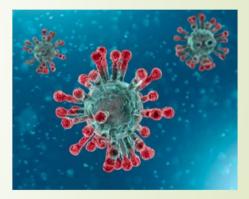
# Speech Recognition and Deep Neural Networks

#### Multispeech

Loria Inria, Nancy, France





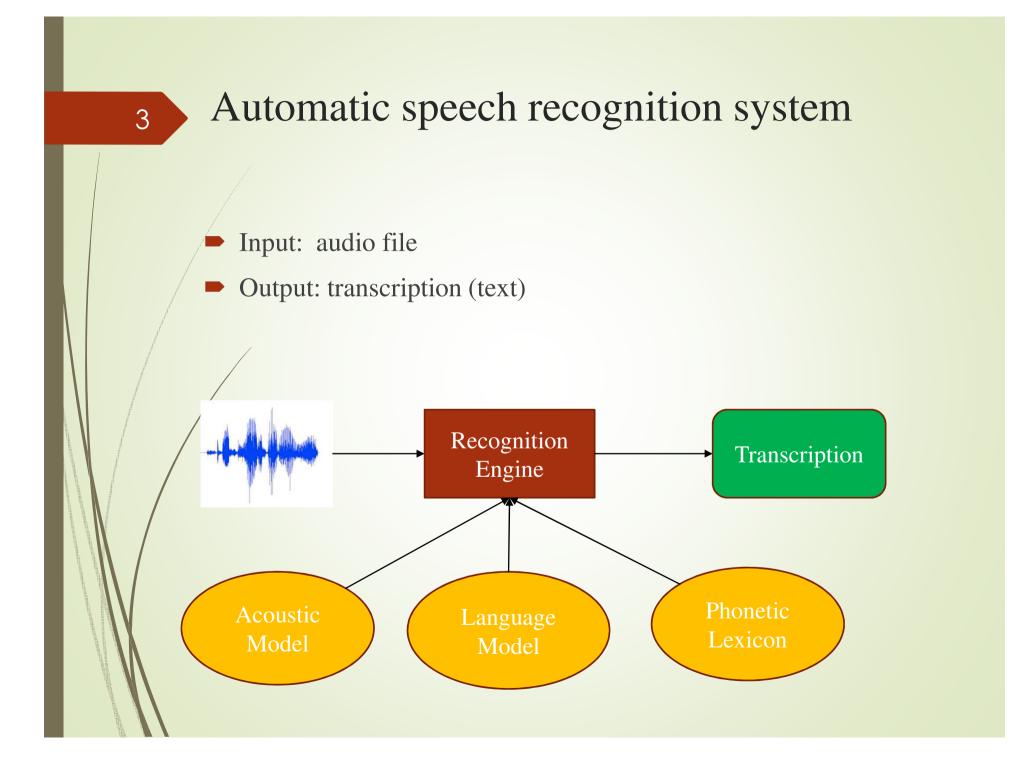


## Information carried by speech

- Linguistic content (words)
  - Speech recognition
    - Recognition of all uttered words, or just some keywords
    - $\rightarrow$  Vocal commands, speech transcription, vocal indexing, etc.
- Speaker (who speaks)
  - Speaker recognition
    - Speaker identification, or speaker authentication
    - $\rightarrow$  Diarization (associating speech segments with speakers), etc.

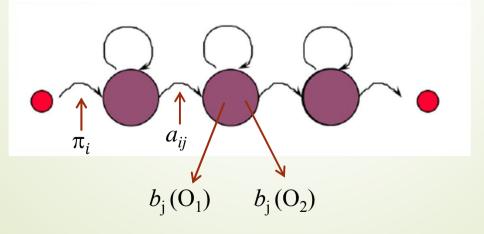
#### /Language

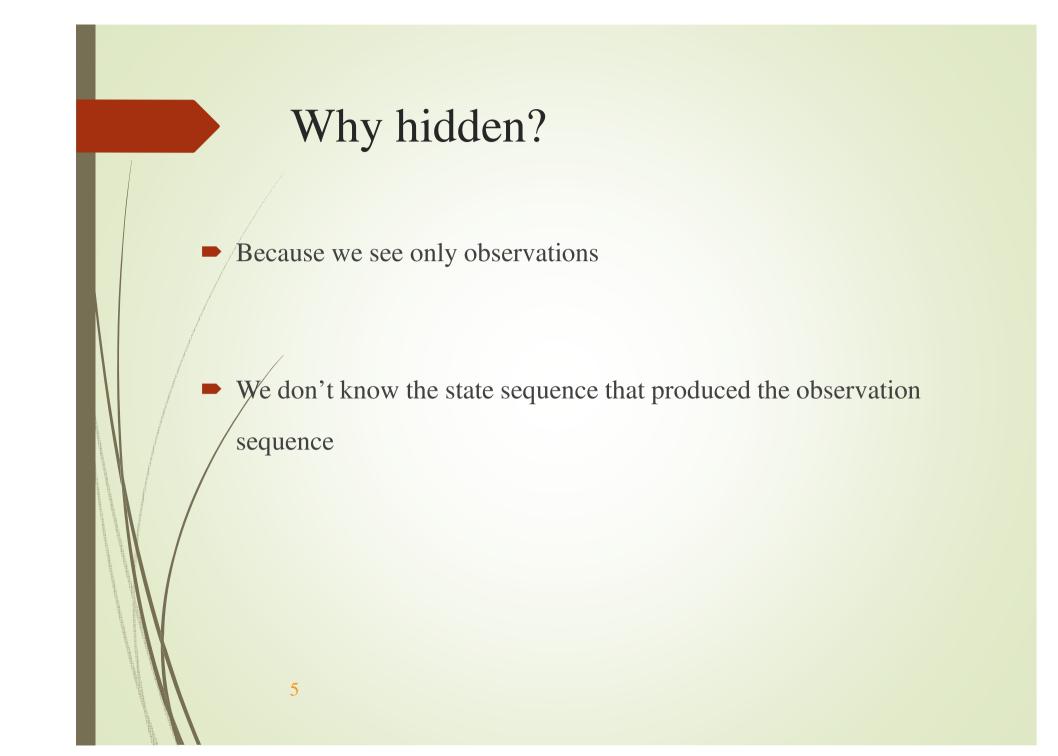
- Language recognition
  - ► Identification of the spoken language, or of the dialect, accent, etc.
- Paralinguistic information
  - Emotions
    - Neutral speech, joy, sadness, anger, etc.
  - Speaking style
    - Spontaneous vs. read speech, sport commentary, etc.

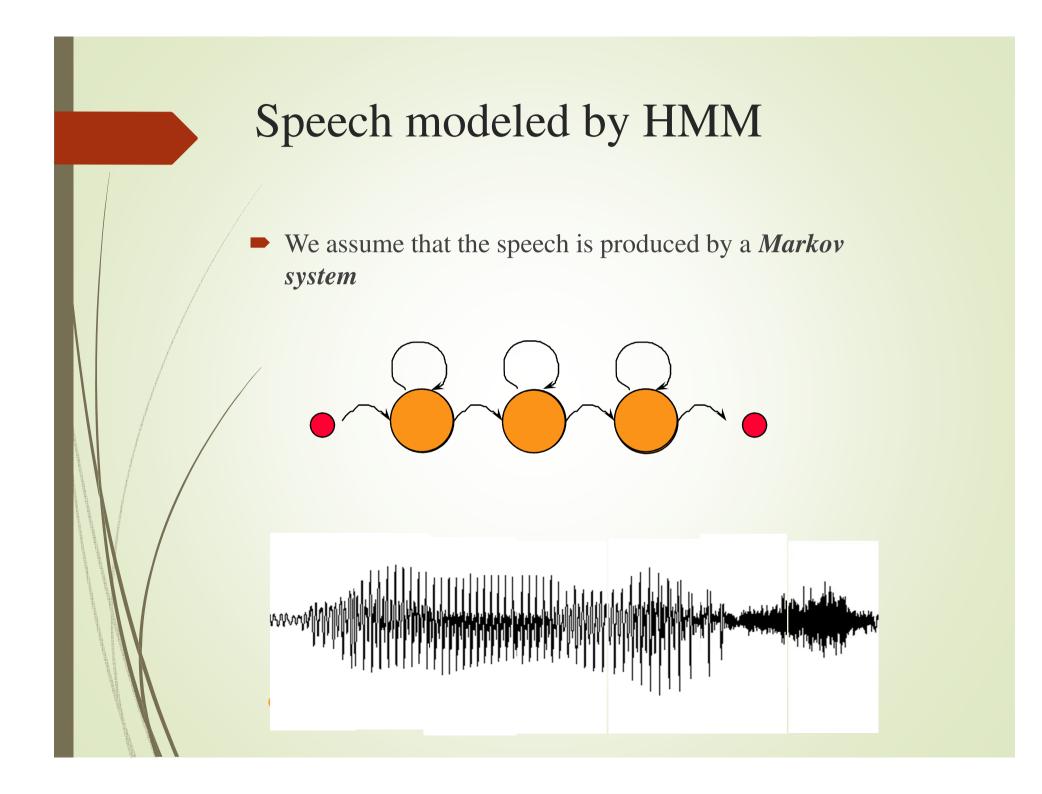


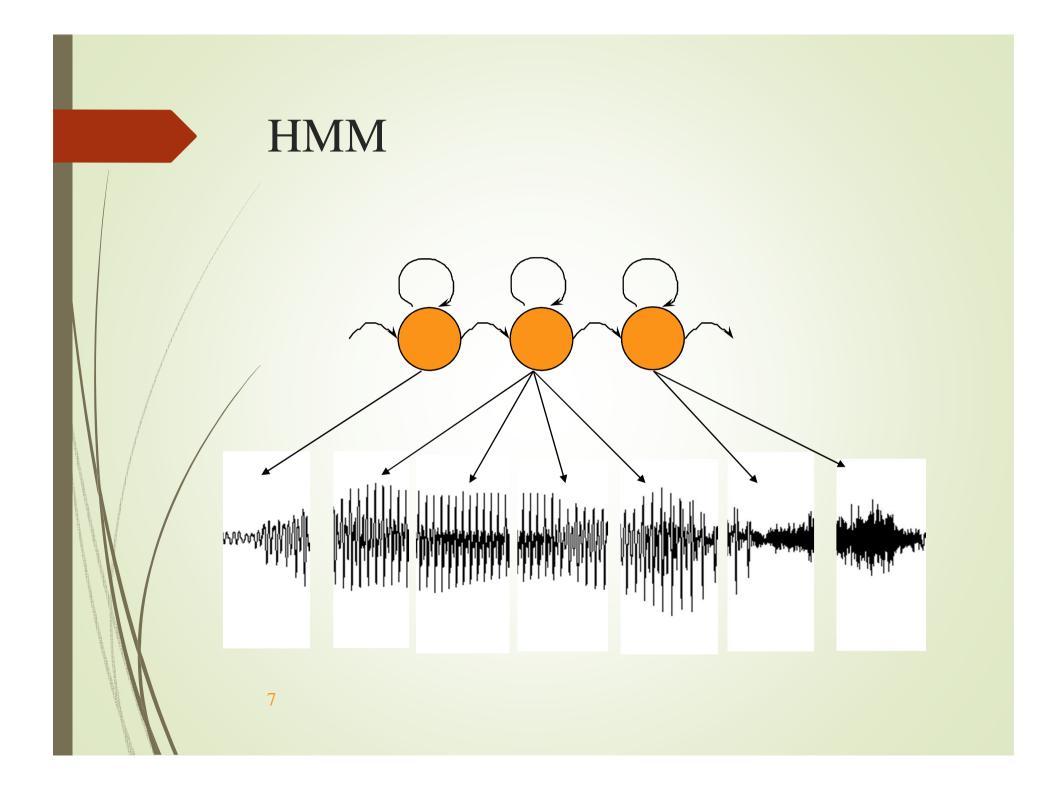
# Acoustic models

- *Hidden Markov Models* (HMM)
  - Finite state automaton with N states, composed of three components: {A, B, Π}
    - $A[a_{ij}]$ : matrix of transitions (NxN)
    - ${\bf *} \Pi[\pi_i]$ : initial probabilities (N)
    - **\***  $B[b_i]$ : observation probabilities









# Observation probability

### • Two possibilities:

8

GMM (Gaussian Mixture Model): Observation probability is modeled by a mixture of *M* Gaussians

$$b_j(x) = \sum_{m=1}^M c_{jm} \mathcal{N}(x; \mu_{jm}, \Sigma_{jm})$$

DNN (Deep Neural Network): Observation probability is modeled by a Deep Neural Network

## Deep Neural Network (DNN)

9

A DNN is defined by three types of parameters:

The interconnection pattern between the different layers of neurons

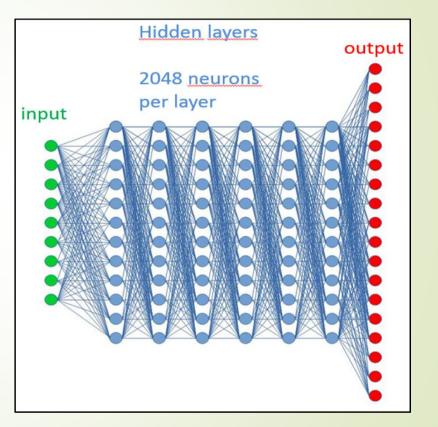
The training process for updating the weights  $w_i$  of the interconnections

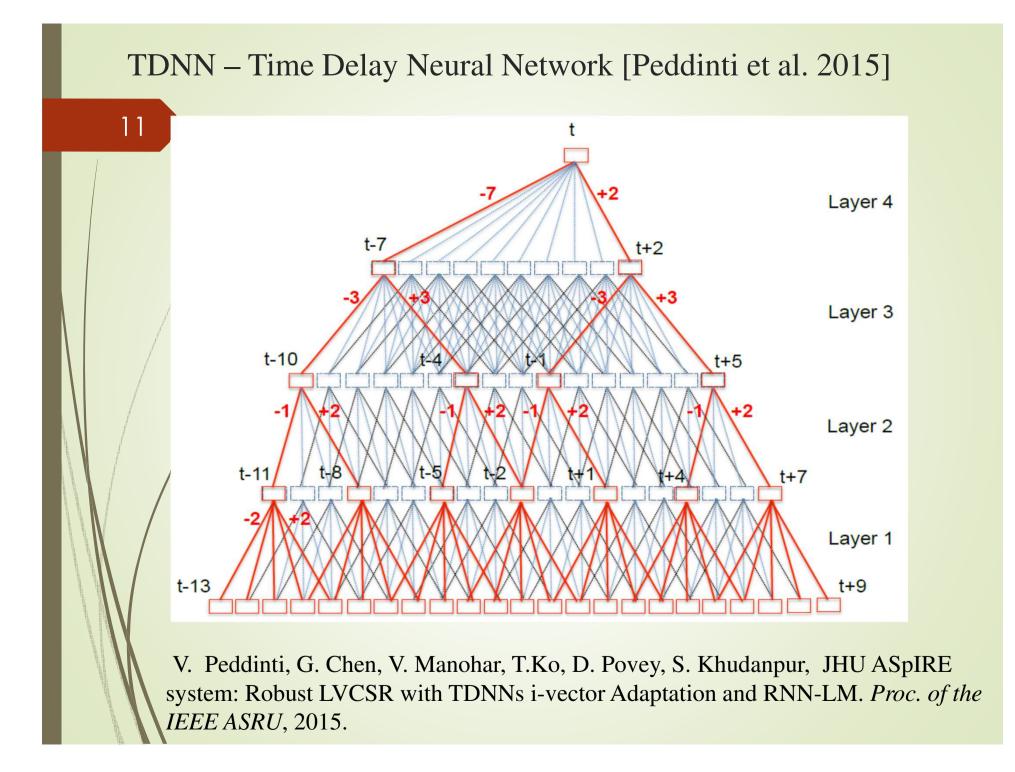
The activation function f that converts a neuron's weighted input to its output activation

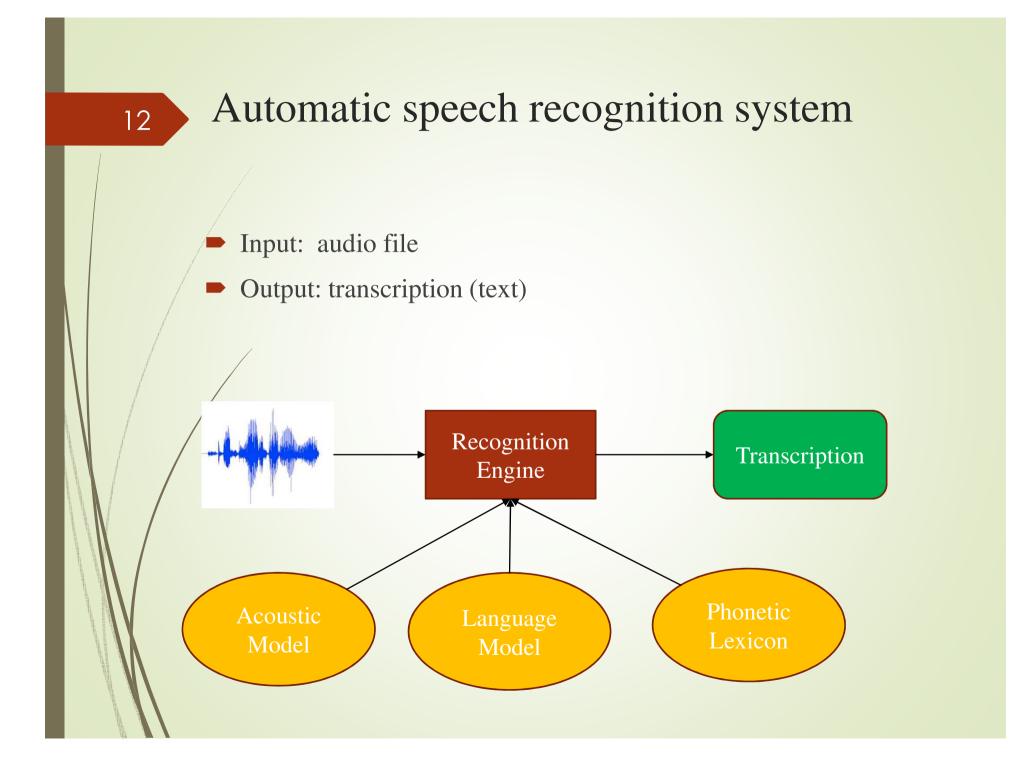
# Architecture example of DNN for acoustic model

- MLP (Multi Layer Perceptron)
- 6 hidden layers

- 2048 neurons for each hidden layer
- Input: size of the acoustic / parameters (39)
- Output: number of HMM states (4048 context-dependent phone states)







# Language model

• Compute the probability of a word knowing the previous words

Two possibilities:

N-gram

13

Recurrent Neural Networks (RNN)

# N-gram

#### 14

An *n*-gram model gives the probability of a word  $w_i$  given the *n*-1 previous words:

$$P(w_i|w_1,\ldots,w_{i-1})=P(w_i|w_{i-(n-1)},w_{i-(n-2)},\ldots,w_{i-1})$$

- Advantages
  - Easy to compute
  - Rare events are taken into account
- Drawbacks
  - Only 3-grams or 4-grams can be evaluated (short term dependency)
  - No generalization
    - In the training corpus "*a blue car*" "*a red Ferrari*"

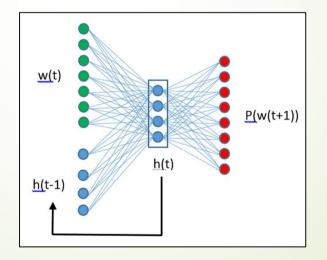
The probability of "*a blue Ferrari*" (never seen) will be badly estimated

# Recurrent Neural Network Language Model (RNNLM)

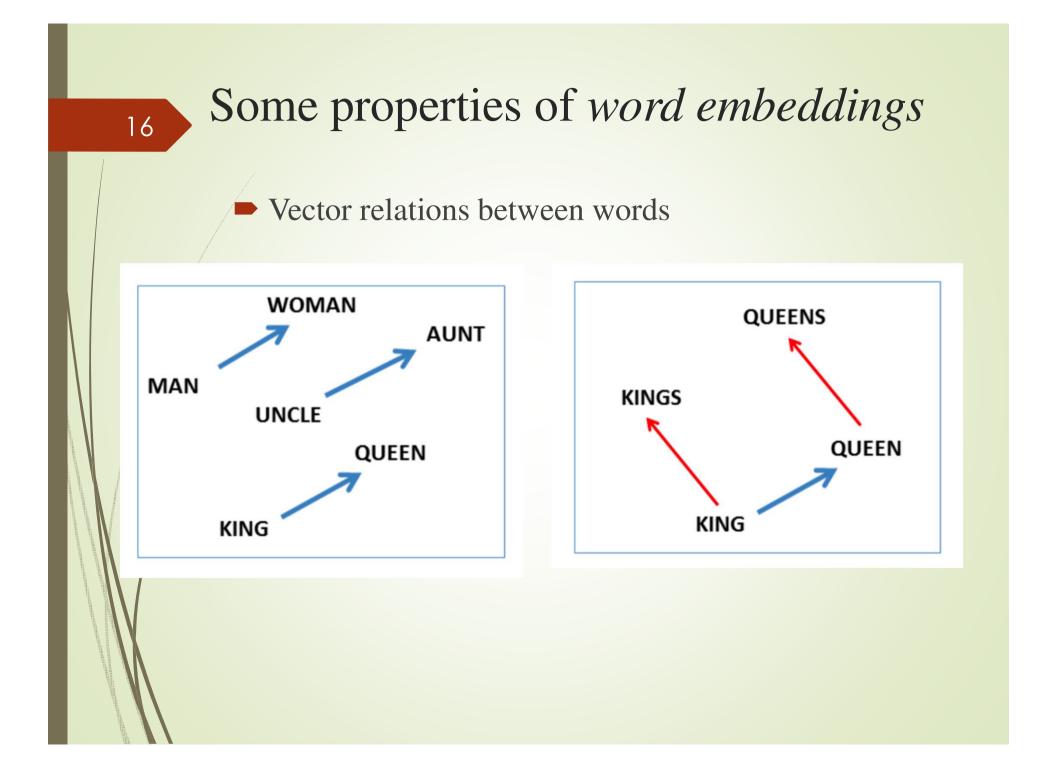
Continuous space representation (word embedding)

15

- Using NN for projecting words in a continuous space
- To take into account the temporal structure of language (word sequences)
  - Recurrent Neural Networks [Chen et al., 2015]



[Chen et al, 2015] Xie Chen, Xunying Liu, Mark JF Gales, and Philip C Woodland, "Improving the training and evaluation efficiency of recurrent neural network language models," in Proc. ICASSP, 2015.



# Long term dependency

To take into account long term dependencies in a sentence

Ex: les étudiantes inscrites à la conférence ACL sont arrivées

Short term dependency: bigram

→ Add a memory mechanismg term dependency: 8-gram

Long Short Term Memory (LSTM)

17

[Kumar et al. 2017] S. Kumar, Michael A. Nirschl, D. HoltmannRice, H. Liao, A. Theertha Suresh, and F. Yu, Lattice rescoring strategies for long short term memory language models in speech recognition, in ASRU Workshop, 2017.

[Li et al. 2020] K Li, Z Liu, T He, H Huang, F Peng, D Povey, S Khudanpur An Empirical Study of Transformer-Based Neural Language Model Adaptation, ICASSP 2020.

# Language model for speech recognition

#### Combination of LMs

18

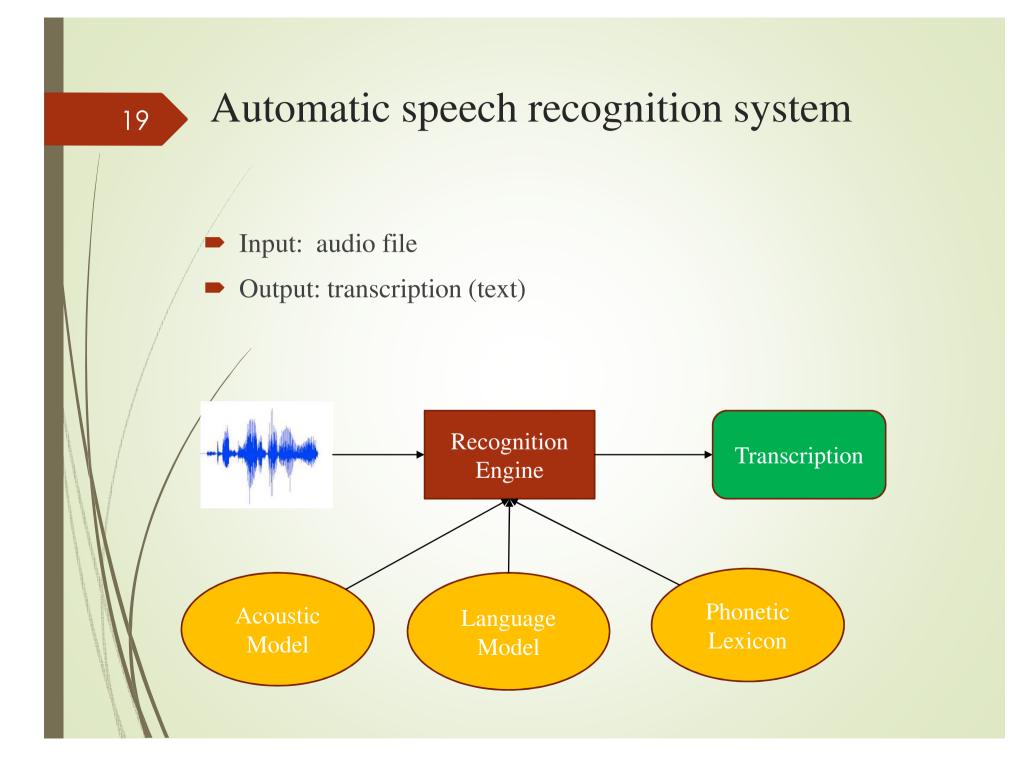
Advantage of N-gram: rare events are taken into account

Advantage of RNNLM: generalization capacity

$$P(w|h) = \lambda P_{ngram}(w|h) + (1-\lambda) P_{RNNLM}(w|h)$$

# Improvement of WER is about 20% relative [Sundermeyer &Ney 2015]

[Sundermeyer &Ney 2015] M. Sundermeyer, H. Ney, R. Schlüter (2015). From Feedforward to Recurrent LSTM Neural Networks for Language Modeling, IEEE Transactions on Audio, Speech and Language Processing, vol.. 23, no. 3, March 2015.



## Phonetic lexicon

Examples in English (from cmudict)

20

soften	S AA F AH N	sorbet	S AO R B EY
soften(2)	S AO F AH N	sorbet(2)	S AO R B EH T

The lexicon specifies the list of words known by the ASR system [Sheikh 2016]

- An ASR system cannot recognized words that are not in the lexicon
  - It is impossible to have a lexicon covering all possible words (because of person names, company names, product names, etc.)

Diachronic evolution of vocabularies (due to new topics, new persons, etc.)

The lexicon also specifies the possible pronunciations of the words

- Must include the usual pronunciation variants
- But one should not include too many useless variants as this increases possible confusions between vocabulary words

Sheikh 2016] I. Sheikh. Exploiting Semantic and Topic Context to Improve Recognition of Proper Names in Diachronic Audio Documents. . Université de Lorraine, 2016.

# Speech recognition errors

#### Insertion / Deletion / Substitution

PRef. : I want to go to Paris

PReco. : well I want to go Lannion

• WER : Word Error Rate

$$WER = \frac{N_{sub} + N_{ins} + N_{del}}{N_{refwords}}$$

# Experimental evaluation

- Kaldi-based Transcription System (KATS) [Povey et al., 2011]
  - Segmentation and diarization

22

- Splits and classifies the audio signal into homogeneous segments
  - Non-speech segments (music and silence)
  - Telephone speech
  - Studio speech
- Parametrization [MFCC]
  - 13 MFCC + 13  $\triangle$  and 13  $\triangle$   $\triangle$ 
    - → 39-dimension observation vector

[Povey et al., 2011] D. Povey, A. Ghoshal, G. Boulianne, L. Burget, O. Glembek, N. Goel, M. Hannemann, P. Motlicek, Y. Qian, P. Schwarz, J. Silovsky, G. Stemmer, K. Vesely (2011). The Kaldi Speech Recognition Toolkit, ASRU

[MFCC] https://en.wikipedia.org/wiki/Mel-frequency\_cepstrum

# Corpus

23

- The training and test data from the radio broadcast news corpus (ESTER project [Gravier et al., 2004] )
- Training: 250 hours of manually transcribed shows for
  - France Inter
  - Radio France International
  - TVME Morocco
- Evaluation:
  - 4 hours of speech

[Gravier et al., 2004] Gravier, G. & Bonastre, Jean-François & Geoffrois, E. & Galliano, Sebastian & Tait, K. & Choukri, Khalid. (2004). The ESTER Evaluation Campaign for the Rich Transcription of French Broadcast News.

## Results (Word Error Rate)

# words	GMM-	DNN-
	HMM	HMM
5473	23.6	16.5
3020	22.7	17.4
3891	16.7	12.1
3745	19.3	14.4
3749	23.6	16.6
2663	32.5	26.5
3757	20.7	17.0
2453	22.8	17.0
2646	25.1	20.1
2466	20.2	15.8
8045	22.4	17.4
41908	22.4	17.1
	5473 3020 3891 3745 3749 2663 3757 2453 2646 2466 8045	# words         HMM           5473         23.6           3020         22.7           3891         16.7           3745         19.3           3749         23.6           2663         32.5           3757         20.7           2453         22.8           2646         25.1           2466         20.2           8045         22.4

- ✤ DNN-based system outperforms the GMM-based system
- ✤ WER difference is 5.3% absolute, and 24% relative

✤ Improvement is statistically significant The confidence interval +/- 0.4 % → DNN-based acoustic models achieves better classification and has better generalization ability

## Human vs machine

25

Word Error Rates	Switchboard	Call Home
Professional transcribers	5,9%	11,3%
Automatic speech recognition (combination of many NN-based systems, trained on large data sets)	5,8%	11,0%

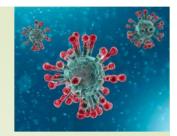
(2017 – Microsoft)

The results obtained with a combination of many speech recognition systems get similar to those of professional transcribers

## Conclusion

- From 2012, excellent results of DNN in many domains:
  - image recognition, speech recognition, language modelling, parsing, information retrieval, speech synthesis, translation, autonomous cars, gaming, etc.
- The DNN technology is now mature to be integrated into products.
- Nowadays, main commercial recognition systems (Microsoft Cortana, Apple Siri, Google Now and Amazon Alexa) are based on DNNs.

# Conclusion



But performance still degrades in adverse conditions, such as

High level noise

27

- Hands free distant microphones (reverberation problems)
- Accents (non-native speech)

Limited vocabulary (even if very large, there is still the problem of person names, location names, etc.)

Still far from an **universal recognition system**, as powerful as a human listener in **all conditions** 

**But performance continue to improve...** 

## Deep Neural Networks and speech recognition

28

#### Advantages

#### • Stunning performance

- Revolution of the state of the art results
- Lot of applications
- No hypothesis on the input data
- Scalability with corpus size
- Generalization
  - ♦/Good performance for unseen data
  - End-to-end systems [Hadian et al, 2018]
    - ✤ No need to define features
  - Lot of toolkits easy to use
  - With many examples

H. Hadian, H. Sameti, D. Povey, and S. Khudanpur, "End-to-end speech recognition using lattice-free mmi," in Proc. Interspeech 2018, 2018, pp. 12–16

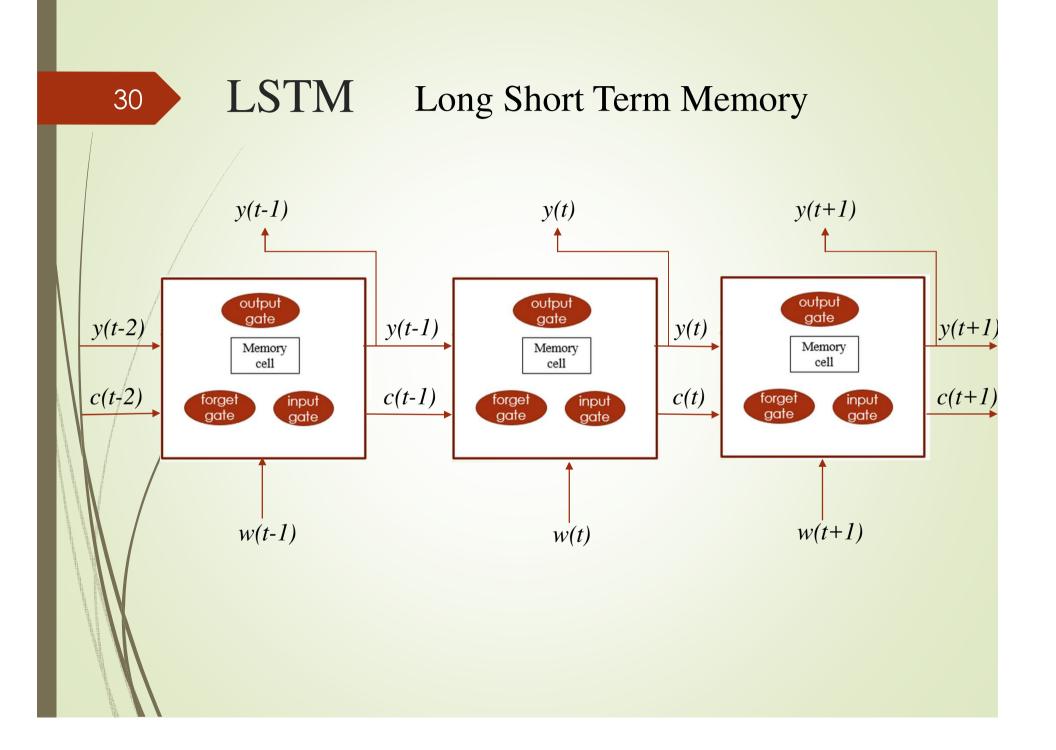
#### Drawbacks

- Black box
- Hyper parameters tuning
- Huge training data needed
- Supervised training
  - ✤ Labelled training data needed
- Computationally intensive
  - Training requires GPUs or a cluster

### Continuous speech recognition

 Efficient algorithms and tools for building and optimizing models from data

- ► Language ⇔ text corpora
- ► Acoustic ⇔ speech corpora (with associated transcription)
- But many choices have to be done by the ASR system developer
  - Type of acoustic features, size of temporal windows, etc.
    - Acoustic model structure
      - Number of states / of densities / of Gaussian components per density
      - Or, type of neural network, number of layers, size of layers
- Some trade-off are necessary
  - ► Few parameters ⇔ rough modeling but reliable estimation
  - ► Many parameters ⇔ detailed modeling, but estimation may be unreliable
- Training from speech data leads to good recognition performance on similar speech data (but performance degrade in different/new conditions)



## Long Short Term Memory (LSTM)

y(t-1) —

c(t-1)

Complex structure including:

31

« forget gate » which define
how much recurrent information
(from past frame) should be
kept

*k input gate* » which define the new contribution (from current time frame)

« *output gate* » which define the output contribution of this cell

