

Verification of programs manipulating ADTs using Shallow Horn Clauses

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What is the problem?

Objective

Proving relational properties on functional programs manipulating algebraic data types in a completely automatic way.

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Example: Program

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type nat = Z | S of nat
type nlist = Nil | Cons of nat * nlist

let rec length l =
  match l with
  | Nil  $\rightarrow$  Z
  | Cons(_, t)  $\rightarrow$ 
    S(length t)

let rec less n1 n2 =
  match (n1, n2) with
  | Z, S(_)  $\rightarrow$  true
  | -, Z  $\rightarrow$  false
  | S(n1'), S(n2')  $\rightarrow$ 
    less n1' n2'
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Example: Program and properties

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```

$P_1 \doteq \forall l, x, \text{less } Z (\text{length } (\text{Cons}(x, l)))$

$P_2 \doteq \forall l, x, \text{less } (\text{length } l) (\text{length } (\text{Cons}(x, l)))$

Algebraic non-relational properties: ¹

Property P_1

$\forall l, x, \text{ less } Z (\text{length} (\text{Cons}(x, l)))$

¹Haudebourg, Genet, Jensen, “Regular Language Type Inference with Term Rewriting”

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Type abstraction by regular languages (using tree automaton)

■ Natural numbers: $\mathcal{L}_n \doteq \mathcal{L}_Z \cup \mathcal{L}_{S^+}$

■ Lists: $\mathcal{L}_l \doteq \mathcal{L}_{Nil} \cup \mathcal{L}_{Cons^+}$

Abstract property: $\text{less}^\# \mathcal{L}_Z (\text{length}^\# (\text{Cons}^\#(\mathcal{L}_n, \mathcal{L}_l)))$

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? ← Cannot be proved using non-relational abstraction

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Making relations explicit: from functions to clauses

From $len(l) = n$ to $Len(l, n)$.

Program as clauses: Len and Less

$Len(Nil, Z)$.

$Len(l, n) \Rightarrow Len(Cons(x, l), S(n))$.

$Len(l, n_1) \wedge Len(l, n_2) \Rightarrow n_1 = n_2$.

$Less(Z, S(m))$.

$Less(n, Z) \Rightarrow \text{False}$.

$Less(n, m) \Leftrightarrow Less(S(n), S(m))$.

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Unique Herbrand model \mathcal{M} of the above-defined clauses

$\mathcal{M}(Len) = \{(Nil, Z), (Cons(Z, Nil), S(Z)), \dots\}$

$\mathcal{M}(Less) = \{(Z, S(Z)), (Z, S(S(Z))), (S(Z), S(S(Z))), \dots\}$

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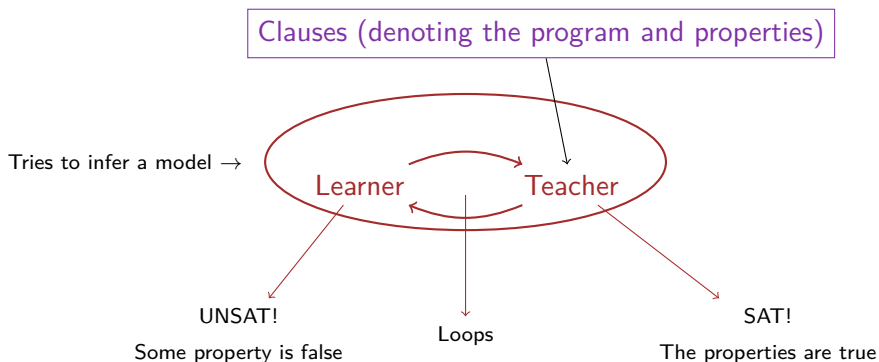
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Property P_2 as clauses

$Len(l, n) \wedge Len(Cons(x, l), n') \Rightarrow Less(n, n')$.

Satisfiability procedure: our method at a glance



Guesses a model which generalizes ground clauses received from the teacher.

- Proposes more and more refined models
- Stops with UNSAT if the ground clauses are inconsistent

Checks whether a given model meets the specification (clauses).

- Gives a ground counterexample to every clause that the model does not satisfy
- Stops with SAT if the proposed model satisfies every constraint

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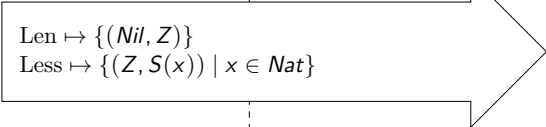
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 $\text{Less} \mapsto \{(Z, S(x)) \mid x \in Nat\}$

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$\text{Less}(Z, S(Z))$

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$\text{Len}(l, n) \Rightarrow \text{Len}(Cons(x, l), S(n))$

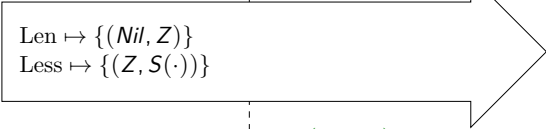
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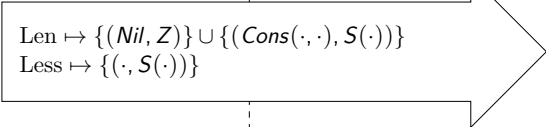
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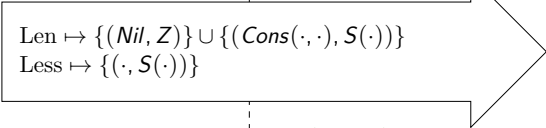
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$$\begin{aligned} \left(\begin{array}{l} \text{Len}(Cons(Z, Nil), S(Z)) \wedge \\ \text{Len}(Cons(Z, Nil), S(S(Z))) \end{array} \right) &\Rightarrow S(Z) = S(S(Z)) \\ \text{Less}(S(Z), S(Z)) &\Rightarrow \text{Less}(Z, Z) \end{aligned}$$

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$$\text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$$

$$\text{Less}(Z, S(Z)) \Rightarrow \text{Less}(S(Z), S(S(Z)))$$

$$\left(\begin{array}{l} \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \\ \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \end{array} \right) \Longrightarrow \perp$$

$$\text{Less}(S(Z), S(Z)) \Rightarrow \text{Less}(Z, Z)$$

$$\text{Len}(\text{Nil}, Z)$$

$$\text{Len}(l, n) \Rightarrow \text{Len}(\text{Cons}(x, l), S(n))$$

$$\text{Len}(l, n_1) \wedge \text{Len}(l, n_2) \Rightarrow n_1 = n_2$$

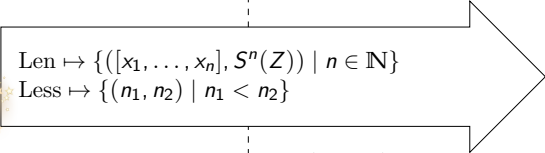
$$\text{Less}(Z, S(m))$$

$$\text{Less}(n, Z) \Rightarrow \text{False}$$

$$\text{Less}(n, m) \Leftrightarrow \text{Less}(S(n), S(m))$$

$$\text{Len}(l, n) \wedge \text{Len}(\text{Cons}(x, l), n') \Rightarrow \text{Less}(n, n')$$

Details coming soon

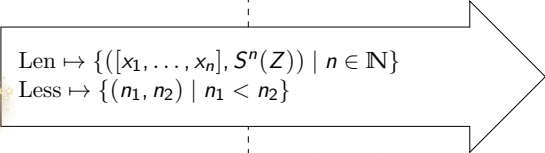


$$\text{Len} \mapsto \{([x_1, \dots, x_n], S^n(Z)) \mid n \in \mathbb{N}\}$$

$$\text{Less} \mapsto \{(n_1, n_2) \mid n_1 < n_2\}$$
 $\text{Len}(\text{Nil}, Z)$ $\text{Less}(Z, S(Z))$ $\text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$ $\text{Less}(Z, S(Z)) \Rightarrow \text{Less}(S(Z), S(S(Z)))$

$$\left(\begin{array}{l} \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \\ \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \end{array} \right) \Rightarrow \perp$$
 $\text{Less}(S(Z), S(Z)) \Rightarrow \text{Less}(Z, Z)$ $\text{Len}(\text{Nil}, Z)$ $\text{Len}(l, n) \Rightarrow \text{Len}(\text{Cons}(x, l), S(n))$ $\text{Len}(l, n_1) \wedge \text{Len}(l, n_2) \Rightarrow n_1 = n_2$ $\text{Less}(Z, S(m))$ $\text{Less}(n, Z) \Rightarrow \text{False}$ $\text{Less}(n, m) \Leftrightarrow \text{Less}(S(n), S(m))$ $\text{Len}(l, n) \wedge \text{Len}(\text{Cons}(x, l), n') \Rightarrow \text{Less}(n, n')$

Details coming soon

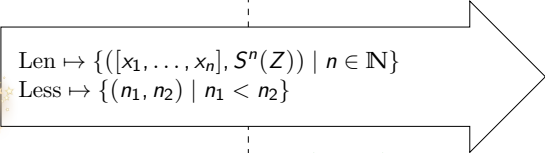


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Details coming soon



$$\text{Len} \mapsto \{([x_1, \dots, x_n], S^n(Z)) \mid n \in \mathbb{N}\}$$

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SAT!

Models: what representation?

Len $\mapsto \emptyset$
Less $\mapsto \emptyset$

Len $\mapsto \{(Nil, Z)\}$
Less $\mapsto \{(Z, S(\cdot))\}$

Len $\mapsto \{(Nil, Z)\} \cup \{(Cons(\cdot, \cdot), S(\cdot))\}$
Less $\mapsto \{(\cdot, S(\cdot))\}$

Len $\mapsto \{([x_1, \dots, x_n], S^n(Z)) \mid n \in \mathbb{N}\}$
Less $\mapsto \{(n_1, n_2) \mid n_1 < n_2\}$

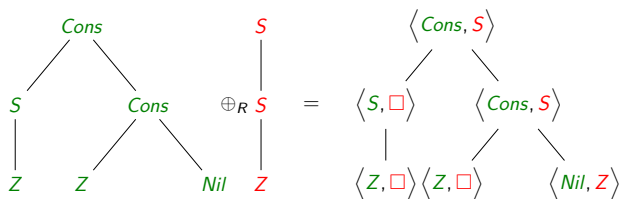
We need a finite representation of these models that

- Allows to *express* various relation between recursive algebraic datatypes;
- Can be *synthesized* from examples (Learner);
- Admits a procedure for *model-checking* constrained clauses (Teacher).

Convolution

Core idea

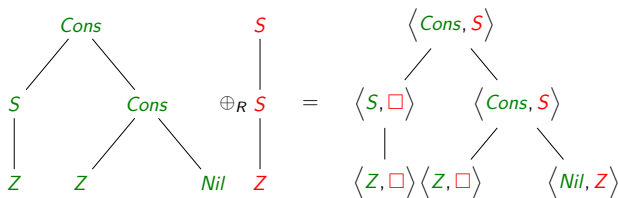
Overlay terms to read them at the same time using a classical tree automaton on a product alphabet



Convolution

Core idea

Overlay terms to read them at the same time using a classical tree automaton on a product alphabet



Convolutated tree automaton denoting the relation Len

Automaton \mathcal{A}_{Len} has final states $\{q_l\}$ and transitions

$$\left\{ \begin{array}{ll} \langle \text{Nil}, Z \rangle () \rightarrow q_l & \langle Z, \square \rangle () \rightarrow q_n \\ \langle \text{Cons}, S \rangle (q_n, q_l) \rightarrow q_l & \langle S, \square \rangle (q_n) \rightarrow q_n \end{array} \right\}$$

Shallow Horn Clauses (SHoCs)

Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and shallow
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

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A set of SHoCs is a model that inductively defines relations.

Length

$\text{Len}(\text{Nil}, Z)$

$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \text{Len}(l, n)$

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A set of SHoCs is a model that inductively defines relations.

Height using Max

$\text{Height}(\text{Leaf}, Z)$

$$\text{Height}(\text{Node}(l, x, r), S(n)) \Leftarrow \text{Height}(l, h_l) \wedge \text{Height}(r, h_r) \\ \wedge \text{Max}(h_l, h_r, n)$$

$\text{Max}(\dots) \Leftarrow \dots$

Shallow Horn Clauses (SHoCs)

Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and shallow
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

Height using Max: forbidden

Height(*Leaf*, Z)

Height(*Node*(l, x, r), $S(n)$) \Leftarrow Height(l, h_l) \wedge Height(r, h_r)
 \wedge Max(h_l, h_r, n)

Max(\dots) $\Leftarrow \dots$

Shallow Horn Clauses (SHoCs)

Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and shallow
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

Height using Shallower

$\text{Height}(\text{Leaf}, Z)$

$\text{Height}(\text{Node}(l, x, r), S(n)) \Leftarrow \text{Height}(l, n) \wedge \text{Shal}(r, n)$

$\text{Height}(\text{Node}(l, x, r), S(n)) \Leftarrow \text{Height}(r, n) \wedge \text{Shal}(l, n)$

$\text{Shal}(\text{Leaf}, Z)$

$\text{Shal}(\text{Leaf}, S(n))$

$\text{Shal}(\text{Node}(l, x, r), S(n)) \Leftarrow \text{Shal}(l, n) \wedge \text{Shal}(r, n)$

Shallow Horn Clauses (SHoCs)

Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and shallow
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

Double

$\text{Double}(Z, Z)$

$\text{Double}(S(S(x_1)), S(x_2)) \Leftarrow \text{Double}(x_1, x_2)$

Shallow Horn Clauses (SHoCs)

Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and **shallow**
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

Double: **forbidden**

$\text{Double}(Z, Z)$

$\text{Double}(S(S(x_1)), S(x_2)) \Leftarrow \text{Double}(x_1, x_2)$

Shallow Horn Clauses (SHoCs)

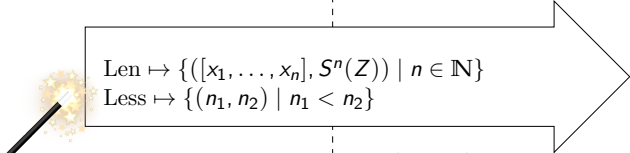
Syntactic restriction of Horn clauses

A SHoC is a definite Horn clause $H \Leftarrow B$ such that

- The head H is linear and shallow
- The body B is flat
- There is no existential variable, i.e., $\text{Vars}(B) \subseteq \text{Vars}(H)$

A set of SHoCs is a model that inductively defines relations.

SHoCs are closed by boolean operations



$$\text{Len} \mapsto \{([x_1, \dots, x_n], S^n(Z)) \mid n \in \mathbb{N}\}$$

$$\text{Less} \mapsto \{(n_1, n_2) \mid n_1 < n_2\}$$

$$\text{Len}(\text{Nil}, Z)$$

$$\text{Less}(Z, S(Z))$$

$$\text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$$

$$\text{Less}(Z, S(S(Z)))$$

$$\left(\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \right. \\ \left. \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \right) \Rightarrow \perp$$

$$\text{Less}(S(Z), S(Z)) \Rightarrow \text{Less}(Z, Z)$$

$$\text{Len}(\text{Nil}, Z)$$

$$\text{Len}(l, n) \Rightarrow \text{Len}(\text{Cons}(x, l), S(n))$$

$$\text{Len}(l, n_1) \wedge \text{Len}(l, n_2) \Rightarrow n_1 = n_2$$

$$\text{Less}(Z, S(m))$$

$$\text{Less}(n, Z) \Rightarrow \text{False}$$

$$\text{Less}(n, m) \Leftrightarrow \text{Less}(S(n), S(m))$$

$$\text{Len}(l, n) \wedge \text{Len}(\text{Cons}(x, l), n') \Rightarrow \text{Less}(n, n')$$

Len \mapsto
Less \mapsto

SHoCs that defines Len and Less

Len(*Nil*, *Z*)

Less(*Z*, *S*(*Z*))

Len(*Nil*, *Z*) \Rightarrow Len(*Cons*(*Z*, *Nil*), *S*(*Z*))

Less(*Z*, *S*(*S*(*Z*)))

$\left(\begin{array}{l} \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \\ \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \end{array} \right) \Rightarrow \perp$

Less(*S*(*Z*), *S*(*Z*)) \Rightarrow Less(*Z*, *Z*)

Len(*Nil*, *Z*)

Len(*l*, *n*) \Rightarrow Len(*Cons*(*x*, *l*), *S*(*n*))

Len(*l*, *n*₁) \wedge Len(*l*, *n*₂) \Rightarrow *n*₁ = *n*₂

Less(*Z*, *S*(*m*))

Less(*n*, *Z*) \Rightarrow False

Less(*n*, *m*) \Leftrightarrow Less(*S*(*n*), *S*(*m*))

Len(*l*, *n*) \wedge Len(*Cons*(*x*, *l*), *n'*) \Rightarrow Less(*n*, *n'*)

Details coming now:

Model generalisation



Len \mapsto
Less \mapsto

SHoCs that defines Len and Less

Len(*Nil*, *Z*)

Less(*Z*, *S*(*Z*))

Len(*Nil*, *Z*) \Rightarrow Len(*Cons*(*Z*, *Nil*), *S*(*Z*))

Less(*Z*, *S*(*S*(*Z*)))

$\left(\begin{array}{l} \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \\ \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \end{array} \right) \Rightarrow \perp$

Less(*S*(*Z*), *S*(*Z*)) \Rightarrow Less(*Z*, *Z*)

Len(*Nil*, *Z*)

Len(*l*, *n*) \Rightarrow Len(*Cons*(*x*, *l*), *S*(*n*))

Len(*l*, *n*₁) \wedge Len(*l*, *n*₂) \Rightarrow *n*₁ = *n*₂

Less(*Z*, *S*(*m*))

Less(*n*, *Z*) \Rightarrow False

Less(*n*, *m*) \Leftrightarrow Less(*S*(*n*), *S*(*m*))

Len(*l*, *n*) \wedge Len(*Cons*(*x*, *l*), *n'*) \Rightarrow Less(*n*, *n'*)

Learner: Model synthesis

$\text{Len}(\text{Nil}, Z)$

$\text{Less}(Z, S(Z))$

$\text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$

$\text{Less}(Z, S(S(Z)))$

$\left(\begin{array}{l} \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \\ \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \end{array} \right) \Longrightarrow \perp$

$\text{Less}(S(Z), S(Z)) \Rightarrow \text{Less}(Z, Z)$

Learner: Model synthesis

$$\begin{aligned} & \text{Len}(\text{Nil}, Z) \\ & \text{Less}(Z, S(Z)) \\ & \text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \\ & \text{Less}(Z, S(S(Z))) \\ & \left(\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \right. \\ & \quad \left. \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \right) \implies \perp \\ & \text{Less}(S(Z), S(Z)) \Rightarrow \text{Less}(Z, Z) \end{aligned}$$

$$\begin{aligned} & \text{Len}(\text{Nil}, Z) \\ & \text{Len}(\text{Nil}, Z) \Rightarrow \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \\ & \left(\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \wedge \right. \\ & \left. \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z))) \right) \Longrightarrow \perp \end{aligned}$$

Learner: Model synthesis

$\text{Len}(\text{Nil}, Z)$

$\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$

$\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))$

Learner: Model synthesis

Input

$\text{Len}(\text{Nil}, Z)$

$\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$

$\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))$

Learner: Model synthesis

Input

$\text{Len}(\text{Nil}, Z)$

$\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$

$\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))$

-
-
-
-

Output

Learner: Model synthesis

Input

$\text{Len}(\text{Nil}, Z)$

$\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))$

$\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))$

- **Choose** one literal per clause that must be satisfied
-
-
-

Output

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
-
-
-

Output

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
-
-

Output

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
-
-

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
-

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
-

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \top$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
- Verify that every chosen negative literal is not satisfied

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \top$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg\text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \underline{\neg\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
- **Verify that every chosen negative literal is not satisfied**

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \top$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
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Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \top$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
- Verify that every chosen negative literal is not satisfied

←

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow \top$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
- Verify that every chosen negative literal is not satisfied

Output

$$\text{Len}(\text{Nil}, Z) \Leftarrow \top$$
$$\text{Len}(\text{Cons}(x, l), S(n)) \Leftarrow P(n)$$

Learner: Model synthesis

Input

$$\frac{\text{Len}(\text{Nil}, Z)}{\neg \text{Len}(\text{Nil}, Z) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z))}$$
$$\frac{\neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \neg \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}{\text{Len}(\text{Cons}(Z, \text{Nil}), S(Z)) \vee \text{Len}(\text{Cons}(Z, \text{Nil}), S(S(Z)))}$$

- **Choose** one literal per clause that must be satisfied
- Create a SHoC to recognize each chosen positive atom
- **Choose** atoms for the body of every created SHoC
- Verify that every chosen negative literal is not satisfied

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<https://gitlab.inria.fr/tlosekoo/auto-forestation>.

- Library for terms, clauses, SHoCs, etc...
~ 5k lines of Ocaml code.
- **Learner** implemented with
 - ~ 100 tricky lines of Clingo¹ for SHoCs inference
 - ~ 500 lines of Ocaml for instance encoding/decoding
- **Teacher** implemented with heuristics to make it practical
~ 2k lines of Ocaml code

¹Clingo in an Answer Set Programming solver

Some relations cannot be expressed by SHoCs

$$\begin{aligned} & \text{Plus}(n, Z, n) \\ \text{Plus}(n, S(m), S(r)) & \Leftarrow \text{Plus}(n, m, r) \\ r_1 = r_2 & \Leftarrow \text{Plus}(n, m, r_1) \wedge \text{Plus}(n, m, r_2) \end{aligned}$$

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$$r_1 = r_2 \Leftarrow \text{Plus}(n, m, r_1) \wedge \text{Plus}(n, m, r_2)$$

Proving property

$$\text{Leq}(n, r) \Leftarrow \text{Plus}(n, m, r)$$

does not require to exactly represent Plus and Leq, as any over-approximation Plus^+ and under-approximation Leq^- is safe to prove this property.

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$$✓ \quad \forall l, x, \quad \text{less} (\text{length } l) (\text{length} (\text{Cons}(x, l)))$$

$$✓ \quad \forall p, l, \quad (\text{prefix } p (\text{concat } p l))$$

$$✓ \quad \forall l, \quad \text{length } l = \text{length} (\text{insert_sort } l)$$

$$✓ \quad \forall t, \quad \text{leq} (\text{height } t) (\text{size } t)$$

$$✗ \quad \forall t, \quad \text{height } t = \text{height} (\text{mirror } t)$$

$$✗ \quad \forall x, l, \quad (\text{count } x (\text{Cons}(x, l))) = S(\text{count } x l)$$

What is the problem, again?

Automatically prove relational properties on first-order monomorphic programs transforming algebraic data structures

In this talk

- Learner/Teacher overview
- Shallow Horn Clauses to represent models

Shallow Horn Clauses = Relational Alternating Tree Automata