs. Joint),
- (Experts say it holds in many cases....)

#### A sufficient condition for Lossless Recovery.

#### - Recovery for two sources

$$H(U_1|U_2) < I(X_1; Y|X_2, Q),$$
  
 $H(U_2|U_1) < I(X_2; Y|X_1, Q),$   
 $H(U_1, U_2) < I(X_1, X_2; Y|Q).$ 

#### - Recovery for one source with one helper

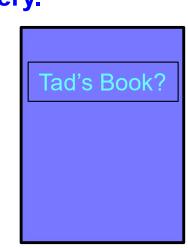
$$R_1 \le I(X_1; Y | X_2, Q),$$
  
 $R_2 \le I(X_2; Y | X_1, Q),$   
 $R_1 + R_2 \le I(X_1, X_2; Y | Q)$ 

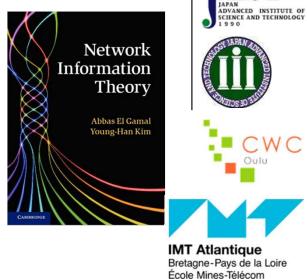
#### and

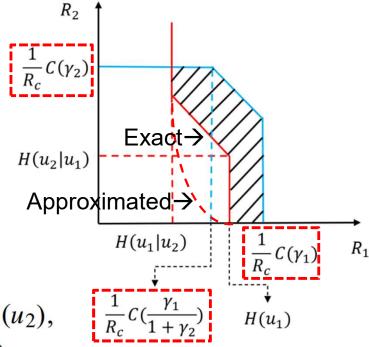
$$R_1 \ge H(X_1|U)$$
$$R_2 \ge I(X_2; U)$$

#### which can be approximated by

$$R_{1} \geq \begin{cases} H(u_{1}|u_{2}), & \text{for } R_{2} \geq H(u_{2}), \\ H(u_{1}, u_{2}) - R_{2}, & \text{for } H(u_{2}|u_{1}) \leq R_{2} \leq H(u_{2}), \\ H(u_{1}), & \text{for } 0 \leq R_{2} \leq H(u_{2}|u_{1}), \end{cases} \frac{\frac{1}{R_{c}} C(\frac{\gamma_{1}}{1 + \gamma_{2}})}{\frac{1}{R_{c}} C(\frac{\gamma_{1}}{1 + \gamma_{2}})}$$







#### A sufficient condition for <u>Lossy</u> Recovery.

- Recovery for one source with one helper

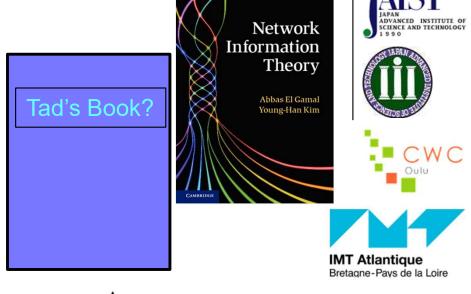
$$R_1 \le I(X_1; Y | X_2, Q),$$
  
 $R_2 \le I(X_2; Y | X_1, Q),$   
 $R_1 + R_2 \le I(X_1, X_2; Y | Q)$ 

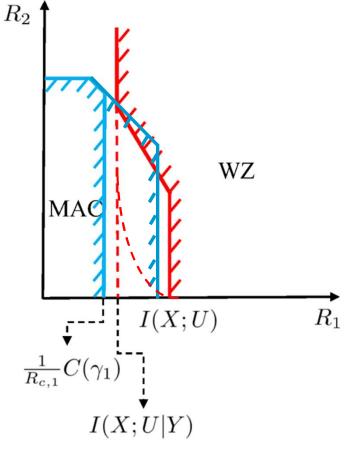


$$R_1 \ge H(X_1; U|V)$$
$$R_2 \ge I(X_2; V)$$

**Region intersection** 

→ Source-channel separation holds!





#### **Properties of Binary Convolution:**

#### **Binary Convolution**

$$x * y = x(1 - y) + (1 - x)y = x + y - 2xy$$



$$x * y \le t \Rightarrow x \le \Lambda(t, y) \triangleq \frac{1}{2} \left(1 - \frac{2t - 1}{2y - 1}\right)$$

- $x * y \le t$  inherently involves  $y \le t$
- $\Lambda(y,t)$  is a monotonically decreasing function of y, with a maximum

$$\Lambda(y = 0, t) = t$$

 $\Lambda(y,t)$  is a linearly increasing function of t, with a maximum

$$\Lambda(y, t = 0.5) = 0.5$$

Recursive structure:

$$y * z \le s \Rightarrow y \le \Lambda(s, z)$$
$$x * y * z \le t \Rightarrow x \le \Lambda(t, \Lambda(s, z))$$

$$v * w \le c_1 \Rightarrow v \le \Lambda(c_1, w)$$

$$x * y * z * \cdots v * w \le c_n \Rightarrow x \le \Lambda(c_n, \Lambda(cn_{-1}, \Lambda(\dots, \Lambda(c_1, w)))$$





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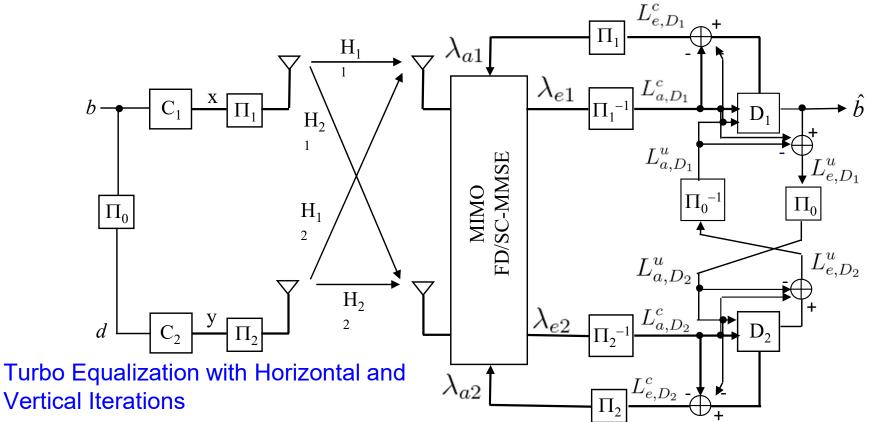






## Chapter 1. End-to-End Lossless Relaying: Slepian Wolf Theorem with Source-Channel Separation

1.1 EXIT Analysis for Source Bit-Flipped MIMO Transmission with Turbo Equalization



- Vertical iteration is expected to improve performance because of space diversity gain from using antenna 1 and 2, and coding gain. Mariella Sarestoniemi, Tad Matsumoto, Kimmo Kansanen, and Jari Iinatti, "Turbo Diversity Based on SC/MMSE Equalization", *IEEE Transactions on Vehicular Technology*, Vol. 54, No. 2, pp. 749-752, March, 2005
- This design is called as **Spatial Turbo Code (STC)** because coded sequences are **multiplexed in the spatial domain**, **not in the time domain** as in the Turbo codes.







- We\* developed Frequency Domain Turbo Equalization Algorithms for single carrier signalling: It requires computational complexity of only "high school levelmath"!
- Convergence property analysis made significantly easy!

"Do you still spread?" ← Famous words said many times, said by a CWC person.

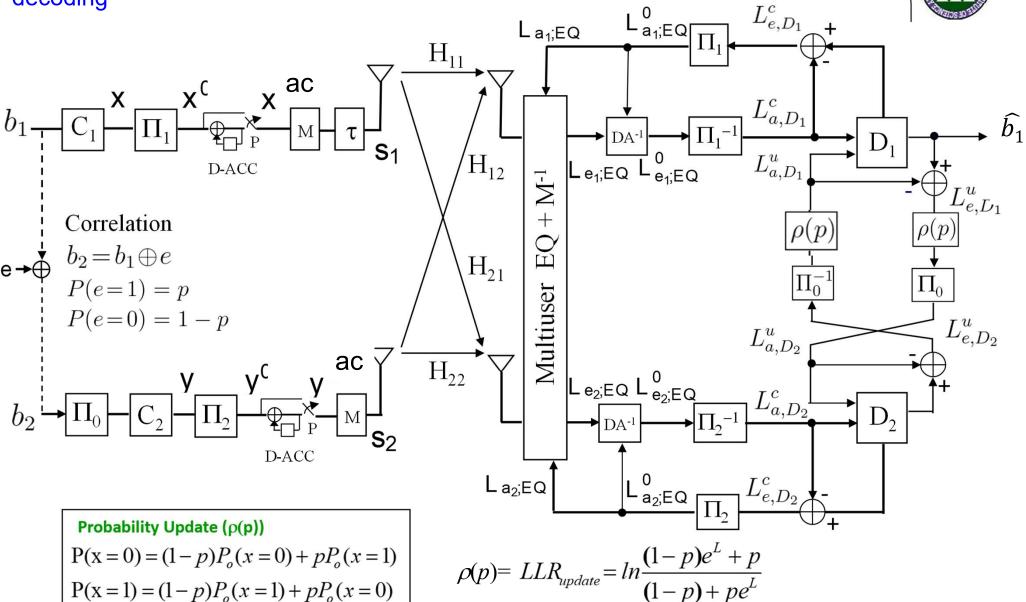
• Kimmo Kansanen, and Tad Matsumoto, "An Analytical Method for MMSE MIMO Turbo Equalizer EXIT Chart Computation", *IEEE Transactions on Wireless Communications*, Vol. 6, No.1, pp.59-63, January, 2007



#### Source Bit-Flipped MIMO Transmission with Turbo Equalization:

With the bit flipping e between  $b_1$  and  $b_2$ ,  $b_1$  can be recovered losslssly by joint

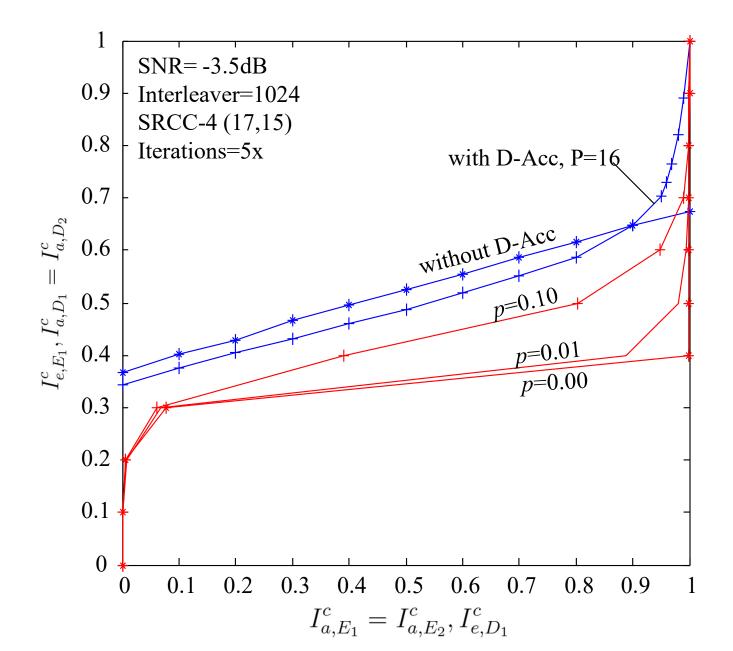
decoding



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#### EXIT Chart for Source Bit-Flipped MIMO Transmission with Turbo Equalization





#### **Parameters:**

#### **■** Transmitter:

Encoder: CC 4(17,15),17 Interleaver=5000 (random) Correlation Model: Bit-flipping

#### **■** Channel:

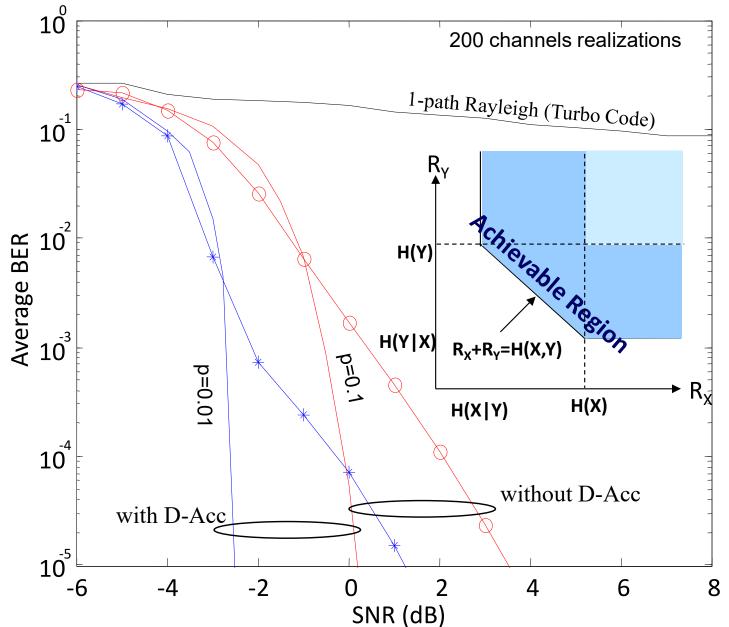
MIMO 2x2 Equal Power 64path

#### **■** Receiver:

Decoder: BCJR Log-MAP FFT=512

## Average BER in Block Frequency-Selective Block Rayleigh Fading Channels: Source Bit-Flipped MIMO Transmission with Turbo Equalization

- Bit-flipped sequences are correlated sources!





#### **Parameters:**



#### **Transmitter:**

Encoder: CC 4(17,15),17 Interleaver=1024 (random) Correlation Model: Bit-flipping

#### **■** Channel:

MIMO 2x2 Equal Power 64-path

#### Receiver:

Decoder: BCJR Log-MAP FFT=512 IMT Atlantique
Bretagne-Pays de la Loire
École Mines-Télécom

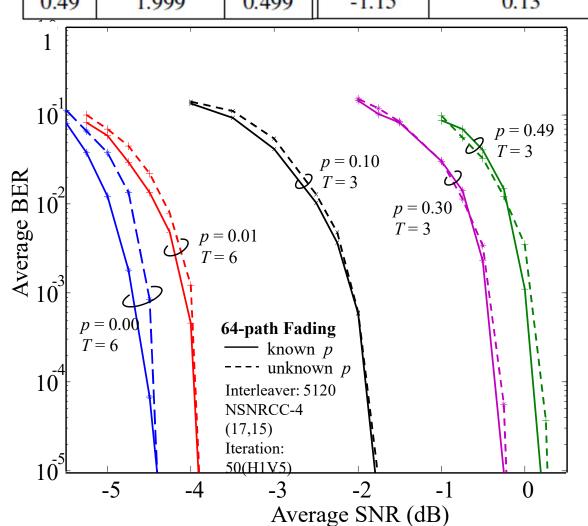
Comparison in SNR to Slepian-Wolf Bound @ BER=10<sup>-5</sup> CW (

p	$\mathcal{H}(b_1,b_2)$	$\mathcal{R}_{\mathrm{SW}}$	Bit-Flipped MIMO TEQ		
			$SNR_{lim}$	$SNR_{BER=10^{-5}}$	Gap
0.00	1.000	0.250	-5.40	-4.38	1.02
0.01	1.081	0.270	-5.05	-3.88	1.17
0.10	1.469	0.367	-3.15	-1.88	1.27
0.30	1.881	0.470	-1.50	-0.25	1.25
0.49	1.999	0.499	-1.15	0.13	1.28





One Source One Helper Slepian Wolf Theorem



#### **■** Transmitter:

Encoder: CC 4(17,15)
Interleaver=10000
(random)
Correlation Model:

Oulu

Correlation Model:

Bit-flipping

#### **■** Channel:

MIMO 2x2 Equal Power 64-path

#### ■ Receiver:

Decoder: BCJR

Log-MAP FFT=512







1.2 Slepian-Wolf Formulation for Lossless Two-Way Relay Networks

Observation on Bit-flipped MIMO TEQ: BF Model Works as Correlated Sources!

• Scenario Assumption (Lossy-Forward, LF)

- 1. Source broadcasts information
- 2. Errors may occur in S-R link
- 3. Relay still forwards the lossy information

Destination recovers the source information by joint decoding

5. End-to-End lossless.

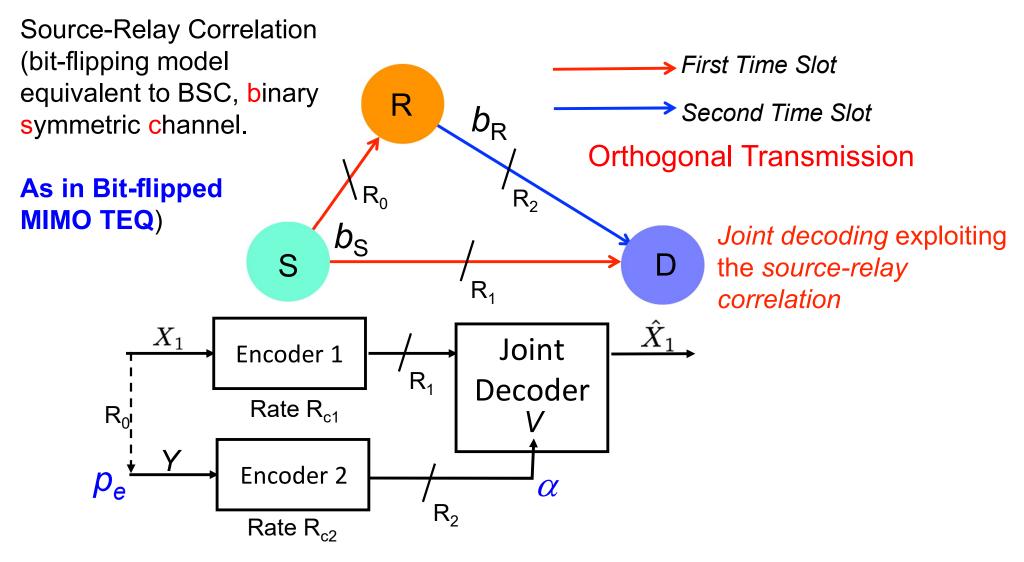
SR

RD

Relay

Source

### Do we need to recover the relay information $b_R$ ?



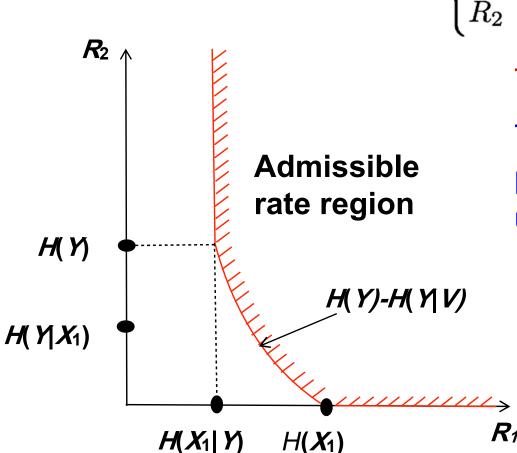
We do not care about the decoding result (=V) of b<sub>R</sub>, but we can use b<sub>R</sub> as a helper!

→ One Source One Helper Slepian Wolf Theorem for Lossless Multi-terminal Source

Coding

## LF Rate Region Analysis: Slepian – Wolf Theorem for Lossless Multi-terminal Source Coding with a helper.

With LF, the S-R link is lossy, the admissible rate region is given by:



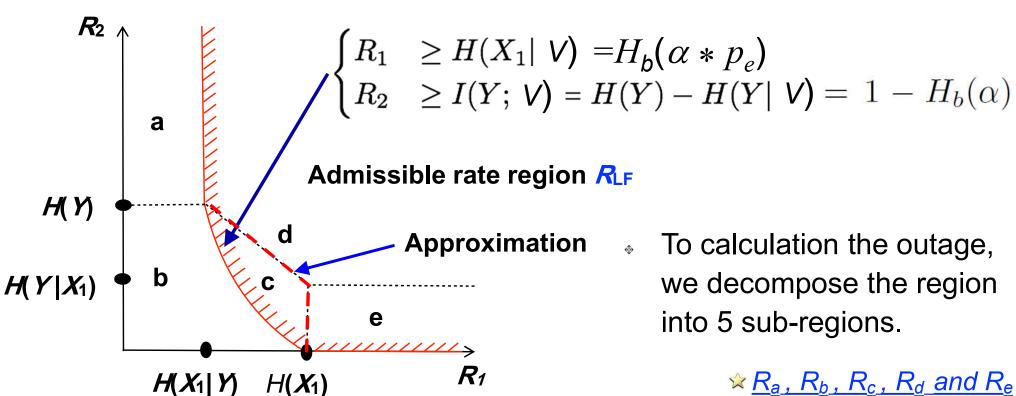
 $\begin{cases} R_1 & \geq H(X_1| \ \textit{V}) \\ R_2 & \geq I(Y; \ \textit{V}) = H(Y) - H(Y| \ \textit{V}) \end{cases}$ 

This is a general expression.

To calculate the rate region using parameters related to the links, we use:

- (1) Shannon's Source-Channel Separation Theorem,
- (2) Test Channel Model of Binary R(D) function to represent each link's threshold, and
- (3) Utilization of Markov Chain.
  - → Binary Convolution

### Rate Region Analysis: we need threefold Integral!



- This region is a function of  $\gamma_0$ ,  $\gamma_1$ , and  $\gamma_2$ . (SNR of S-R, S-D and R-D links)
  - → Threefold integral needed with respect to pdf's of  $\gamma_0$ ,  $\gamma_1$ , and  $\gamma_2$ .

- we decompose the region
  - $\not\approx R_a$ ,  $R_b$ ,  $R_c$ ,  $R_d$  and  $R_e$
  - $\bowtie R_{LF} = R_c \cup R_d \cup R_e$

## LF Rate Region Analysis: SR Link

How can we combine Shannon's Separation Theorem and the Rate Region?

By using the lossy Separation theorem  $R_{c,1}\cdot R(\mathcal{D})\leq C(\gamma_0)$  and with Inverse  $\underline{C^{-1}(\gamma_0)}$  of the Capacity Function  $C(\gamma_0)$ , we calculate the binary distortion (D=BER) of the S-R link after decoding, as

$$p_{\mathbf{e}} = \left\{ \begin{array}{ll} H_b^{-1}[1 - \Phi_1(\gamma_0)], & \text{for } \Phi^{-1}(0) \le \gamma_0 \le \Phi_1^{-1}(1) \\ 0, & \text{for } \gamma_0 \ge \Phi_1^{-1}(1), \end{array} \right.$$

and  $\Phi_1(\gamma_0) = \frac{C(\gamma_0)}{R_{c,1}}$  Separation Theorem

with  $H_b^{-1}(\cdot)$  denotes the inverse function of the <u>binary</u> entropy function  $H_b(x) = -x \log_2 x - (1-x) \log_2 (1-x)$ , and  $\Phi_1^{-1}(\cdot)$  is the inverse function of  $\Phi_1(\cdot)$ .

This means that given the *instantaneous SNR*  $\gamma_0$  and  $R_{c,1}$ , we can calculate the binary distortion ( $D=BER=p_e$ ) of S-R link after decoding!

# JAPAN ADVANCED INSTITUTE OF SCIENCE AND TECHNOLOGY 1990

## LF Rate Region Analysis: Test Channel

### **Binary Source**

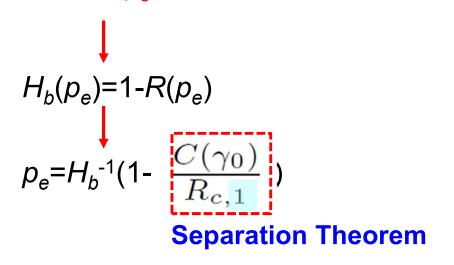
Consider a Binary source  $x \in X$ , Prob(x = 1) = p, Prob(x = 0) = 1 - p

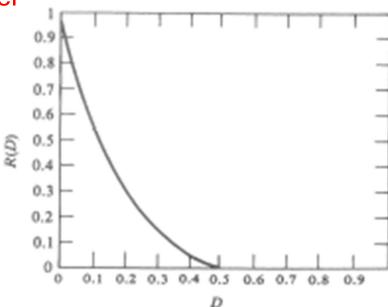
Assume that p<1/2. The rate distortion function is given by:

$$R(D) = \begin{cases} H(p) - H(D) &, & 0 \le D \le \min(p, 1-p) \\ 0 &, & D > \min(p, 1-p) \end{cases}$$

where Hamming distortion measure is assumed.

With p=1/2, R(D)=1-H(D), hence **SR** test channel is BSC with  $D=p_e$ 





### LF Rate Region Analysis: *RD Link*

We also do not need lossless R-D link. R-D link's error probability  $\alpha$ after decoding can be calculated in the same way, as

$$\alpha = \begin{cases} H_b^{-1}[1 - \Phi_1 \gamma_2)], & \text{for } \Phi_1^{-1}(0) \leq \gamma_2) \leq \Phi_1^{-1}(1) \\ 0, & \text{for } \gamma_2) \geq \Phi_1^{-1}(1), \end{cases}$$

with 
$$\Phi_1$$
  $(\gamma_2)=\left[ rac{C \; (\gamma_2)}{R_{c,1}} 
ight]$  Separation Theorem

By combining all, we have

$$\begin{cases} R_1 & \geq H(X_1 \mid \textbf{V}) = H_b(\alpha * p_e) \text{ , because V->Y->X}_1 \text{ forms Markov Chain.} \\ R_2 & \geq I(Y; \textbf{V}) = H(Y) - H(Y \mid \textbf{V}) = 1 - H_b(\alpha) \end{cases}$$

with 
$$\alpha * p_e = (1 - \alpha) p_e + \alpha (1 - p_e)$$

We do not know  $\gamma_0$ ,  $\gamma_1$ ,  $\gamma_2$  but we know their distributions.

### To Calculate the Outage, we need threefold Integrals

$$P_{1,a} = \Pr\{p = 0, R_2 \ge 1, 0 \le R_1 \le H_b(p)\}$$

$$= \Pr\{\gamma_0 \ge \Phi_1^{-1}(1), \gamma_2 \ge \Phi_2^{-1}(1),$$

$$\Phi_1^{-1}(0) \le \gamma_1 \le \Phi_1^{-1}(0)\}$$

$$= \int_{\Phi_1^{-1}(0)}^{\Phi_1^{-1}(1)} d\gamma_0 \int_{\Phi_2^{-1}(0)}^{\Phi_2^{-1}(1)} d\gamma_2$$

$$\cdot \int_{\Phi_1^{-1}(0)}^{\Phi_1^{-1}(0)} p(\gamma_0) \cdot p(\gamma_1) \cdot p(\gamma_2) d\gamma_1$$

$$= 0,$$

$$\Pr\{p = 0, R_{2} \ge 1, 0 \le R_{1} \le H_{b}(p)\} 
\Pr\{\gamma_{0} \ge \Phi_{1}^{-1}(1), \gamma_{2} \ge \Phi_{2}^{-1}(1), \qquad P_{2,a} = \Pr\{0 
= \Pr\{\Phi_{1}^{-1}(0) \le \gamma_{1} \le \Phi_{1}^{-1}(1), \gamma_{2} \ge \Phi_{2}^{-1}(1), \qquad \Phi_{1}^{-1}(0) \le \gamma_{1} \le \Phi_{1}^{-1}(1), \gamma_{2} \ge \Phi_{2}^{-1}(1), \qquad \Phi_{1}^{-1}(0) \le \gamma_{1} \le \Phi_{1}^{-1}(1), \gamma_{2} \ge \Phi_{2}^{-1}(1), \qquad \Phi_{1}^{-1}(0) \le \gamma_{1} \le \Phi_{1}^{-1}(1) - \Phi_{1}(\gamma_{0})\} 
= \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}(1)} d\gamma_{0} \int_{\Phi_{2}^{-1}(1)}^{\Phi_{2}^{-1}(1)} d\gamma_{0} \int_{\Phi_{2}^{-1}(1)}^{\Phi_{1}^{-1}(1)} d\gamma_{0} \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}(1)} d\gamma_{0} \int_{\Phi_{1}^{-1}(1)}^{\Phi_{1}^{-1}(1)} d\gamma_{0} \int_{\Phi_{1}^{-1}(1)}^{\Phi_{1}^{-1}(1)} d\gamma$$

 $\begin{array}{c} P_{1,b} = \Pr\{p = 0, 0 \leq R_2 \leq 1, 0 \leq R_1 \leq H_b(\alpha * p)\} & -\overline{\Gamma_0}^{\text{exp}} \left[ -\overline{\Gamma_2}^{\text{exp}} \right] \int_{\Phi_1^{-1}(0)}^{\exp(-\overline{\Gamma_0})} \int_{\Phi_2^{-1}(0)}^{\exp(-\overline{\Gamma_0})} \left[ -\overline{\Gamma_0}^{\text{exp}} \right] \int_{\Phi_2^{-1}(0)}^{\exp(-\overline{\Gamma_0})} \left[ -\overline{\Gamma_0}^{\text$ 

 $\Phi^{\dagger}$  hose  $\Psi^{\dagger}$   $\Phi^{\dagger}$   $\Phi^{\dagger}$  to Know the Details of the Calculation,  $\leq H_b(\alpha*p)$  $= \oint_{\Phi_{1}^{-1}(1)}^{\Phi_{1}^{-1}(\infty)} G_{\Phi_{2}^{-1}(0)}^{\Phi_{2}^{-1}(1)} \text{ my Place after the Tutorial (TwwkfDCpcmpat)} \oint_{\Phi_{2}^{-1}(0)}^{\Phi_{2}^{-1}(1)} \Phi_{2}^{-1}(1),$ 

$$\cdot \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}[1-\Phi_{2}(\gamma_{2})]} p(\gamma_{0}) \cdot p(\gamma_{1}) \cdot p(\gamma_{2}) d\gamma_{1} 
= \frac{1}{\Gamma_{2}} \exp \left[ -\frac{\Phi_{1}^{-1}(1)}{\Gamma_{0}} \right] \int_{\Phi_{2}^{-1}(0)}^{\Phi_{2}^{-1}(1)} \exp(-\frac{\gamma_{2}}{\Gamma_{2}}) 
\cdot \left[ 1 - \exp(-\frac{\Phi_{1}^{-1}[1-\Phi_{2}(\gamma_{2})]}{\Gamma_{1}}) \right] d\gamma_{2},$$

$$\Phi_{1}^{-1}(0) \leq \gamma_{1} \leq \Phi_{1}^{-1}[\Psi(\gamma_{0}, \gamma_{2})] \}$$

$$= \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}(1)} d\gamma_{0} \int_{\Phi_{2}^{-1}(0)}^{\Phi_{2}^{-1}(1)} d\gamma_{2}$$

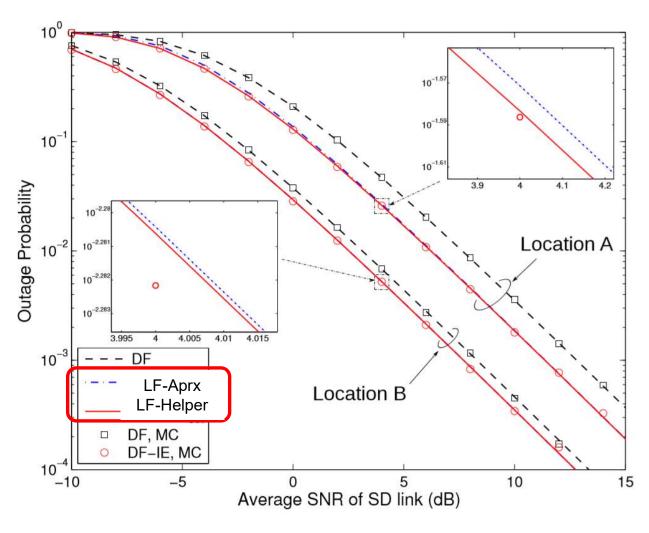
$$\cdot \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}[\Psi(\gamma_{0}, \gamma_{2})]} p(\gamma_{0}) \cdot p(\gamma_{1}) \cdot p(\gamma_{2}) d\gamma_{1}$$

$$= \frac{1}{\Gamma_{0}\Gamma_{2}} \int_{\Phi_{1}^{-1}(0)}^{\Phi_{1}^{-1}(1)} \int_{\Phi_{2}^{-1}(0)}^{\Phi_{2}^{-1}(1)} \exp(-\frac{\gamma_{0}}{\Gamma_{0}} - \frac{\gamma_{2}}{\Gamma_{2}})$$

$$\cdot \left\{ 1 - \exp\left[ -\frac{\Phi_{1}^{-1}[\Psi(\gamma_{0}, \gamma_{2})]}{\Gamma_{1}} \right] \right\} d\gamma_{0} d\gamma_{2}$$

# Comparison of exact and approximated SW region with a helper (Orthogonal Case)





Location A, d0=d1=d2 Location B, d0=(1/4)d1, d2=(3/4)d1

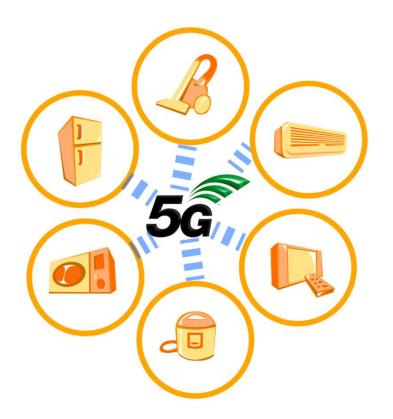
[1] X. Zhou, M. Cheng, X. He and T. Matsumoto, "Exact and Approximated Outage Probability Analyses for Decode-and- Forward Relaying System Allowing Intra-Link Errors," in IEEE Transactions on Wireless Communications, vol. 13, no. 12, pp. 7062-7071, Dec. 2014.



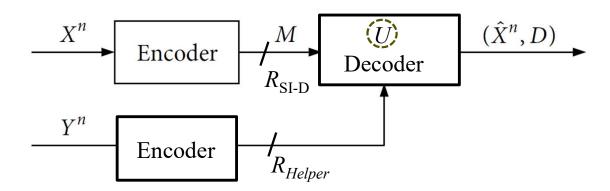


## Chapter 2. End-to-End Lossy Distributed Multi-terminal Networks: Rate Distortion Analysis

- 2.1 Wyner-Ziv Formulation for End-to-End Lossy Two-Way Relay Network
- Internet of Things (IoT)
   Connect objects to make Right Decisions → E2E Lossy

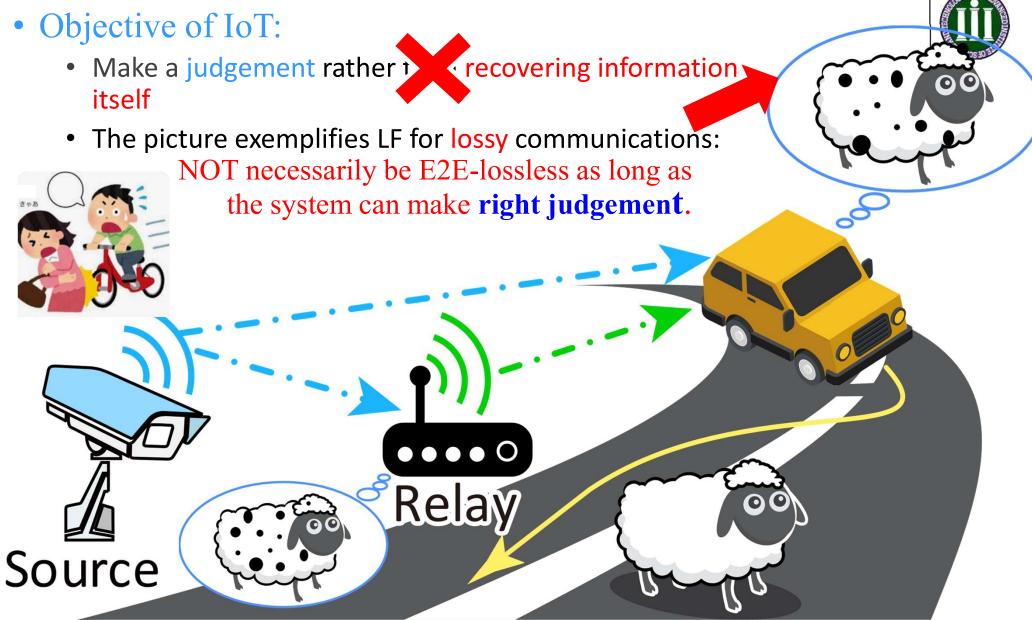


#### WZ with a Helper:





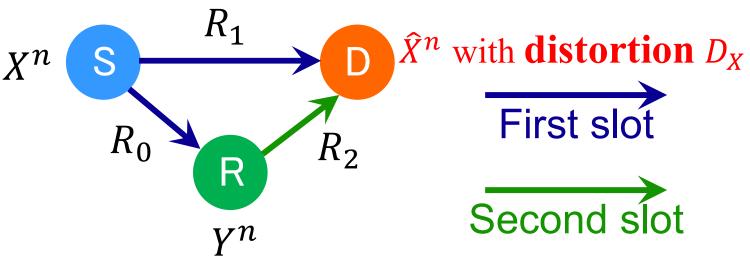
### **E2E Lossy Communications with LF**



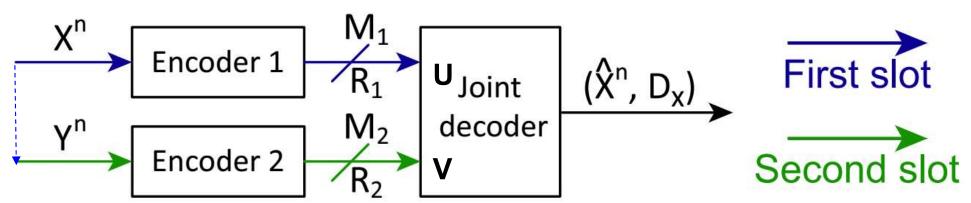


### Lossy Distributed Multi-terminal Source Coding





- S-R link: point-to-point communication.
- S-D and R-D links: distributed lossy multi-terminal source coding problem.
  - → As a whole, Wyner-Ziv Problem





### **Rate-Distortion Region**





### $\blacksquare$ WZ R(D) function for general sources

$$R_1 > I(X; U|V),$$
  
$$R_2 > I(Y; V).$$

- For binary sources
  - S-R link
  - R-D link
  - S-D link

$$R_0 > 1 - H_b(\rho)$$
.

$$R_2 > 1 - H_b(\rho')$$
.

$$R_1 > H_b(\rho' * \rho * D_X) - H_b(D_X).$$

because V->Y->X->U forms a Markov Chain.

 $\rho$ : crossover probability between X and Y

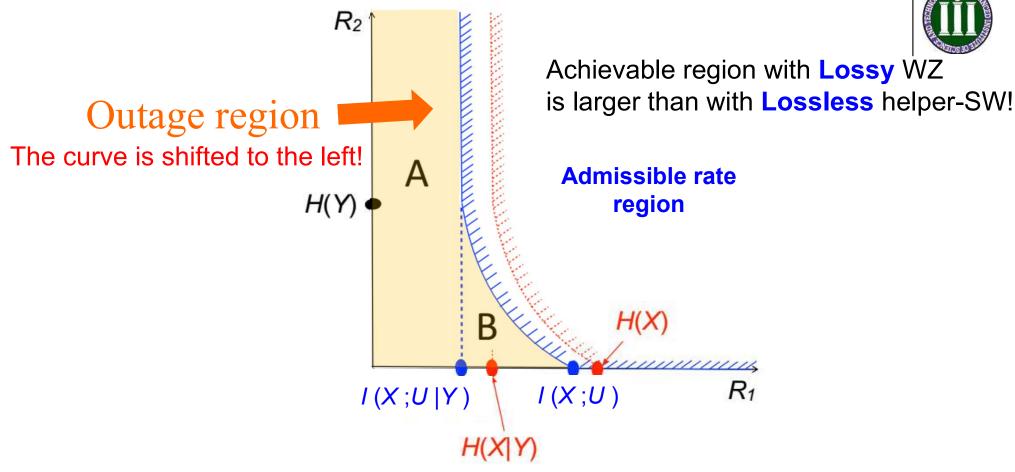
 $\rho'$ : crossover probability between Y and V



### **Outage Event**







• The link rates  $(R_0, R_1, R_2)$  supported by channel capacities cannot satisfy the distortion requirement  $D_X$ , when they fall outside the achievable rate-distortion region.  $\rightarrow$  Outage



### Again, we need multifold Integrals

$$\begin{aligned} & \text{P}_{\text{out}} = \text{Pr} \left\{ (R_1, R_2) \in \alpha \cup \beta \right\} \\ & = \text{Pr} \left\{ p = 0, (R_1, R_2) \in \alpha \cup \beta \right\} \\ & + \text{Pr} \left\{ p \in (0, 0.5], (R_1, R_2) \in \alpha \cup \beta \right\} \\ & = \text{Pr} \left\{ p = 0, (R_1, R_2) \in \alpha \right\} \\ & + \text{Pr} \left\{ p = 0, (R_1, R_2) \in \beta \right\} \\ & + \text{Pr} \left\{ p \in (0, 0.5], (R_1, R_2) \in \alpha \right\} \\ & + \text{Pr} \left\{ p \in (0, 0.5], (R_1, R_2) \in \beta \right\} \\ & + \text{Pr} \left\{ p \in (0, 0.5], (R_1, R_2) \in \beta \right\} \\ & = \text{Pr} \left\{ 0 \leq R_1 \leq H_b(p * D_X) - H_b(D_X), 0 \leq R_2, \right. \end{aligned}$$

$$& p = 0 \}$$

$$& + \text{Pr} \left\{ H_b(p * D_X) - H_b(D_X) - H_b(D_X), 0 \leq R_2, \right. \end{aligned}$$

$$& 0 \leq R_2 \leq 1, p = 0 \}$$

$$& + \text{Pr} \left\{ 0 \leq R_1 \leq H_b(p * P_X) - H_b(P_X), 0 \leq R_2, \right.$$

$$& 0 
$$& + \text{Pr} \left\{ H_b(p * D_X) - H_b(D_X), 0 \leq R_2, \right.$$

$$& 0 
$$& + \text{Pr} \left\{ H_b(p * D_X) - H_b(D_X), 0 \leq R_2, \right.$$

$$& 0 \leq R_2 \leq 1, 0 
$$& = P_{1,\alpha} + P_{1,\beta} + P_{2,\alpha} + P_{2,\beta}, \end{aligned}$$$$$$$$

$$P_{1,\alpha} = \Pr \left\{ 0 \le R_1 \le H_b(0 * D_X) - H_b(D_X), 0 \le R_2 \right.$$

$$p = 0$$

$$= \Pr \left\{ 0 \le R_1 \le 0, 0 \le R_2, p = 0 \right\}$$

$$= \Pr \left\{ \Theta_1^{-1}(0) \le \gamma_1 \le \Theta_1^{-1}(0), \Theta_2^{-1}(0) \le \gamma_2, \Theta_0^{-1}(1) \le \gamma_0 \right\}$$

$$= \left\{ \Theta_0^{-1}(1) \le \gamma_0 \right\}$$

$$= \int_{\Theta_2^{-1}(0)}^{\infty} d\gamma_2 \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}(0)} d\gamma_1$$

$$= \int_{\Theta_0^{-1}(0)}^{\infty} f(\gamma_0) f(\gamma_1) f(\gamma_2) d\gamma_0, \\ -H_b(D_X), 0 \le R_2, \qquad \int_{\Theta_0^{-1}(0)}^{\infty} f(\gamma_0) f(\gamma_1) f(\gamma_2) d\gamma_0, \\ -H_b(0 * D_X) - H_b(D_X), \qquad 0 \le R_2 \le 1, p = 0$$

$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * 0 * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

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$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

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$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0 \right\}$$

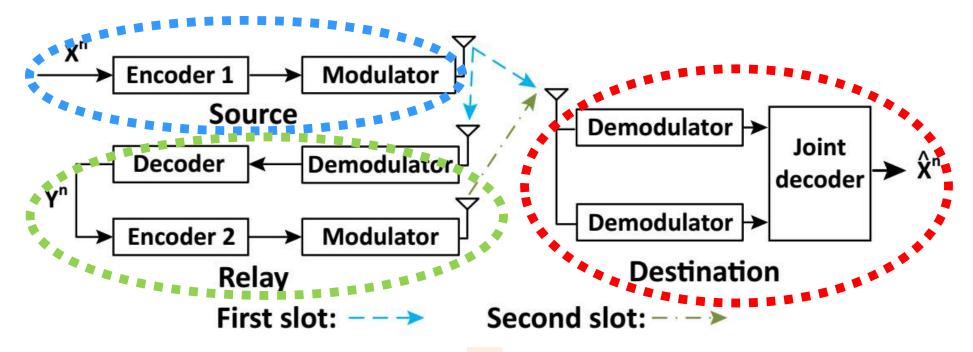
$$= \Pr \left\{ 0 \le R_1 \le H_b(p' * D_X) - H_b(D_X), \\ 0 \le R_2 \le 1, p = 0$$



### Again, we need threefold Integrals!

### We calculated, but too boring. Let's skip it!

We evaluate the outage probability also by chain simulations using very simple signaling and joint decoding techniques.





### **Simulation Results**



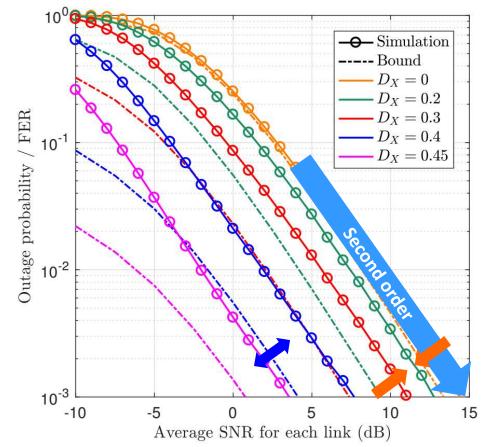




- 1. Simulation results have the same tendency and the slope decay (=Diversity Order) as the theoretical bound.
- 2. The gap between the simulation and theoretical results becomes larger as  $D_X$  increases.  $\rightarrow$  We need more efficient rate-distortion code.

#### SIMULATION PARAMETERS

Parameter	Value
Frame length	$10^4$ bits
Number of frames	$5 \times 10^5$
Source coding rate	1
Channel coding rate	1/2
Generator polynomial of CC	$G = ([3, 2]3)_8$
Type of interleaver	random interleaver
Modulation method	BPSK
Maximum iteration time	20

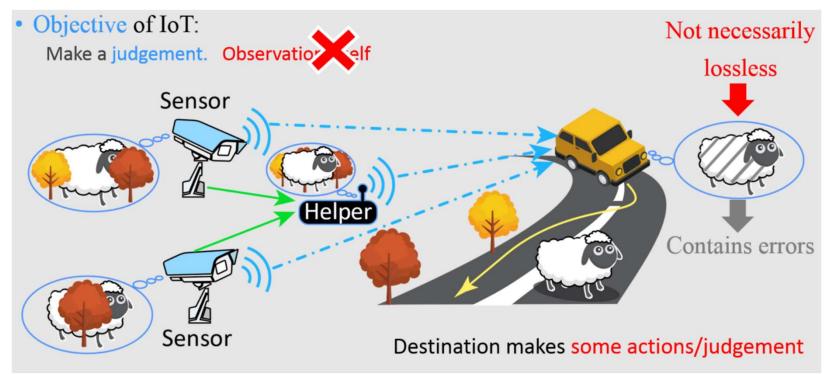




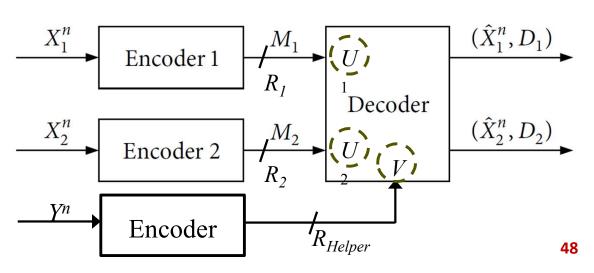




#### 2.2 Berger-Tung (BT) Formulation for Two Source One Helper Network



Multiple Access Relay Channel formulated by BT with a Helper:

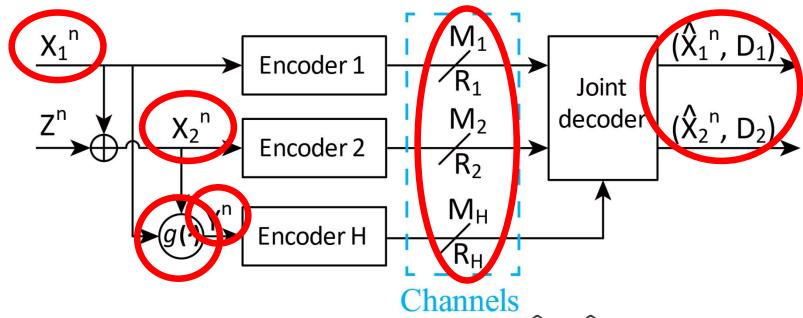




### System Model







 $X_1, X_2$ : two sources  $M_1, M_2, M_H$ : codewords  $\hat{X}_1^n, \hat{X}_2^n$ : recovered sequences

Y: helper information  $R_1, R_2, R_H$ : link rates  $D_1, D_2$ : distortion requirements  $g(\cdot)$ : optimal helper (it utilizes the useful information at the maximum level, since

 $(U_1, U_2) \rightarrow (X_1, X_2) \rightarrow Y \rightarrow V$  and by data processing theorem,  $I(U_1, U_2; V) \leq I(Y; V) \leq [R_H]^-$ 



### Rate-Distortion Analysis





- What is the achievable rate-distortion region?
  - Condition for reliable communications: link rates can support the transmissions to satisfy the distortion requirements.
- Inner bound on the achievable rate-distortion region, given by Berger Tung Bound

$$R_1 > I(X_1; U_1|U_2, V, Q),$$
 $R_2 > I(X_2; U_2|U_1, V, Q),$ 
 $R_1 + R_2 > I(X_1, X_2; U_1, U_2|V, Q),$ 
 $R_{\mathrm{H}} > I(Y; V),$ 

 $U_i$ : compressed information of  $X_i$ 

*V*: compressed information of *Y* 

Q: an auxiliary variable resulting from time-sharing scheme

Use Inner bound → Upper bound of the outage probability







- The inner bound for binary sources.
  - (a) for some  $0 \le \tilde{d} \le D_2$ ,  $\begin{cases} R_1 > H_b(D_1 * \rho * \tilde{d}) - H_b(D_1) - [R_H]^-, \\ R_2 > 1 - H_b(\tilde{d}), \end{cases}$
  - (b) for some  $0 \le \tilde{d} \le D_1$ ,  $\begin{cases} R_1 > 1 - H_b(\tilde{d}), \\ R_2 > H_b(\tilde{d} * \rho * D_2) - H_b(D_2) - [R_H]^-, \end{cases}$
  - (c) common case,  $R_1 + R_2 > 1 + H_b(D_1 * \rho * D_2) H_b(D_1) H_b(D_2) [R_H]^-,$
- This is not an inner bound in general. It is only for the case that the following inequality holds with equality.

$$I(U_{\mathbf{S}}; V | U_{\mathbf{S}^c}) \leq [R_{\mathrm{H}}]^-,$$

where  $S \subseteq \{1,2\}$ , and  $S^c$  represents the complementary set of S.

 $\tilde{d}$ : dummy variable

 $H_b$ : binary entropy function

$$a * b = a(1 - b) + b(1 - a)$$

$$[R_{\rm H}]^- = \min\{1, R_{\rm H}\}$$

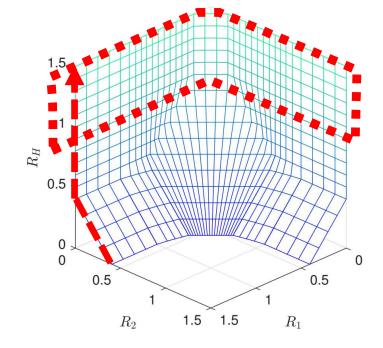






• The shape of achievable rate-distortion region.

- 1. The achievable rate-distortion region is expanded as  $R_{\rm H}$  increases.
- 2. However, the above part of the region for  $R_H \ge 1$ , does not change even if the helper rate continues increasing.



$$\rho = 0.15, D_1 = D_2 = 0.05$$

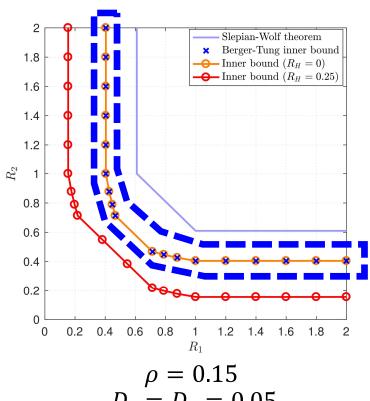






• The achievable rate-distortion region projected on the  $R_1$ -  $R_2$  plane by given  $R_H$ .

The derived inner bound perfectly coincides with the Berger-Tung inner bound when  $R_{\rm H} = 0$ (equivalent to no helper).



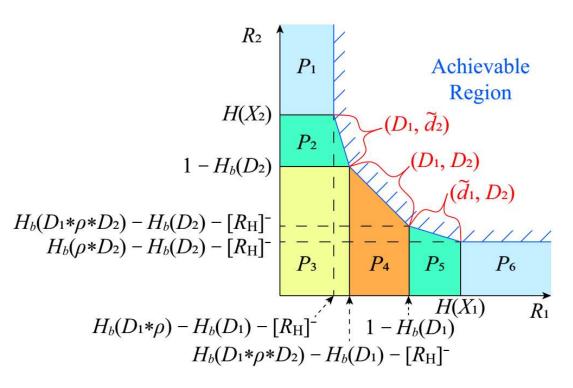
$$\rho = 0.15 
D_1 = D_2 = 0.05$$



### Outage Probability Analysis







#### Outage event defined as:

• The link rates fall outside the achievable rate-distortion region, i.e., the link rates  $(R_1, R_2, R_H)$  supported by channel capacities cannot satisfy the distortion requirements  $(D_1, D_2)$ .







• The outage probability is the multiple integral with respect to  $(R_1, R_2, R_H)$ .

$$P_{\text{out}} = \iiint \cdots dR_1 dR_2 dR_{\text{H}}$$



• The instantaneous rates  $(R_1, R_2, R_H)$  are supported by the instantaneous signal-to-noise ratios (SNRs)  $(\gamma_1, \gamma_2, \gamma_H)$ .



• The outage probability can be calculated by the threefold integral with respect to SNRs  $(\gamma_1, \gamma_2, \gamma_H)$ .

$$P_{\text{out}} = \iiint \cdots d\gamma_1 \, d\gamma_2 \, d\gamma_{\text{H}}$$



### Again, we need multifold Integrals

$$\begin{split} P_1 &= \Pr\{0 \leq R_1 \leq H_2(D_1 * \rho) - H_2(D_1) - [R_H]^-, \\ &= H(X_2) \leq R_2, 0 \leq R_H\} \\ &= \Pr\{0 \leq \Theta_1(\gamma_1) \leq \lambda_1(0), H(X_2) \leq \Theta_2(\gamma_2), \\ &= 0 \leq \Theta_H(\gamma_H)\} \\ &= \Pr\{\Theta_1^{-1}(0) \leq \gamma_1 \leq \Theta_1^{-1}[\lambda_1(0)], \\ &= \Theta_2^{-1}[H(X_2)] \leq \gamma_2, \Theta_H^{-1}(0) \leq \gamma_H\} \\ &= \int_{\Theta_H^{-1}(0)}^{\infty} d\gamma_H \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}[\lambda_1(0)]} d\gamma_1 \\ &= \int_{\Theta_2^{-1}[H(X_2)]}^{\infty} p(\gamma_2) p(\gamma_1) p(\gamma_H) \mathcal{L}_{\Sigma}^{\bullet} \mathcal$$

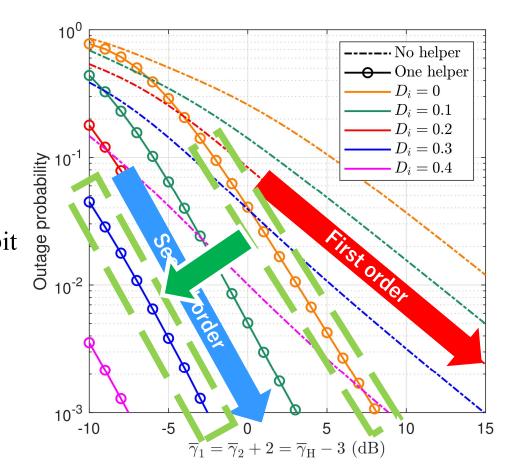
$$\begin{split} P_2 &= \Pr\{0 \leq R_1 \leq H_2(D_1 * \rho * \tilde{d}_2) - H_2(D_1) - [R_{\rm H}]^-, \\ 1 - H_2(D_2) \leq R_2 < H(X_2), 0 \leq R_{\rm H}\} \\ &= \Pr\{\Theta_1^{-1}(0) \leq \gamma_1 \leq \Theta_1^{-1}[\lambda_1(\tilde{d}_2)], \\ \Theta_2^{-1}[1 - H_2(D_2)] \leq \gamma_2 < \Theta_2^{-1}[H(X_2)], \\ \Theta_H^{-1}(0) \leq \gamma_{\rm H}\} \\ &= \int_{\Theta_{\rm H}^{-1}(0)}^{\infty} d\gamma_{\rm H} \int_{\Theta_2^{-1}[1 - H_2(D_2)]}^{\Theta_2^{-1}[H(X_2)]} d\gamma_2 \\ & \cdot \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}[\lambda_1(\tilde{d}_2)]} p(\gamma_1)p(\gamma_2)p(\gamma_{\rm H})d\gamma_1 \\ &= \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}(0)} d\gamma_{\rm H} \int_{\Theta_2^{-1}[1 - H_2(D_2)]}^{\Theta_2^{-1}[H(X_2)]} d\gamma_2 \\ & \cdot \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}(0)} p(\gamma_1)p(\gamma_2)p(\gamma_{\rm H})d\gamma_1 \\ &+ \int_{\Theta_{\rm H}^{-1}(1)}^{\infty} d\gamma_{\rm H} \int_{\Theta_2^{-1}[1 - H_2(D_2)]}^{\Theta_2^{-1}[H(X_2)]} d\gamma_2 \\ & \cdot \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}(0)} p(\gamma_1)p(\gamma_2)p(\gamma_{\rm H})d\gamma_1 \\ &= \int_{\Theta_1^{-1}(0)}^{\Theta_1^{-1}[\lambda_1(\tilde{d}_2)]} p(\gamma_1)p(\gamma_2)p(\gamma_{\rm H})d\gamma_1 + 0 \\ &= \frac{1}{\bar{\gamma}_2\bar{\gamma}_{\rm H}} \cdot \int_{\Theta_{\rm H}^{-1}(0)}^{\Theta_{\rm H}^{-1}(1)} d\gamma_{\rm H} \\ & \cdot \int_{\Theta_2^{-1}[1 - H_2(D_2)]}^{\Theta_2^{-1}[1 - H_2(D_2)]} \exp\left(-\frac{\gamma_2}{\bar{\gamma}_2} - \frac{\gamma_{\rm H}}{\bar{\gamma}_{\rm H}}\right) \end{split}$$



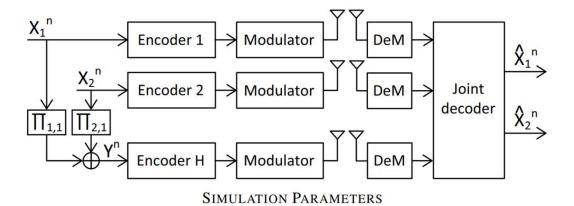




- Numerical Results ( $\rho = 0.1$ )
- 1. The larger the acceptable distortion , the smaller the outage probability .  $(D_i \nearrow \Rightarrow P_{\text{out}} \searrow$  ).
- 2. Without a helper, the curves always exhibit order diversity.
- 3. With a helper, it can achieve second order diversity ( $P_{\text{out}}$  decreases faster).







Parameter	Value
Frame length	$10^4$ bits
Number of frames	$5 \times 10^5$
Source coding rate	1
Channel coding rate	1/2
Generator polynomial of CC	$G = ([3, 2]3)_8$
Type of interleaver	random interleaver
Modulation method	BPSK
Maximum iteration time	20

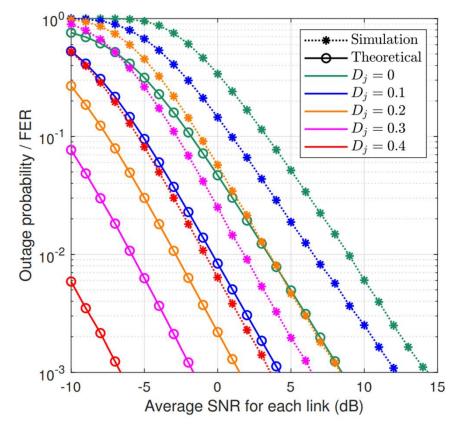


Fig. 13. Simulation results with  $\rho = 0.1$ .

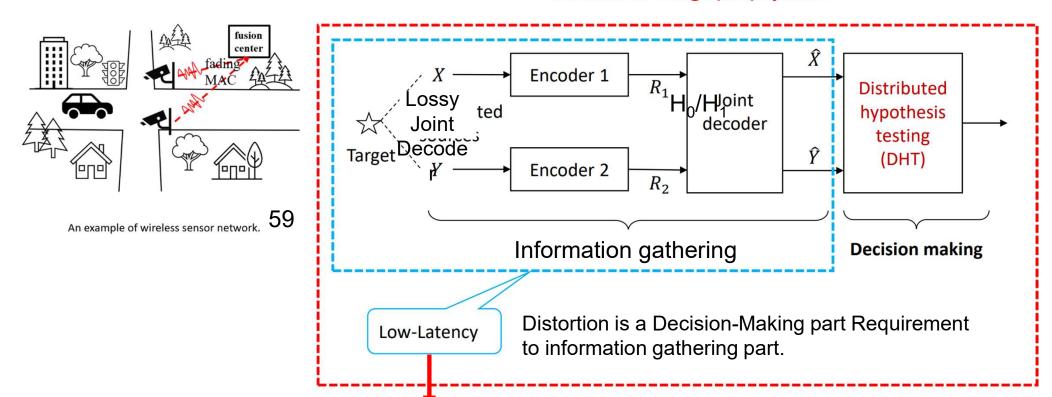






#### 2.3 End-to-End Lossless and Lossy Multiple Access Channels

#### Internet of things (IoT) system



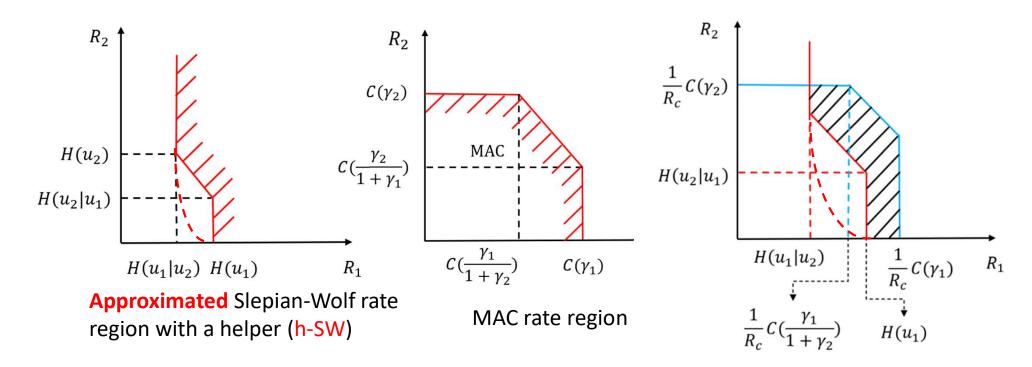
Data gathering should not necessarily be lossless.

MAC!

#### **End-to-End Lossless MAC:**



A sufficient condition of successful transmissions is defined as the case Slepian-Wolf region with a helper and MAC rate region intersect, where Source-Channel Separation holds.



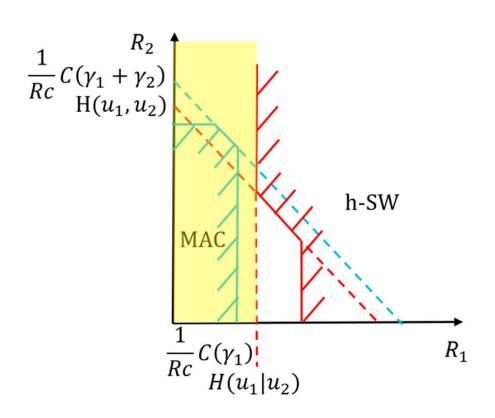
Let the transmission rate of the source and the helper to be  $R_1$  and  $R_2$ , respectively.

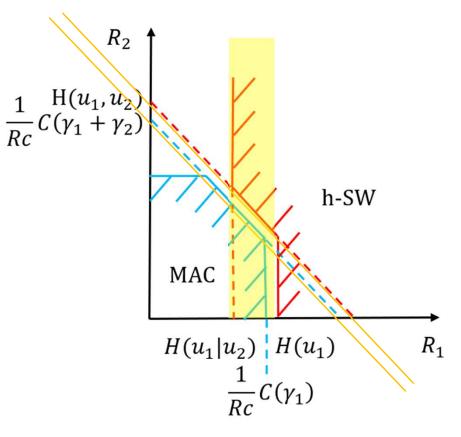
$$R_{1} \geq \left\{ \begin{array}{ll} H(pe), & \text{for} \quad R_{2} \geq 1, \\ 1 + H(pe) - R_{2}, & \text{for} \quad H(pe) \leq R_{2} \leq 1, \\ 1, & \text{for} \quad 0 \leq R_{2} \leq H(pe). \end{array} \right. \quad \left\{ \begin{array}{ll} R_{1}R_{c} \leq C\left(\gamma_{1}\right), \\ R_{2}R_{c} \leq C\left(\gamma_{2}\right), \\ R_{1}R_{c} + R_{2}R_{c} \leq C\left(\gamma_{1} + \gamma_{2}\right), \end{array} \right.$$

Source – Channel separation modeled by Bit–Fipping Model (as in BF MIMO TEQ) with flipping probability  $p_e$ 

### Cases where Outage Happens







$$\begin{cases} 0 < \frac{1}{R_c} C(\gamma_1) < H(u_1 \mid u_2) \\ 0 < \frac{1}{R_c} C(\gamma_2) \end{cases}$$

$$\begin{cases} H(u_1|u_2) \le \frac{1}{Rc}C(\gamma_1) < H(u_1) \\ \frac{1}{Rc}C(\gamma_1 + \gamma_2) < H(u_1, u_2) \end{cases}$$



### Outage Probability Expressions

Outage probability can be calculated by multifold integrals with respect to the instantaneous SNR of each link.

$$P_{out,1} = \Pr\left\{\frac{1}{R_c}C\left(\gamma_1\right) < H\left(u_1 \mid u_2\right)\right\}$$

$$= \int_{\Phi(0)}^{\Phi[H(u_1|u_2)]} \int_{\Phi(0)}^{\Phi(+\infty)} p\left(\gamma_1\right) p\left(\gamma_2\right) d\gamma_2 d\gamma_1$$

$$= \int_{0}^{2^{R_cH(P_e)} - 1} \int_{0}^{+\infty} p\left(\gamma_1\right) p\left(\gamma_2\right) d\gamma_2 d\gamma_1$$

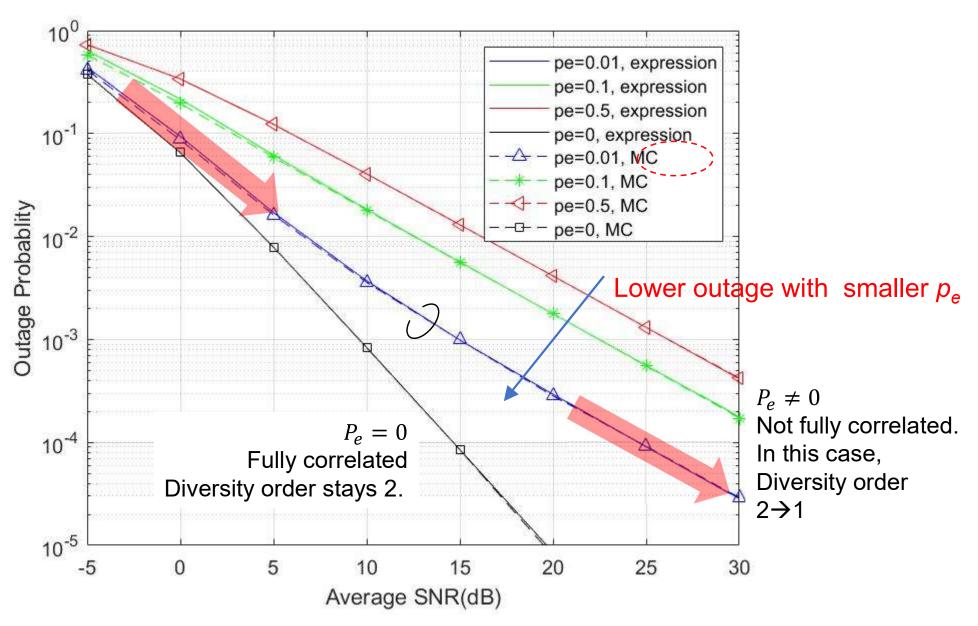
$$= 1 - \exp\left\{-\frac{1}{\Gamma_1} \left[2^{R_cH(P_e)} - 1\right]\right\},$$

$$P_{out} = P_{out,1} + P_{out,2}$$

$$P_{out,2} = \Pr\left\{\frac{1}{R_c}C\left(\gamma_1\right) < H\left(u_1 \mid u_2\right)\right\} \\ = \int_{\Phi(0)}^{\Phi[H(u_1|u_2)]} \int_{\Phi(0)}^{\Phi(+\infty)} p\left(\gamma_1\right) p\left(\gamma_2\right) d\gamma_2 d\gamma_1 \\ = \int_{0}^{\Phi[H(u_1|u_2)]} \int_{\Phi(0)}^{\Phi(H(u_1|u_2)]} \int_{\Phi(0)}^{\Phi(H(u_1|u_2)]} \int_{\Phi(0)}^{\Phi[H(u_1|u_2)]} p\left(\gamma_1\right) p\left(\gamma_2\right) d\gamma_2 d\gamma_1 \\ = \int_{0}^{2^{R_cH(P_e)} - 1} \int_{0}^{+\infty} p\left(\gamma_1\right) p\left(\gamma_2\right) d\gamma_2 d\gamma_1 \\ = 1 - \exp\left\{-\frac{1}{\Gamma_1}\left[2^{R_cH(P_e)} - 1\right]\right\}, \\ = \left[-\frac{\Gamma_2}{\Gamma_1 - \Gamma_2}\exp\left[-\frac{1}{\Gamma_1}\left(2^{R_c} - 1\right)\right] - \exp\left[-\frac{1}{\Gamma_1}\left(2^{R_c} - 1\right)\right] \\ \cdot \exp\left\{-\frac{1}{\Gamma_2}\left[2^{R_cH(P_e) + R_c} - 2^{R_c}\right]\right\} \\ \cdot \exp\left\{-\frac{1}{\Gamma_2}\left[2^{R_cH(P_e) + R_c} - 2^{R_cH(P_e)}\right]\right\}, \\ \cdot \exp\left\{-\frac{1}{\Gamma_2}\left[2^{R_cH(P_e) + R_c} - 2^{R_cH(P_e)}\right]\right\}$$



### **Numerical Result**



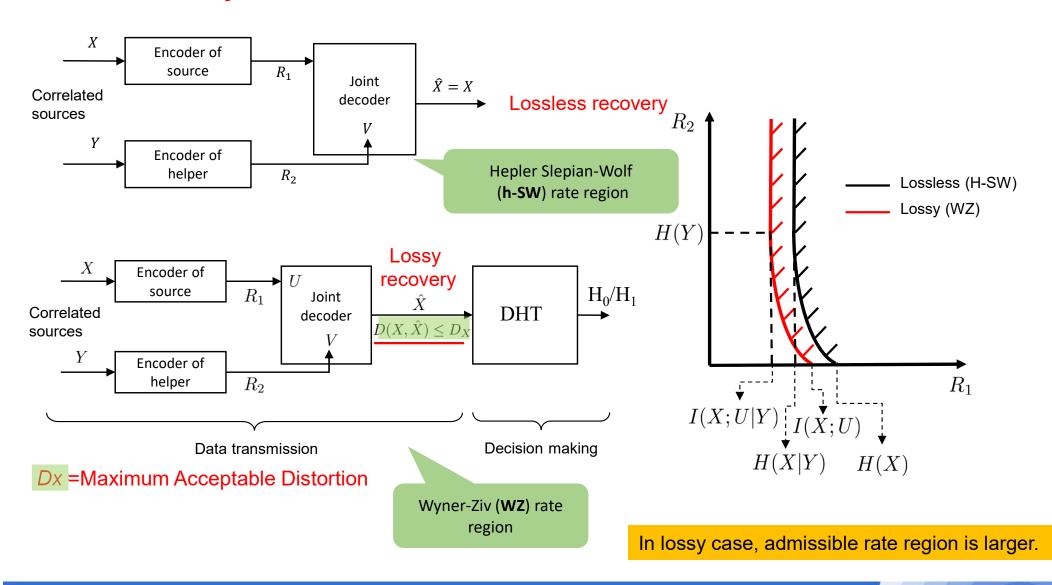


### Lossy Case Helper: WZ System





#### **End-to-End Lossy MAC:**



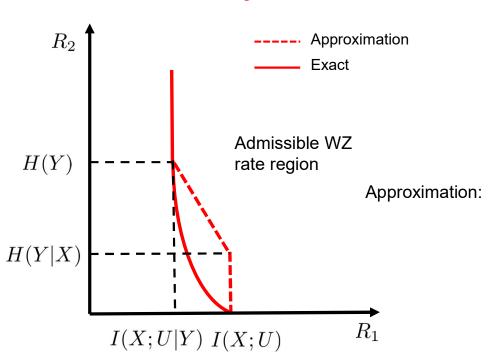


### **WZ Region Approximation**





#### **Intersection Analysis**



Exact: 
$$\left\{ \begin{array}{l} R_{1} \geq I\left(X;U|Y\right), \\ R_{2} \geq I\left(Y|V\right), \end{array} \right.$$

$$R_1 \ge \begin{cases} I\left(X; U | Y\right), & \text{for} \quad R_2 \ge H(Y), \\ \frac{1}{\alpha} R_2 + \frac{\beta}{\alpha}, & \text{for} \quad H(Y | X) \le R_2 \le H(Y), \\ I\left(X; U\right), & \text{for} \quad 0 \le R_2 \le H(Y | X), \end{cases}$$

Admissible WZ rate region

with: 
$$\alpha = \frac{I\left(X;Y\right)}{I\left(X;U|Y\right) - I\left(X;U\right)} \qquad \beta = \frac{I\left(X;U\right) - I\left(X;U|Y\right) \cdot H\left(Y|X\right)}{I\left(X;U|Y\right) - I\left(X;U\right)}$$

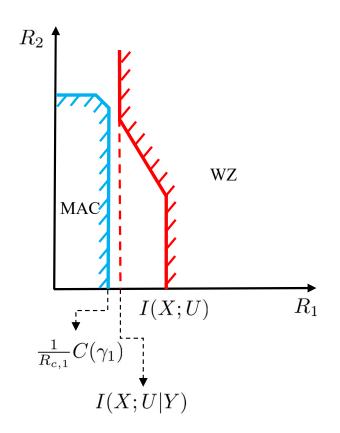
65

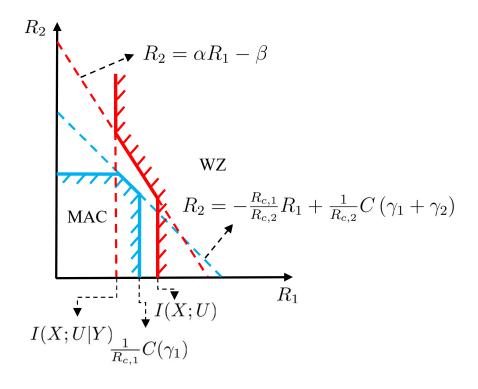


### **Cases where Outage Happens**









Two scenarios that outage happens.  $P_{out,total} = P_{out,1} + P_{out,2}$ 





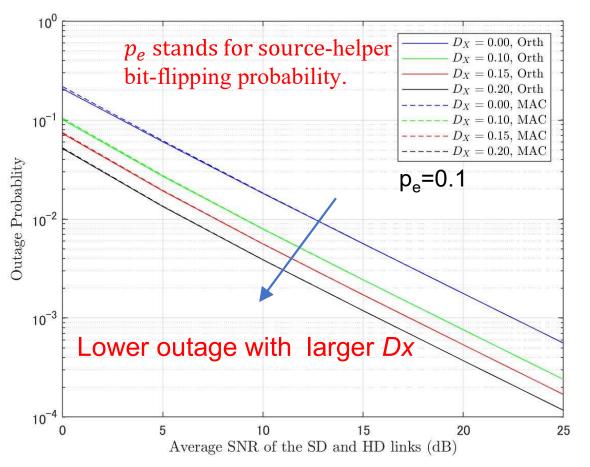
### **Twofold Integral Similar to Lossless Case**



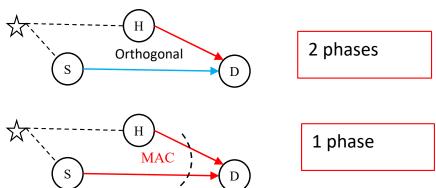
### **WZ MAC Outage Probability**







- The results show that the difference between Orthogonal and MAC is negligibly small.
- Transmission efficiency with MAC transmission is twice as large as that in orthogonal transmission.





### **Extension to Networks**

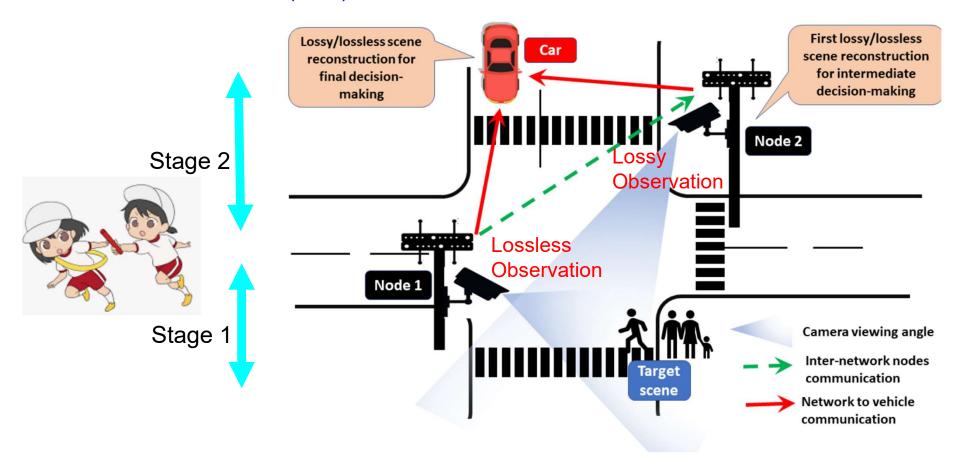




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#### 2.4 Two Stage Wyner-Ziv Network: Distortion Transfer Analysis

Analyze: How Distortion causing at the previous stage is forwarded to the current stage? Distortion Transfer Function (DTF)

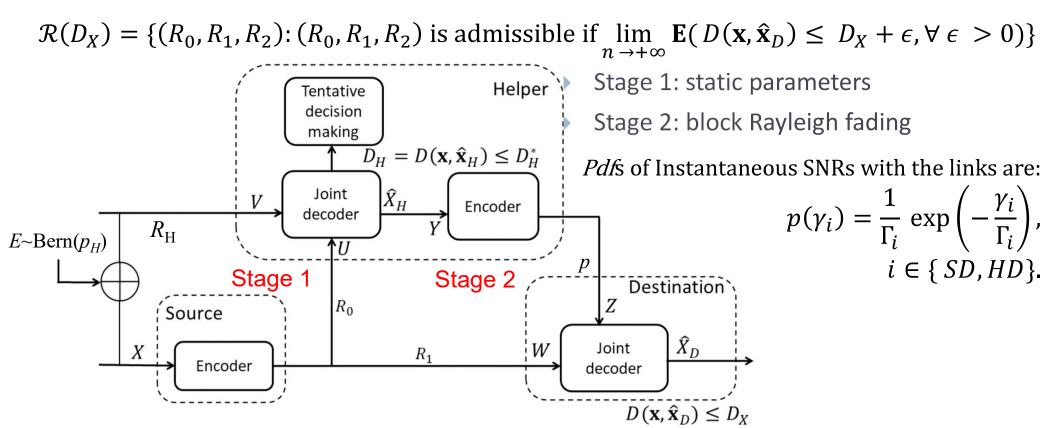




### **Block Diagram**

### Mathematical assumptions

- i.i.d Bit-Flipping model for the correlation between the observations
- Hamming distortion measure
- Definition of Admissible rate-distortion (RD) region:





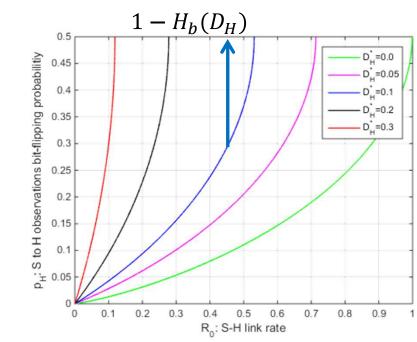
### Stage-Independent RD Analysis

Stage-by-stage admissible RD region

### Stage 1

$$R_0 \ge I(X; U|V)$$

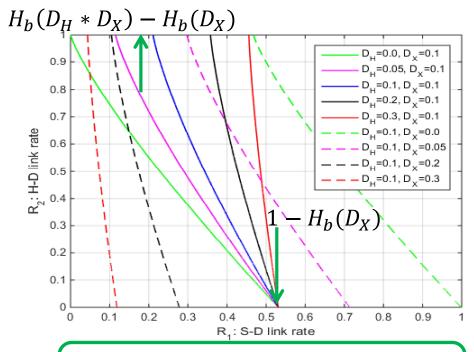
$$= H_b(D_H * p_H) - H_b(D_H)$$



Smaller rate  $R_0$  is required when  $D_H$  is larger or  $p_h$  is lower

#### Stage 2

$$\geq I(X; U|V) \qquad R_1 \geq I(X; W|Z) = H_b(p * D_H * D_X) - H_b(D_X)$$
  
=  $H_b(D_H * p_H) - H_b(D_H) \qquad R_2 \geq I(Y; Z) = 1 - H_b(p)$ 



Smaller rates  $R_1$  and  $R_2$  are required when  $D_X$  is larger or  $D_H$  is smaller



 $p = H_b^{-1}(R + H)$ 

### **Stage-Dependent RD Analysis**

The Recursive Structure of the Binary Convolution is Referred to as: Distortion Transfer Function (DTF)  $R(D) = H_b(p) - H_b(D)$ 

#### DTF Connects the two stages, as

- Stage 1:
  - Assume the required distortion  $D_H$  at Helper is given by  $D_H^*$
  - ▶ It is found that Bit-Flipping probability between the observations should satisfy

to distortion requirement on Side information

- ▶ When  $R_0 \ge 1 H_h(D_H^*)$ , using  $\Lambda(y, t = 0.5) = 0.5$ , we have  $p_H \le 0.5$ □ which corresponds to the case no side information is required.
- When  $R_0$  decreases,  $H_h^{-1}(R_0 + H_h(D_H^*))$  also decreases, and hence also  $p_H$ decreases,
  - $\square$  which corresponds to the case higher correlation is needed to satisfy  $D_H^*$

# Stage-Dependent RD Analysis: Connecting Stages



#### Stage 2

- $\blacktriangleright$  Assume the required distortion at Destination is given by  $D_X$
- It is found that the distortion at Helper should satisfy

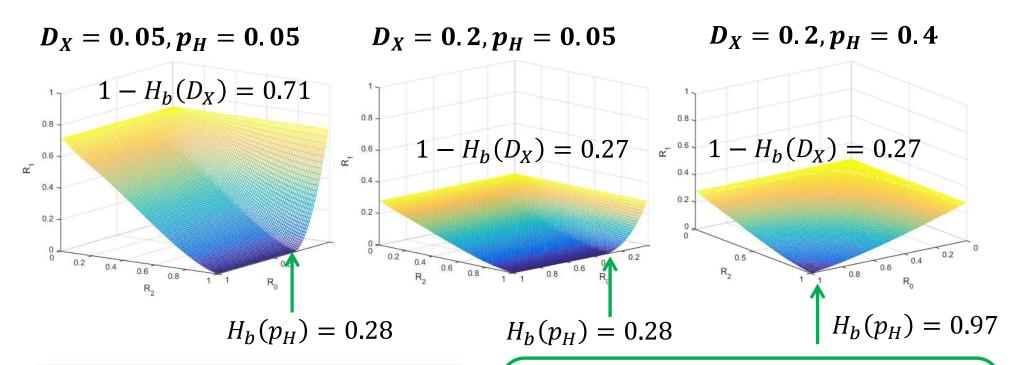
$$D_H^* \leq \Lambda \left[ p \text{ , } \Lambda [D_X, H_b^{-1} \big( R_1 + H_b (D_X) \big) \right]$$
 to distortion requirement at Helper

- When  $R_2$  is large enough,  $p = H_b^{-1}(1 R_2) = 0$ , then using  $\Lambda(0, t) = t$ :  $D_H^* \le \Lambda \Big[ D_X, H_b^{-1} \Big( R_1 + H_b(D_X) \Big) \Big] = D_{SI}$
- In this case, Stage 2 is equivalent to Stage 1 with distortion requirement  $D_{SI}$  on Side Information.
- ▶ Condition  $p ext{ ≤ } D_{SI}$  is required to achieve  $D_X$  at Destination

## **Rate Surface Calculation**



- Connecting Stage 1 and Stage 2
  - ▶ 3D admissible RD region



Relaxing the requirement with larger  $D_X$  expands the admissible RD region

Higher observation correlation reduces distortion requirement at Helper as well as Source-Helper link rate  $R_0$ , resulting in expands the admissible RD region

## **Outage Probability**



#### Assumptions:

- $\blacktriangleright$  Static stage 1:  $D_H$  is a fixed parameter
- Destination is moving: fading variation on S-D and H-D links
- $\rightarrow$  When rates  $R_1$  and  $R_2$  are in the inadmissible RD region: outage happens



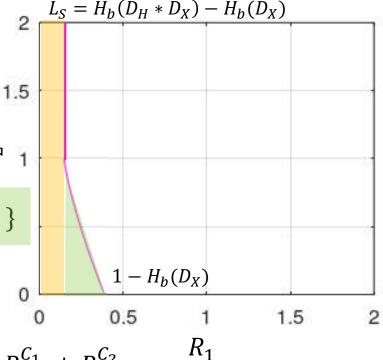
$$C_1 \triangleq \{0 \le R_1 \le H_b(D_H * D_X) - H_b(D_X), R_2 \ge 0 \}$$

→ Case 2:

$$C_2 \triangleq \{L_S \leq R_1 \leq H_b(p * D_H * D_X) - H_b(D_X), 0 \leq R_2 \leq 1\}$$

$$f(R_2)$$

 $L_{\mathcal{S}}$ 



$$P_{out} = \Pr\{(R_1, R_2) \in C_1\} + \Pr\{(R_1, R_2) \in C_2\} = P_{out}^{C_1} + P_{out}^{C_2}$$

## Twofold Integrals for Outage Probability Calculation



Utilizing Lossy Source-Channel Separation theorem,

$$R_1 \le \Phi_S(\gamma_S) \triangleq \frac{C(\gamma_S)}{R_c^{SD}}$$
  $R_2 \le \Phi_S(\gamma_H) \triangleq \frac{C(\gamma_H)}{R_c^{HD}}$ 

- $\mathcal{C}(\gamma) = \log_2(1+\gamma)$ : the channel capacity function with two dimensional signaling
- Case 1 outage probability:

$$P_{out}^{C_1} = \Pr\{0 \le R_1 \le L_S, 0 \le R_2\}$$

$$= \Pr\{0 \le \Phi_S(\gamma_S) \le L_S, 0 \le \Phi_H(\gamma_H)\}$$

$$= \Pr\{\Phi_S^{-1}(0) \le \gamma_S \le \Phi_S^{-1}(L_S), \Phi_H^{-1}(0) \le \gamma_H\}$$

$$= \int_{\Phi_H^{-1}(0)}^{+\infty} \int_{\Phi_S^{-1}(0)}^{\Phi_S^{-1}(L_S)} p(\gamma_S, \gamma_H) \, d\gamma_S \, d\gamma_H$$

Case 2 outage probability:

$$\begin{split} P_{out}^{C_2} &= \Pr\{L_S \leq R_1 \leq H_S(\gamma_H), 0 \leq R_2 \leq 1\} \\ &= \Pr\{L_S \leq \Phi_S(\gamma_S) \leq H_S(\gamma_H), 0 \leq \Phi_H(\gamma_H) \leq 1\} \\ &= \Pr\{\Phi_S^{-1}(L_S) \leq \gamma_S \leq \Phi_S^{-1}(H_S(\gamma_H)), \Phi_H^{-1}(0) \leq \gamma_H \leq \Phi_H^{-1}(1)\} \\ &= \int_{\Phi_H^{-1}(0)}^{\Phi_H^{-1}(1)} \int_{\Phi_S^{-1}(L_S)}^{\Phi_S^{-1}(H_S(\gamma_H))} p(\gamma_S, \gamma_H) \ d\gamma_S \ d\gamma_H \end{split}$$

$$p = H_b^{-1} \left(1 - \Phi_H(\gamma_H)\right)$$

$$H_S(\gamma_H) = H_b \left(H_b^{-1} \left(1 - \Phi_H(\gamma_H)\right) * D_H * D_X\right) - H_b(D_X)$$

# **Twofold Integrals for Outage Probability Calculation**



For independent fading on S-D and H-D links

$$P_{out}^{C_1} = \frac{1}{\Gamma_S \Gamma_H} \int_{\Phi_H^{-1}(0)}^{+\infty} \int_{\Phi_S^{-1}(0)}^{\Phi_S^{-1}(L_S)} \exp(-\frac{\gamma_S}{\Gamma_S}) \exp(-\frac{\gamma_H}{\Gamma_H}) d\gamma_S d\gamma_H$$

$$= 1 - \exp\left(\frac{-\Phi_S^{-1}(L_S)}{\Gamma_S}\right),$$
TwwkDCpCmPaT!

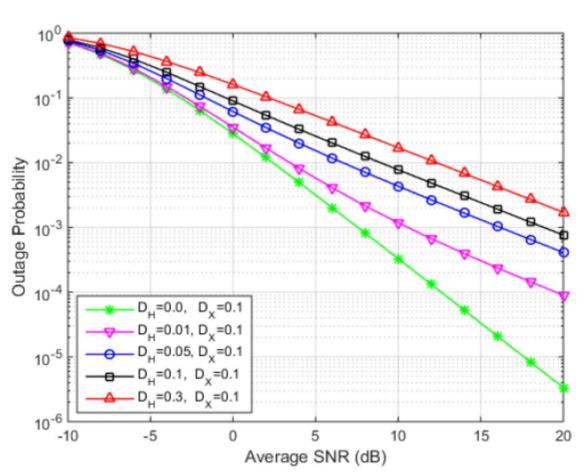
$$P_{out}^{C_{2}} = \frac{1}{\Gamma_{S}\Gamma_{H}} \int_{\Phi_{H}^{-1}(0)}^{\Phi_{H}^{-1}(1)} \int_{\Phi_{S}^{-1}(L_{S})}^{\Phi_{S}^{-1}(H_{S}(\gamma_{H}))} \exp(-\frac{\gamma_{S}}{\Gamma_{S}}) \exp(-\frac{\gamma_{H}}{\Gamma_{H}}) d\gamma_{S} d\gamma_{H}$$

$$= \frac{1}{\Gamma_{H}} \int_{\Phi_{H}^{-1}(0)}^{\Phi_{H}^{-1}(1)} \exp(-\frac{\gamma_{H}}{\Gamma_{H}}) \left[ \exp\left(-\frac{\Phi_{S}^{-1}(L_{S})}{\Gamma_{S}}\right) - \exp\left(-\frac{\Phi_{S}^{-1}(H_{S}(\gamma_{H}))}{\Gamma_{S}}\right) \right] d\gamma_{H}$$

## **Outage Probability**



For independent fading on the S-D and H-D links



First order diversity when **first stage is lossy** and high  $D_H$  at Helper

$$P_{out} \approx P_{out}^{C_1} = 1 - \exp\left(\frac{-\Phi_S^{-1}(L_S)}{\Gamma_S}\right)$$
$$\approx \frac{-\Phi_S^{-1}(L_S)}{\Gamma_S} \propto \frac{1}{\Gamma_S}$$

**Second-to-first** order diversity change when first stage is lossy and  $D_H$  is low

**Second order diversity** achieved when Stage 1 is lossless ( $D_H = 0$ ,  $L_S = 0$ )

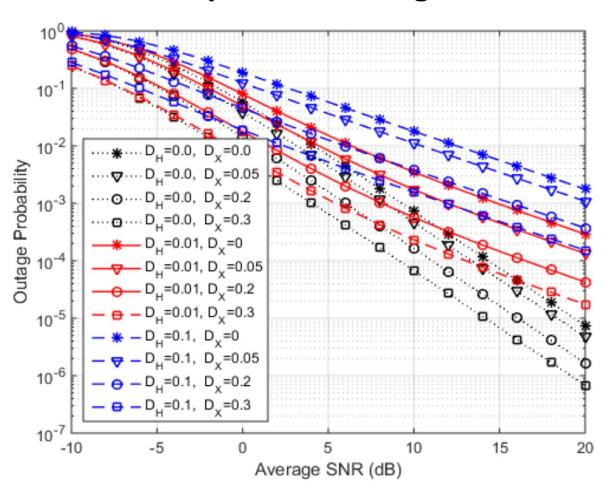
$$P_{out} = P_{out}^{C_2}$$

$$\approx \frac{1}{\Gamma_H \Gamma_S} \int_{\Phi_H^{-1}(0)}^{\Phi_H^{-1}(1)} \Phi_S^{-1} \left( H_S(\gamma_H) \right) d\gamma_H \propto \frac{1}{\Gamma_H \Gamma_S}$$





For independent fading on the S-D and H-D links



Increasing the allowed distortion at Destination  $D_X$  provides lower outage probabilities

However,  $D_X$  has no impact on the slope of the outage probability (parallel curves)

# Impact of Spatial Correlation on Outage Probability



- For correlated fading on the S-D and H-D links
  - $ho = < h_1$ ,  $h_2^* >$  the correlation of the complex channel gains  $h_1$  and  $h_2$
  - The joint PDF of the instantaneous SNRs

$$p(\gamma_S, \gamma_H) = \frac{1}{\Gamma_S \Gamma_H (1 - |\rho|^2)} \exp\left(-\frac{1}{1 - |\rho|^2} \left(\frac{\gamma_S}{\Gamma_S} + \frac{\gamma_H}{\Gamma_H}\right)\right) \times I_0\left(\frac{2|\rho|}{1 - |\rho|^2} \sqrt{\frac{\gamma_S \gamma_H}{\Gamma_S \Gamma_H}}\right)$$

 $I_0(x)$  is the zero-order modified Bessel's function of the first kind

TwWKD©pCmPaT!
$$I_0(x) = \sum_{m=0}^{\infty} \frac{p(m!)^2}{(m!)^2} \left(\frac{\pi}{2}\right)^m$$

The outage probability of cases 1 and 2 can be written as

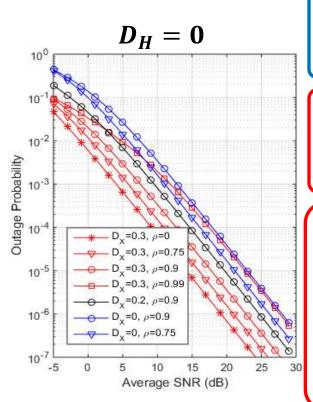
$$P_{out}^{C_1} \approx \sum_{m=0}^{M} A_m \int_{\Phi_H^{-1}(0)}^{+\infty} \int_{\Phi_S^{-1}(0)}^{\Phi_S^{-1}(L_S)} \left(\frac{\gamma_S \gamma_H}{\Gamma_S \Gamma_H}\right)^m \exp\left(-a\frac{\gamma_S}{\Gamma_S}\right) \exp\left(-a\frac{\gamma_H}{\Gamma_H}\right) d\gamma_S d\gamma_H,$$

$$P_{out}^{C_2} \approx \sum_{m=0}^{M} A_m \int_{\Phi_H^{-1}(0)}^{\Phi_H^{-1}(0)} \int_{\Phi_S^{-1}(L_S)}^{\Phi_S^{-1}(H_S(\gamma_H))} \left(\frac{\gamma_S \gamma_H}{\Gamma_S \Gamma_H}\right)^m \exp\left(-a\frac{\gamma_S}{\Gamma_S}\right) \exp\left(-a\frac{\gamma_H}{\Gamma_H}\right) d\gamma_S d\gamma_H,$$

# Impact of Spatial Correlation on Outage Probability



For correlated fading on the S-D and H-D links



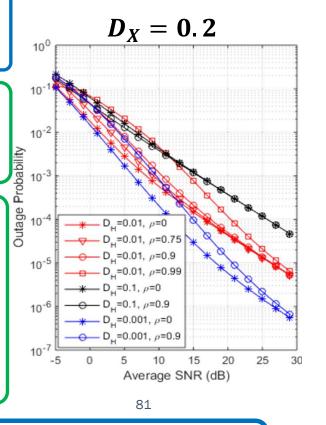
**Higher** fading **correlation** induces **higher outage** probabilities

Increasing  $D_X$  reduces the outage probability

Second order diversity is achieved with  $D_H=0$  (even when  $\rho\simeq 1$ ), independently of  $D_X$ 

**1**<sup>st</sup> **order diversity** is obtained asymptotically

For small  $D_H$  and  $\rho$  values,  $2^{nd}$  order diversity can be achieved at low average SNRs

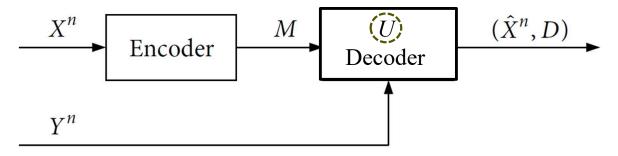


Fading correlation has no impact on the asymptotic diversity order

#### **Chapter 3 Wyner-Ziv Formulation for Decision Making Process**

3.1 Revisit of Helper-aided Lossy Networks

#### **Wyner Ziv Networks**:





Notice:  $U \rightarrow X \rightarrow Y$  forms a Markov Chain

$$I(X;U) - I(Y;U) = I(XY;U) - I(Y;U|X) - I(Y;U)$$

$$= I(XY;U) - I(Y;U) = I(X;U|Y).$$

#### We have used $U \rightarrow X \rightarrow Y$ in the networks of:

- Outage analysis for wireless End-to-End Lossy Communications networks
- A two-stage wireless communications network based on Distortion Transfer Function
- Extension to two-sources one-helper End-to-End Lossy wireless communications network

- ...

Fact: I(X; U) - I(Y; U) = I(X; U|Y) can be understood as:

- Y is training sequence for Machine Learning,
- Y is training sequence, maybe followed by online observation, used for the knowledge updating of 1<sup>st</sup> and 2<sup>nd</sup> order statistics, *pdf* and Markov *dynamics*, in **Semantic** Communications.



## **Hypothesis Testing HT**



3.2 Distributed Hypothesis Testing (DHT) Landmark Builders for Hypothesis Testing (HT): Neyman-Pearson

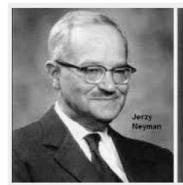
Information Theoretic formulation of HT: Basically, HT is a Code Design problem:

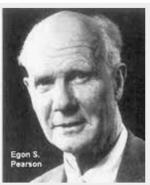
Design  $f^{(n)}$  and  $g^{(n)}$  such that

Minimize Type II Error Probability  $\beta_n$  subject to Type I Error Probability  $\alpha_n \leq \varepsilon$ 

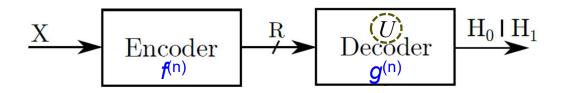
$$\alpha_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right)^{r}\right) = H_{1} \mid H_{0} \text{ is true}\right] \qquad H_{0}: X \sim P_{0,X}$$

$$\beta_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right)^{r}\right) = H_{0} \mid H_{1} \text{ is true}\right] \qquad H_{1}: X \sim P_{1,X}$$





$$H_0: X \sim P_{0,X}$$
  
 $H_1: X \sim P_{1,X}$ 



under constraint the rate R being given.

Note: Tradeoff:  $\alpha_n \uparrow$ ,  $\beta_n \downarrow$ 

Note further: Decoder does NOT have to really "decode" to obtain *U*".

e.g., by Syndrome check only.

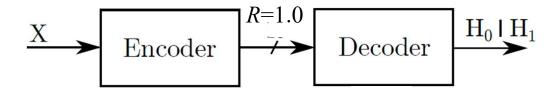




## **Nyman Pearson Test**

With R=1.0, the decision problem boils down to traditional Nyman Pearson test using Likelihood:

$$\frac{P_0(X_1, X_2, \dots, X_n)}{P_1(X_1, X_2, \dots, X_n)} > T , [X_1, X_2, \dots, X_n \in X, and ] H_0: X \sim P_{0,X} H_1: X \sim P_{1,X}$$



$$\alpha^* = P_1^n(A_n^c(T)),$$

$$\alpha_n$$
 and  $\beta_n$  are given by  $\alpha^* = P_1^n(A_n^c(T)), \qquad \beta^* = P_2^n(A_n(T)),$ 

with 
$$A_n(T) = \left\{ \frac{P_1(x_1, x_2, \dots, x_n)}{P_2(x_1, x_2, \dots, x_n)} > T \right\}.$$

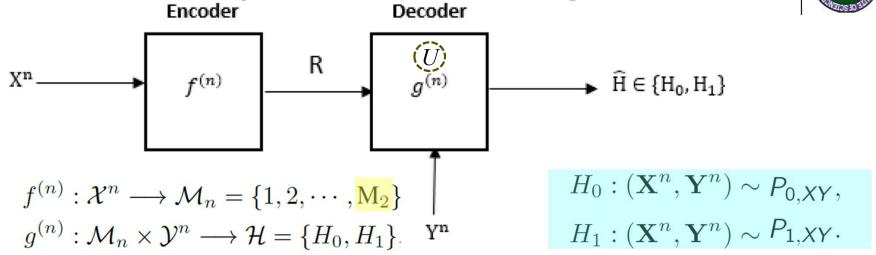
Note: Tradeoff  $\alpha_n \uparrow$ ,  $\beta_n \downarrow$  still holds.







## Distributed Hypothesis Testing, DHT



Decoder  $g^{(n)}$  does NOT have to really "decode" to obtain U, because the objective is to make a decision under the constraint on rate R.

Objective : find the type-II error exponent θ such that type-I error is imposed and a rate constraint is satisfied

$$\alpha_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right), \mathbf{Y}^{n}\right) = H_{1} \mid H_{0} \text{ is true}\right],$$

$$\beta_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right), \mathbf{Y}^{n}\right) = H_{0} \mid H_{1} \text{ is true}\right].$$

The objective is that minimize  $\beta_n$ , subject to  $\alpha_n \leq \varepsilon$ . Tradeoff:  $\alpha_n \uparrow$ ,  $\beta_n \downarrow$ 



#### **DHT Problems:**



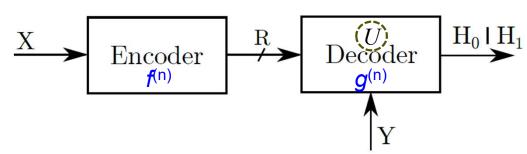
(1) In the same way as HT, again, a DHT problem is a Code Design problem: Design  $f^{(n)}$  and  $g^{(n)}$  such that

Minimize Type II Error Probability  $\beta_n$  subject to Type I Error Probability  $\alpha_n \leq \varepsilon$  under constraint on the rate R given.

$$\alpha_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right), \mathbf{Y}^{n}\right) = H_{1} \mid H_{0} \text{ is true}\right] \qquad H_{0}: (\mathbf{X}^{n}, \mathbf{Y}^{n}) \sim P_{0,XY},$$

$$\beta_{n} = \mathbb{P}\left[g^{(n)}\left(f^{(n)}\left(\mathbf{X}^{n}\right), \mathbf{Y}^{n}\right) = H_{0} \mid H_{1} \text{ is true}\right] \qquad H_{1}: (\mathbf{X}^{n}, \mathbf{Y}^{n}) \sim P_{1,XY}.$$

$$H_0: (\mathbf{X}^n, \mathbf{Y}^n) \sim P_{0,XY},$$
  
 $H_1: (\mathbf{X}^n, \mathbf{Y}^n) \sim P_{1,XY}.$ 



(2) Find Type-II Error Exponent  $\theta$ 

$$\limsup_{n \to \infty} \frac{1}{n} \log \frac{1}{\beta_n} \ge \theta$$

Subject to 
$$\alpha_n \leq \epsilon$$

and 
$$\limsup_{n \to \infty} \frac{1}{n} \log \frac{M_2}{M} \le R$$









$$\limsup_{n \to \infty} \frac{1}{n} \log \frac{1}{\beta_n} \ge \theta$$

It has already been known\* that:

$$\theta = \min \left\{ \frac{G(P_{0,UXY}, R)}{binning\text{-}error}, \frac{D(P_{0,UXY} || P_{1,UXY})}{decision\text{-}error} \right\}$$

With the binning-error-function being:

$$G(P_{0,UXY},R) = R - [I(X;U) - I(U;Y)]$$

where

$$D\left(P_{0,UXY} || P_{1,UXY}\right)$$
 is the KL divergence

We rewite the binning-error-function:

with 
$$G(R, R(D)) = R - R(D)$$
  
 $R(D) = min \{ I(X; U) - I(U; Y) \}$ 

being Wyner Ziv R(D) function!  $\rightarrow$  The DHT Problem (1) boils down to WZ Coding Problem! (Left as Open Problem)

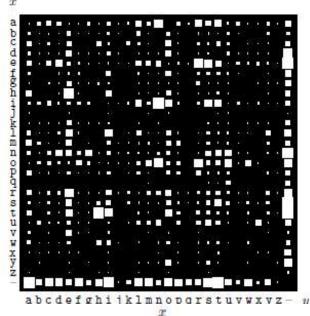


## **English Letters Appearence Probabilities**

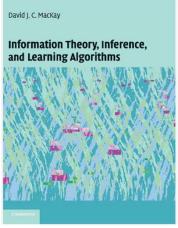
#### 3.3 Semantic Communications

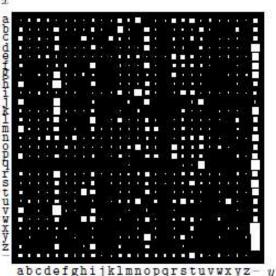
#### $p_i$ 0.0575 0.01280.02630.02850.09130.0173 0.01330.03130.059910 0.000611 0.0084k 12 0.033513 0.023514 0.059615 0.068916 0.019217 0.000818 0.05080.056719 20 0.070621 0.0334 0.0069 $\nabla$ 0.011924 0.0073 x 0.01640.0007z 0.1928

Figure 2.1. Probability distribution over the 27 outcomes for a randomly selected letter in an English language document



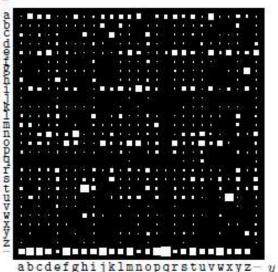






(a) P(y|x)

P(x, y)



(b) P(x | y)



## **Conditioned English Letter Appearance**

If we can evaluate conditional probabilities  $p(x_i|x_{i-1})$ ,  $p(x_i|x_{i-1}, x_{i-2})$ , ...,  $p(x_i|x_{i-1}, x_{i-2}, ..., x_{i-n})$ , empirically or theoretically and create a Markov model of the letter appearances, we can reduce the rate required to encode English.

Shannon's landmark paper presents artificially created English sentences!

Using empirical knowledge p(x<sub>i</sub>)

 $p(x_i|x_{i-1}, x_{i-2})$ 

 Zero-order approximation. (The symbols are independent and equiprobable.)

XFOML RXKHRJFFJUJ ZLPWCFWKCYJ

FFJEYVKCQSGXYD QPAAMKBZAACIBZLHJQD

First-order approximation. (The symbols are independent. Frequency of letters matches English text.)

OCRO HLI RGWR NMIELWIS EU LL NBNESEBYA TH EEI

 $p(X_i|X_{i-1})$  ALHENITTPA OOBTTVA NAH BRL

 Second-order approximation. (The frequency of pairs of letters matches English text.)

ON IE ANTSOUTINYS ARE T INCTORE ST BE S DEAMY

ACHIN D ILONASIVE TUCOOWE AT TEASONARE FUSO

TIZIN ANDY TOBE SEACE CTISBE

 Third-order approximation. (The frequency of triplets of letters matches English text.)

IN NO IST LAT WHEY CRATICT FROURE BERS GROCID

PONDENOME OF DEMONSTURES OF THE REPTAGIN IS

REGOACTIONA OF CRE



### Higher-order Conditioning: Letter and Word Levels

Using empirical — knowledge of  $p(x_i|x_{i-1}, x_{i-2}, x_{i-3})$ 

Using empirical knowledge of the word appearance probability p(w<sub>i</sub>)

$$p(w_i|w_{i-1}, w_{i-2})$$

 Fourth-order approximation. (The frequency of quadruplets of letters matches English text. Each letter depends on the previous three letters. This sentence is from Lucky's book, Silicon Dreams [183].)

THE GENERATED JOB PROVIDUAL BETTER TRAND THE

DISPLAYED CODE, ABOVERY UPONDULTS WELL THE

CODERST IN THESTICAL IT DO HOCK BOTHE MERG.

(INSTATES CONS ERATION. NEVER ANY OF PUBLE AND TO

THEORY. EVENTIAL CALLEGAND TO ELAST BENERATED IN

WITH PIES AS IS WITH THE)

Instead of continuing with the letter models, we jump to word models.

First-order word model. (The words are chosen independently but with frequencies as in English.)

REPRESENTING AND SPEEDILY IS AN GOOD AFT OR COME

CAN DIFFERENT NATURAL HERE HE THE A IN CAME THE TO

OF TO EXPERT GRAY COME TO FURNISHES THE LINE

MESSAGE HAD BE THESE.

 Second-order word model. (The word transition probabilities match English text.)

THE HEAD AND IN FRONTAL ATTACK ON AN ENGLISH

WRITER THAT THE CHARACTER OF THIS POINT IS

THEREFORE ANOTHER METHOD FOR THE LETTERS THAT THE

TIME OF WHO EVER TOLD THE PROBLEM FOR AN

UNEXPECTED

With the 4<sup>th</sup> order model, Shannon showed that 2.8 bits are enough to express one English letter!



## **Adaptive Morse Code**



#### **Semantic Communications**

#### Morse Code Alphabet

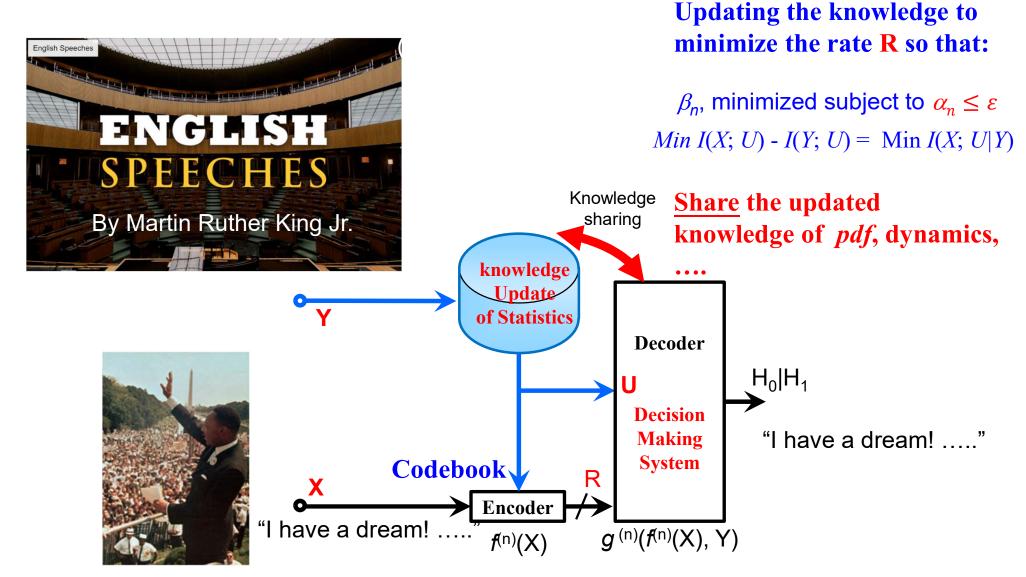
Α	•-	N	_•	0	
В	-•••	0		1	•
C	-•-•	Р	••	2	••
D	-••	Q	•-	3	•••
Е	•	R	•-•	4	••••
F	••-•	S	•••	5	••••
G	•	Т	-	6	-••••
Ι	••••	٥	••_	7	•••
_	••	٧	•••-	8	••
7	•	W	•	9	•
K	_•_	X	_••_	٠	•-•-•
L	•_••	Y	_•	,	••
M		Z	••	?	••••

- The higher the appearance probability, the shorter the code length, following the Huffman coding rule.
- However, the appearance probabilities should change according to the sources, such as Book, Video, File type, ...., situation, person, ... ← Semantic Dependency.
- Why not Source and Channel coding *Jointly* Adaptive, depending on "Semantics"?
- Joint Coding can achieve "closer to optimal" than Separated Coding?



## Bretagne-Pays de Komowledge updating for Semantic Communications a WZ Problem





Remembering Martin Luther King Jr. | Tory Daily (toryburch.jp)



## SEMANTIC COMMUNICATION : CWC **FOR THE INTERNET OF VEHICLES**

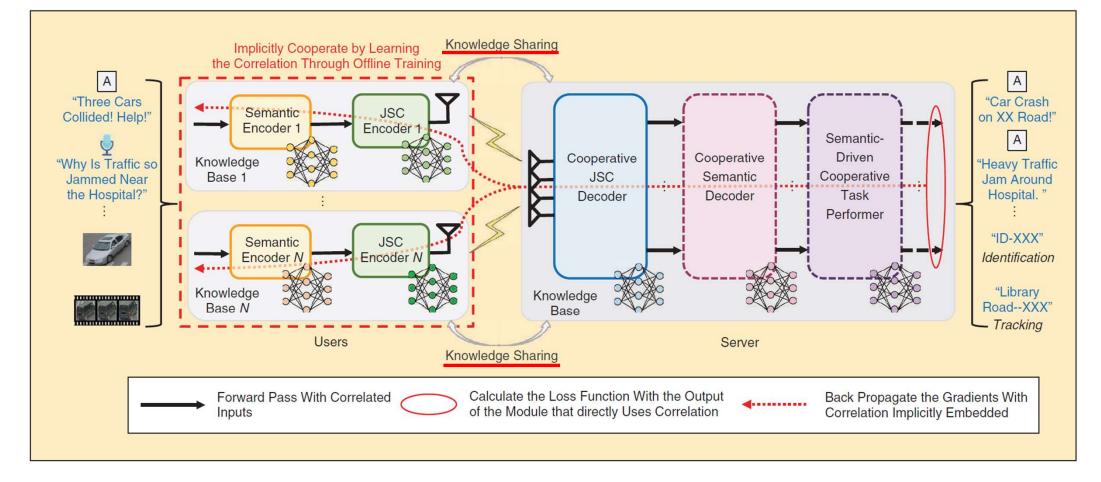




A Multiuser Cooperative Approach

Wenjun Xu<sup>®</sup>, Yimeng Zhang<sup>®</sup>, Fengyu Wang<sup>®</sup>, Zhijin Qin<sup>®</sup>, Chenyao Liu<sup>®</sup>, and Ping Zhang<sup>®</sup>

IEEE VTS Magazine Volume 18, No. 1, pp. 100-109

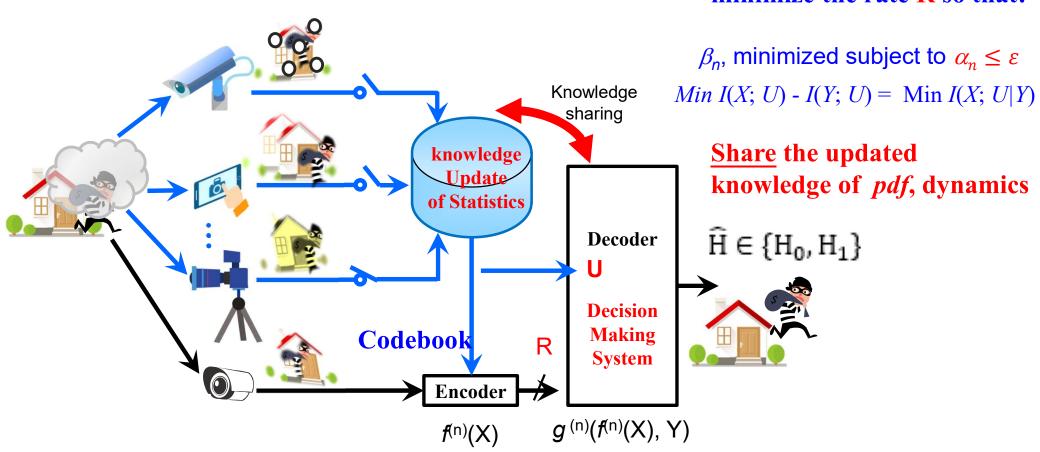






#### 3.4 Training Process in Machine Learning

## **Updating the knowledge to minimize the rate R so that:**





## Learning, in the WZ framework





X corresponding to the Current Observation, U to the Lossy Reconstruction, and Y to Data Set for the Learning of Probability Distribution for knowledge updating followed by Codebook generation!

$$Y = (Y_1, Y_2, \dots, Y_n)$$

$$R(D) = min\{I(X; U) - I(U; Y_1, Y_2, \dots, Y_n)\} = min\{I(X; U | Y_1, Y_2, \dots, Y_n)\}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Question:}}$$

$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

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$$Y_2 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$

$$Y_1 = \underbrace{\{X_1, Y_2, \dots, Y_n\} \}}_{\text{Independent or Correlated?}}$$



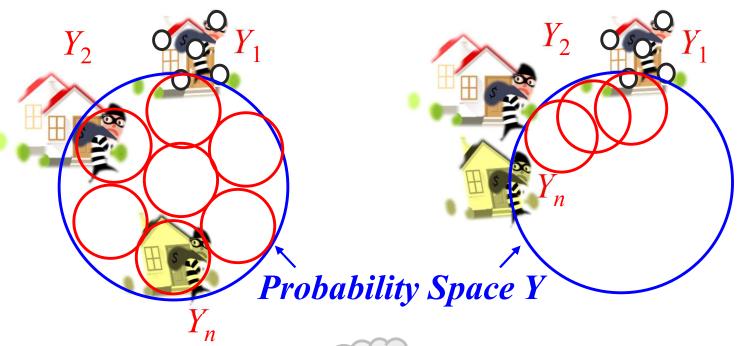




### Learning in the WZ framework: Open Questions

(1) Y is fully covered by sub-probability space  $Y_i$  without overlapping.

(2) Y is NOT fully covered.  $Y_i$  are overlapping.



Decision on the observation X= being correct or incorrect depends on the generated learning data by (1) or (2).







- (1) is suitable when decision is Ergodic (time average).
  - →Learning requires Large size of training data. Suitable for pre-training, such as ML.
- (2) is suitable when decision is Instantaneous. Learning data may require only partial data.
  - → Suitable for online-training by introducing a forgetting factor.
    A similarity to Information Bottleneck!











Tutorial

#### On the Information Bottleneck Problems: Models, Connections, Applications and Information Theoretic Views

Abdellatif Zaidi 1,2,\* and Iñaki Estella-Aguerri 2 and Shlomo Shamai (Shitz) 3

Specifically, IB formulates the problem of extracting the relevant information that some signal  $X \in \mathcal{X}$  provides about another one  $Y \in \mathcal{Y}$  that is of interest as that of finding a representation U that is maximally informative about Y (i.e., large mutual information I(U;Y)) while being minimally informative about X (i.e., small mutual information I(U;X)).



# Information Bottleneck: Formulation under WZ Framework!

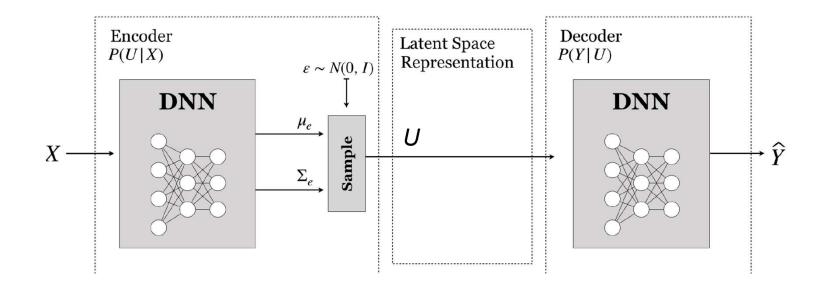




Accordingly, for a given  $\beta$  and source distribution  $P_{X,Y}$ , the optimal mapping of the data, denoted by  $P_{II|X}^{*,\beta}$ , is found by solving the IB problem, defined as

$$\mathcal{L}^{\mathrm{IB}}_{\beta}(P_{U|X}) \coloneqq I(U;Y) - \beta I(U;X)$$

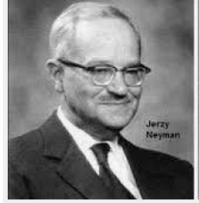
with  $U \rightarrow X \rightarrow Y$  and Lagrange Multiplier  $\beta$ .  $\longrightarrow$  We can use some optimization tools.

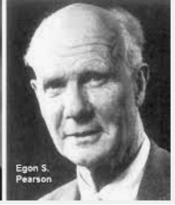




## **Any Questions?**









My SISU Continues.
Thank you!

Do they meet in 6G Networks?

See you soon again somewhere in the world!













